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UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON

UNITED STATES OF AMERICA,

Plaintiff,

v.

MICROSOFT CORP., a corporation,

Defendant.

Case No: 2:23-cv-00836-RAJ

STIPULATED ORDER FOR
PERMANENT INJUNCTION, CIVIL
PENALTY JUDGMENT, AND OTHER
RELIEF

Plaintiff, the United States of America, acting upon notification and authorization to the Attorney General by the Federal Trade Commission (“Commission”), filed its Complaint for Civil Penalties, Permanent Injunction, and Other Relief (“Complaint”), for a permanent injunction, civil penalties, and other relief in this matter, pursuant to Sections 13(b) and 16(a)(1) of the Federal Trade Commission Act (“FTC Act”), 15 U.S.C. §§ 53(b) and 56(a)(1), Sections 1303(c) and 1306(d) of the Children’s Online Privacy Protection Act (“COPPA”), 15 U.S.C. §§ 6502(c) and 6505(d), and the Commission’s Children’s Online Privacy Protection Rule (“COPPA Rule”), 16 C.F.R. Part 312. Defendant has waived service of the summons and the Complaint. Plaintiff and Defendant stipulate to the entry of this Stipulated Order for Permanent Injunction, Civil Penalty Judgment, and Other Relief (“Order”) to resolve all matters in dispute in this action between them.

- 1 B. **“Child” or “Children”** means any individual or individuals under the age of 13.
- 2 C. **“Child Microsoft Account(s)”** means a Microsoft Account with an Xbox User ID or
3 equivalent identifier created either on a gaming console or related website for an
4 individual with a birthdate provided during account creation that indicates that the user is
5 a Child. *Provided, however,* that Part III of this Order shall apply to only Child
6 Microsoft Accounts that (1) were created before May 2021 and (2) belong to a user who
7 is a Child as of thirty (30) days after entry of this Order.
- 8 D. **“Clear(ly) and conspicuous(ly)”** means that a required disclosure is difficult to miss
9 (*i.e.*, easily noticeable) and easily understandable by ordinary consumers, including in all
10 of the following ways:
- 11 1. In any communication that is solely visual or solely audible, the disclosure must
12 be made through the same means through which the communication is presented.
13 In any communication made through both visual and audible means, such as a
14 television advertisement, the disclosure must be presented simultaneously in both
15 the visual and audible portions of the communication even if the representation
16 requiring the disclosure is made in only one means.
 - 17 2. A visual disclosure, by its size, contrast, location, the length of time it appears,
18 and other characteristics, must stand out from any accompanying text or other
19 visual elements so that it is easily noticed, read, and understood.
 - 20 3. An audible disclosure, including by telephone or streaming video, must be
21 delivered in a volume, speed, and cadence sufficient for ordinary consumers to
22 easily hear and understand it.
 - 23 4. In any communication using an interactive electronic medium, such as the
24 Internet or software, the disclosure must be unavoidable.

- 1 5. The disclosure must use diction and syntax understandable to ordinary consumers
2 and must appear in each language in which the representation that requires the
3 disclosure appears.
- 4 6. The disclosure must comply with these requirements in each medium through
5 which it is received, including all electronic devices and face-to-face
6 communications.
- 7 7. The disclosure must not be contradicted or mitigated by, or inconsistent with,
8 anything else in the communication.
- 9 8. When the representation or sales practice targets a specific audience, such as
10 children, the elderly, or the terminally ill, “ordinary consumers” includes
11 reasonable members of that group.

12 E. **“Collects” or “Collection”** means the gathering of any Personal Information from a Child
13 by any means, including but not limited to:

- 14 1. Requesting, prompting, or encouraging a Child to submit Personal Information
15 online;
- 16 2. Enabling a Child to make Personal Information publicly available in identifiable
17 form. An Operator shall not be considered to have Collected Personal
18 Information if it takes reasonable measures to Delete all or virtually all Personal
19 Information from a Child’s postings before they are made public and also to
20 Delete such Personal Information from its records; or
- 21 3. Passive tracking of a Child online.

22 F. **“Covered Service(s)”** means the Xbox Live Service or any other equivalent or similar
23 game service. For purposes of this Order, to the extent that, after entry of this Order,
24 Defendant obtains direct or indirect control over a service that is an equivalent or similar

1 game service, such service becomes a Covered Service ninety (90) days after the date on
2 which Defendant obtained such control.

3 G. **“Defendant”** means Microsoft Corporation, a Washington corporation, and its successors
4 and assigns.

5 H. **“Delete”** or **“Deletion”** means to remove Personal Information such that it is not
6 maintained in retrievable form and cannot be retrieved in the normal course of business.

7 I. **“Disclose”** or **“Disclosure”** means, with respect to Personal Information:

- 8 1. The Release of Personal Information Collected by an Operator from a Child in
9 identifiable form for any purpose, except where an Operator provides such
10 information to a Person who provides Support for the Internal Operations of the
11 website or online service; and
- 12 2. Making Personal Information Collected by an Operator from a Child publicly
13 available in identifiable form by any means, including but not limited to a public
14 posting through the Internet, or through a personal home page or screen posted on
15 a website or online service; a pen pal service; an electronic mail service; a
16 message board; or a chat room.

17 J. **“Internet”** means collectively the myriad of computer and telecommunications facilities,
18 including equipment and operating software, which comprise the interconnected world-
19 wide network of networks that employ the Transmission Control Protocol/Internet
20 Protocol, or any predecessor or successor protocols to such protocol, to communicate
21 information of all kinds by wire, radio, or other methods of transmission.

22 K. **“Obtain(ing) Verifiable Parental Consent”** means making a reasonable effort (taking
23 into consideration available technology) to ensure that before Personal Information is
24 Collected from a Child, a Parent of the Child:

- 1 1. Receives notice of the Operator’s Personal Information Collection, use, and
2 Disclosure practices; and
- 3 2. Authorizes any Collection, use, and/or Disclosure of the Personal Information,
4 using a method reasonably calculated, in light of available technology, to ensure
5 that the Person providing consent is the Child’s Parent.

6 L. **“Online Contact Information”** means an email address or any other substantially
7 similar identifier that permits direct contact with a Person online, including but not
8 limited to, an instant messaging user identifier, a voice over internet protocol (VOIP)
9 identifier, or a video chat user identifier.

10 M. **“Operator”** means any Person who operates a website located on the Internet or an
11 online service and who Collects or maintains Personal Information from or about the
12 users of or visitors to such website or online service, or on whose behalf such information
13 is Collected or maintained, or offers products or services for sale through that website or
14 online service, where such website or online service is operated for commercial purposes
15 involving commerce among the several States or with one or more foreign nations; in any
16 territory of the United States or in the District of Columbia, or between any such territory
17 and another such territory or any State or foreign nation; or between the District of
18 Columbia and any State, territory, or foreign nation. This definition does not include any
19 nonprofit entity that would otherwise be exempt from coverage under Section 5 of the
20 Federal Trade Commission Act (15 U.S.C. § 45). Personal Information is Collected or
21 maintained on behalf of an Operator when:

- 22 1. It is Collected or maintained by an agent or service provider of the Operator; or
 - 23 2. The Operator benefits by allowing another Person to Collect Personal Information
- 24

1 directly from users of such website or online service.

2 N. **“Parent”** includes a legal guardian.

3 O. **“Person”** means any individual, partnership, corporation, trust, estate, cooperative,
4 association, or other entity.

5 P. **“Personal Information”** means individually identifiable information about an individual
6 Collected online, including:

7 1. A first and last name;

8 2. A home or other physical address including street name and name of a city or
9 town;

10 3. Online Contact Information;

11 4. A screen or user name where it functions in the same manner as Online Contact
12 Information;

13 5. A telephone number;

14 6. A Social Security number;

15 7. A persistent identifier that can be used to recognize a user over time and across
16 different websites or online services. Such persistent identifier includes, but is not
17 limited to, a customer number held in a cookie, an Internet Protocol (IP) address,
18 a processor or device serial number, or unique device identifier;

19 8. A photograph, video, or audio file where such file contains a Child’s image or
20 voice;

21 9. Geolocation information sufficient to identify street name and name of a city or
22 town; or

23 10. Information concerning the Child or the Parents of that Child that the Operator
24 Collects online from the Child and combines with an identifier described in this

1 definition, including but not limited to the following information concerning the
2 Child that the Operator Collects online from the Child and combines with an
3 identifier described above: avatars generated from a Child’s image; biometric data
4 (including but not limited to eye tracking, iris and retina scans, voiceprint, scan
5 and hand and face geometry, fingerprint, and gait); health information;
6 physiological responses; and vital signs.

7 Q. **“Release of Personal Information”** means the sharing, selling, renting, or transfer of
8 Personal Information to any Third Party.

9 R. **“Support for the Internal Operations of the Website or Online Service”** means:

- 10 1. Those activities necessary to:
- 11 a. Maintain or analyze the functioning of the website or online service;
 - 12 b. Perform network communications;
 - 13 c. Authenticate users of, or personalize the content on, the website or online
14 service;
 - 15 d. Serve contextual advertising on the website or online service or cap the
16 frequency of advertising;
 - 17 e. Protect the security or integrity of the user, website, or online service;
 - 18 f. Ensure legal or regulatory compliance; or
 - 19 g. Fulfill a request of a Child as permitted by Sections 312.5(c)(3) and (4) of
20 the COPPA Rule (attached as Appendix A);
- 21 2. So long as the information Collected for the activities listed in 1(a)-(g) is not used
22 or Disclosed to contact a specific individual, including through behavioral
23 advertising, to amass a profile on a specific individual, or for any other purpose.
24

1 S. “**Third Party**” means any Person who is not:

- 2 1. An Operator with respect to the Collection or maintenance of Personal Information
3 on the website or online service; or
- 4 2. A Person who provides Support for the Internal Operations of the Website or
5 Online Service and who does not use or Disclose information protected under the
6 COPPA Rule (attached as Appendix A) for any other purpose.

7 T. “**Xbox Live Service**” means the Xbox Live online game play service that enables game play
8 and online communications.

9 **ORDER**

10 **I. INJUNCTION CONCERNING COLLECTION OF PERSONAL INFORMATION**
11 **FROM CHILDREN**

12 IT IS ORDERED that Defendant and Defendant’s officers, agents, employees, and
13 attorneys, and all other Persons in active concert or participation with any of them, who receive
14 actual notice of this Order, whether acting directly or indirectly, in connection with operating a
15 Covered Service, are hereby permanently restrained and enjoined from:

16 A. Failing to make reasonable efforts, taking into account available technology, to ensure
17 that a Parent of a Child receives direct notice as required by Section 312.4(b)-(c) of the
18 Rule, 16 C.F.R. § 312.4(b)-(c), unless the Children’s Online Privacy Protection Rule,
19 16 C.F.R. Part 312 (attached as Appendix A), provides an exception to providing such
20 notice;

21 B. Failing to post a prominent and clearly labeled link to an online notice of Defendant’s
22 information practices with regard to Children on the home or landing page or screen of
23 Defendant’s website or online service, *and* at each area of Defendant’s website or online
24 service where Personal Information is Collected from Children, unless the Children’s

1 Online Privacy Protection Rule, 16 C.F.R. Part 312 (attached as Appendix A), provides
2 an exception to providing such notice;

3 C. Failing to Obtain Verifiable Parental Consent before any Collection, use, or Disclosure of
4 Personal Information from Children, including consent to any material change in the
5 Collection, use, or Disclosure practices to which the Parent has previously consented,
6 unless the Children’s Online Privacy Protection Rule, 16 C.F.R. Part 312 (attached as
7 Appendix A), provides an exception to Obtaining Verifiable Parental Consent;

8 D. Retaining Personal Information Collected from a Child for longer than is reasonably
9 necessary to fulfill the purpose for which the information was Collected; and

10 E. Violating the Children’s Online Privacy Protection Rule, 16 C.F.R. Part 312 (attached as
11 Appendix A).

12 **II. REQUIRED NOTICE TO ADULTS**

13 IT IS FURTHER ORDERED that, within forty-five (45) days of entry of this Order,
14 Defendant must send through the Xbox Live Service the Xbox Platform Notice and email the
15 notice entitled “**Microsoft’s Xbox Parental Controls and Family Settings**” (both of which
16 appear at Appendix B) to every Adult Microsoft Account that was not associated with a Child
17 Microsoft Account before entry of this Order. *Provided, however,* that such notices being sent to
18 non-United States-based accounts must be sent within one hundred and eighty days (180) days of
19 entry of this Order.

20 **III. INJUNCTION CONCERNING PERSONAL INFORMATION PREVIOUSLY**
21 **COLLECTED FROM CHILDREN**

22 IT IS FURTHER ORDERED that Defendant, Defendant’s officers, agents, employees,
23 and attorneys, and all other Persons in active concert or participation with any of them, who
24 receive actual notice of this Order, for any Child Microsoft Account, are ordered to:

- 1 A. Within sixty (60) days of entry of this Order, seek to Obtain Verifiable Parental Consent
2 to Collect, use, Disclose, and retain Personal Information Collected from such Child
3 Microsoft Account;
- 4 B. Within one hundred and eighty (180) days of entry of this Order (the “Account
5 Suspension Date”), if Defendant has not Obtained Verifiable Parental Consent, refrain
6 from Collecting Personal Information from Child Microsoft Accounts via the Xbox Live
7 Service, and refrain from Disclosing or using Personal Information Defendant Collected
8 from Children through Child Microsoft Accounts via the Xbox Live Service, except for
9 the use of persistent identifiers to Support the Internal Operations of the Xbox Live
10 Service, unless and until Defendant has Obtained Verifiable Parental Consent;
- 11 C. Within one (1) year of the Account Suspension Date, Delete all Personal Information
12 Defendant Collected from Children through Child Microsoft Accounts for which
13 Defendant has not, by that date, Obtained Verifiable Parental Consent;
- 14 D. Within one (1) year and one month of the Account Suspension Date, provide a written
15 statement to the Commission, sworn under penalty of perjury, that (1) describes the
16 process through which Defendant Obtained Verifiable Parental Consent from Parents to
17 Collect, use, and retain Personal Information Collected from each Child Microsoft
18 Account; (2) identifies the total number of Child Microsoft Accounts for which
19 Defendant sought to Obtain Verifiable Parental Consent, as well as the number of Child
20 Microsoft Accounts for which:
- 21 (i) Defendant Obtained Verifiable Parental Consent;
 - 22 (ii) a Parent affirmatively declined to consent;
 - 23 (iii) a Parent did not respond;
 - 24 (iv) a Parent requested Deletion, and

1 (v) a Parent engaged with Defendant by asking additional questions; and
2 (3) confirms all Personal Information related to Child Microsoft Accounts for which
3 Defendant failed to Obtain Verifiable Parental Consent has been Deleted.

4 **IV. DATA RETENTION**

5 IT IS FURTHER ORDERED that Defendant, Defendant's officers, agents, employees, and
6 attorneys, and all other Persons in active concert or participation with any of them, who receive
7 actual notice of this Order, are ordered to:

- 8 A. Within thirty (30) days of entry of this Order, establish and implement, and thereafter
9 maintain, a system to Delete, within two (2) weeks from the date of Collection, all
10 Personal Information Defendant has Collected from Children for purposes of
11 Obtaining Verifiable Parental Consent, unless the Parent provides Verifiable Parental
12 Consent.
- 13 B. As of the date of entry of this Order, maintain and adhere to a retention schedule for
14 Personal Information Collected through Child Microsoft Accounts, setting forth the
15 purpose for which the information was Collected, the specific business need for
16 retaining such Personal Information, and an established time frame and set of criteria
17 for Deletion of such information that may not be longer than is reasonably necessary
18 to fulfill the purpose for which the information was Collected, and make such
19 information about the retention schedule publicly available on Defendant's website(s)
20 and in the direct notice.

21 **V. REQUIREMENT TO NOTIFY THIRD PARTIES WHEN DISCLOSING**
22 **PERSONAL INFORMATION COLLECTED FROM A CHILD**

23 IT IS FURTHER ORDERED that Defendant, within one hundred and twenty (120) days
24 of entry of this Order, in connection with operating a Covered Service, must, at each instance

1 when Disclosing Personal Information from a Child Microsoft Account to any video game
2 publisher on such service, indicate to such party (such as through an API) that the user is a child
3 under age 13.

4 **VI. MANDATED DATA DOCUMENTATION**

5 IT IS FURTHER ORDERED that Defendant, in connection with the Collection,
6 maintenance, use, or Disclosure of, or provision of access to, Personal Information Collected
7 through a Covered Service, must, within ninety (90) days of issuance of this Order, document
8 internally the decision to Collect, use, Disclose, provide access to, or maintain each data field of
9 Personal Information that is Collected through Child Microsoft Accounts. Such documentation
10 must include: for what purpose the data field of Personal Information is being Collected; the data
11 segmentation controls in place to ensure that the data field of Personal Information Collected is
12 only used for the particular purpose for which it was Collected; the data retention limit set and
13 the technical means for achieving Deletion of the data field of Personal Information; the
14 safeguards in place to prevent unauthorized sharing or sale of the data field of Personal
15 Information; and the controls in place to ensure only authorized employees with a legitimate
16 business purpose(s) have access to the data field of Personal Information.

17 **VII. REGISTRATION REQUIREMENT**

18 IT IS FURTHER ORDERED that:

- 19 A. During the account set-up or registration process for a Covered Service, Defendant must
20 obtain the age of the user.
- 21 B. As of the date of entry of this Order, Defendant is required to provide users the following
22 information during the account set-up or registration process for a Covered Service:

23 Please create a child account for any child that plays on this device.
24 Microsoft offers parental controls for this device and a family safety
settings app to provide controls to parents, so you can place limitations

1 for reasons of privacy and safety. For example, you can limit who can
2 communicate with the child. If this device will be used by a child, you
can make the child account and set controls on the next screen.

3 Defendant must provide Clear and Conspicuous notice of the steps to set up a Child
4 Microsoft Account during the registration process. *Provided, however*, that such
5 information be provided to non-United States-based accounts within one hundred and
6 eighty (180) days of the date of entry of this Order.

7 **VIII. MONETARY JUDGMENT FOR CIVIL PENALTY**

8 IT IS FURTHER ORDERED that:

- 9 A. Judgment in the amount of twenty million dollars (\$20,000,000) is entered in favor of
10 Plaintiff against Defendant, as a civil penalty.
- 11 B. Defendant is ordered to pay to Plaintiff, by making payment to the Treasurer of the
12 United States, twenty million dollars (\$20,000,000), which, as Defendant stipulates, its
13 undersigned counsel holds in escrow for no purpose other than payment to Plaintiff.
14 Such payment must be made within seven (7) days of entry of this Order by electronic
15 fund transfer in accordance with instructions provided by a representative of Plaintiff.
- 16 C. Defendant relinquishes dominion and all legal and equitable right, title, and interest in all
17 assets transferred pursuant to this Order and may not seek the return of any assets.
- 18 D. The facts alleged in the Complaint will be taken as true, without further proof, in any
19 subsequent civil litigation by or on behalf of the Commission, including in a proceeding
20 to enforce its rights to any payment or monetary judgment pursuant to this Order.
- 21 E. Defendant acknowledges that its Taxpayer Identification Number, which Defendant must
22 submit to the Commission, may be used for collecting and reporting on any delinquent
23 amount arising out of this Order, in accordance with 31 U.S.C. § 7701.

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1 **IX. ORDER ACKNOWLEDGMENTS**

2 IT IS FURTHER ORDERED that Defendant obtain acknowledgments of receipt of this
3 Order:

- 4 A. Defendant, within seven (7) days of entry of this Order, must submit to the Commission
5 an acknowledgment of receipt of this Order sworn under penalty of perjury.
- 6 B. For five (5) years after entry of this Order, Defendant for any business that Defendant
7 controls, directly or indirectly, or for which Defendant is the majority owner, must
8 deliver a copy of this Order to: (1) all principals, officers, directors, managers, and
9 members; (2) all employees having managerial responsibilities relating to the subject
10 matter of the Order, and all agents and representatives who participate in conduct related
11 to the subject matter of the Order; and (3) any business entity resulting from any change
12 in structure as set forth in the Section titled Compliance Reporting. Delivery must occur
13 within seven (7) days of entry of this Order for current personnel. To all others, delivery
14 must occur before they assume their responsibilities.
- 15 C. From each individual or entity to which Defendant delivered a copy of this Order,
16 Defendant must obtain, within thirty (30) days, a signed and dated acknowledgment of
17 receipt of this Order.

18 **X. COMPLIANCE REPORTING**

19 IT IS FURTHER ORDERED that Defendant make timely submissions to the
20 Commission:

- 21 A. One year after entry of this Order, Defendant must submit a compliance report, sworn
22 under penalty of perjury, in which Defendant must: (a) identify the primary physical,
23 postal, and email address and telephone number, as designated points of contact, which
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1 representatives of the Commission and Plaintiff may use to communicate with
2 Defendant; (b) identify all of Defendant's businesses related to each Covered Service by
3 all of their names, telephone numbers, and physical, postal, email, and Internet addresses;
4 (c) describe the activities of each Covered Service, including the goods and services
5 offered, the means of advertising, marketing, and sales; (d) describe in detail whether and
6 how Defendant is in compliance with each Section of this Order; (e) provide a copy of
7 each different version of any privacy notice for each Covered Service or sent to Parents
8 of Children that register for each Covered Service; (f) provide a statement setting forth in
9 detail the methods used to Obtain Verifiable Parental Consent before any Collection, use,
10 and/or Disclosure of Personal Information from Children; (g) provide a statement setting
11 forth in detail the means provided for Parents to review the Personal Information
12 Collected from their Children and to refuse to permit its further use or maintenance for
13 each Covered Service; and (h) provide a copy of each Order acknowledgment obtained
14 pursuant to this Order, unless previously submitted to the Commission.

15 B. For ten (10) years after entry of this Order, Defendant must submit a compliance notice,
16 sworn under penalty of perjury, within fourteen (14) days of any change in: (a) any
17 designated point of contact; or (b) the structure of Defendant or any entity that Defendant
18 has any ownership interest in or controls, directly or indirectly, that may affect
19 compliance obligations arising under this Order, including: creation, merger, sale, or
20 dissolution of the entity or any subsidiary, parent, or affiliate that engages in any acts or
21 practices subject to this Order.

22 C. Defendant must submit to the Commission notice of the filing of any bankruptcy petition,
23 insolvency proceeding, or similar proceeding by or against Defendant within fourteen
24 (14) days of its filing.

1 D. Any submission to the Commission required by this Order to be sworn under penalty of
2 perjury must be true and accurate and comply with 28 U.S.C. § 1746, such as by
3 concluding: “I declare under penalty of perjury under the laws of the United States of
4 America that the foregoing is true and correct. Executed on: _____” and supplying the
5 date, signatory’s full name, title (if applicable), and signature.

6 E. Unless otherwise directed by a Commission representative in writing, all submissions to
7 the Commission pursuant to this Order must be emailed to DEbrief@ftc.gov or sent by
8 overnight courier (not the U.S. Postal Service) to: Associate Director for Enforcement,
9 Bureau of Consumer Protection, Federal Trade Commission, 600 Pennsylvania Avenue
10 NW, Washington, DC 20580. The subject line must begin: United States v. Microsoft
11 Corp.

12 **XI. RECORDKEEPING**

13 IT IS FURTHER ORDERED that Defendant must create certain records for ten (10)
14 years after entry of the Order and retain each such record for five (5) years. Specifically,
15 Defendant must create and retain the following records for each Covered Service:

- 16 A. Accounting records showing the revenues from all goods or services sold;
- 17 B. Personnel records showing, for each Person providing services, whether as an employee
18 or otherwise, that Person’s: name; addresses; telephone numbers; job title or position;
19 dates of service; and (if applicable) the reason for termination;
- 20 C. Records of all consumer complaints relating to Defendant’s Collection of Personal
21 Information from Children through each Covered Service, whether received directly or
22 indirectly, such as through a Third Party, and any response;
- 23 D. All records necessary to demonstrate full compliance with each section of this Order,
24 including all submissions to the Commission;

1 E. A copy of each materially different form, page, or screen created, maintained, or
2 otherwise provided by Defendant through which Defendant Collects Personal
3 Information from Children through each Covered Service, and a copy of each materially
4 different document containing any representation regarding Defendant's Collection, use,
5 and Disclosure practices pertaining to Personal Information from Children Collected
6 through each Covered Service. Each webpage copy shall be accompanied by the URL of
7 the webpage where the material was posted online. Electronic copies shall include all
8 text and graphics files, audio scripts, and other computer files used in presenting
9 information on the Internet.

10 **XII. COMPLIANCE MONITORING**

11 IT IS FURTHER ORDERED that, for the purpose of monitoring Defendant's compliance
12 with this Order:

13 A. Within fourteen (14) days of receipt of a written request from a representative of the
14 Commission or Plaintiff, Defendant must: submit additional compliance reports or other
15 requested information, which must be sworn under penalty of perjury; appear for
16 depositions; and produce documents for inspection and copying. The Commission and
17 Plaintiff are also authorized to obtain discovery, without further leave of court, using any
18 of the procedures prescribed by Federal Rules of Civil Procedure 29, 30 (including
19 telephonic depositions), 31, 33, 34, 36, 45, and 69.

20 B. For matters concerning this Order, the Commission and Plaintiff are authorized to
21 communicate directly with Defendant. Defendant must permit representatives of the
22 Commission and Plaintiff to interview any employee or other Person affiliated with any
23 Defendant who has agreed to such an interview. The Person interviewed may have
24 counsel present.

1 C. The Commission and Plaintiff may use all other lawful means, including posing, through
2 its representatives, as consumers, suppliers, or other individuals or entities, to Defendant
3 or any individual or entity affiliated with Defendant, without the necessity of
4 identification or prior notice. Nothing in this Order limits the Commission's lawful use
5 of compulsory process, pursuant to Sections 9 and 20 of the FTC Act, 15 U.S.C. §§ 49,
6 57b-1.

7 **XIII. RETENTION OF JURISDICTION**

8 IT IS FURTHER ORDERED that this Court retains jurisdiction of this matter for
9 purposes of construction, modification, and enforcement of this Order.

10 DATED this 9th day of June, 2023.

11 

12
13 The Honorable Richard A. Jones
14 United States District Judge

15 **SO STIPULATED AND AGREED
16 AND PRESENTED BY:**

17 **FOR PLAINTIFF UNITED STATES OF AMERICA:**

18 BRIAN M. BOYNTON
Principal Deputy Assistant Attorney General, Civil Division

19 ARUN G. RAO
Deputy Assistant Attorney General, Civil Division

20 AMANDA N. LISKAMM
21 Director, Consumer Protection Branch

22 LISA K. HSIAO
Assistant Director, Consumer Protection Branch

23 //
24

1 s/ Katherine M. Ho Date: 6/5/2023

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7
NICHOLAS W. BROWN
United States Attorney

9 s/ Rebecca S. Cohen Date: 6/5/2023

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14 **FOR THE FEDERAL TRADE COMMISSION:**

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24

1 **FOR DEFENDANT MICROSOFT CORPORATION:**

2



Date: 6-2-23

3 BRAD SMITH
4 President
5 Microsoft Corporation

6

/s/Laura Kim

Date: 6/2/23

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15

/s/Lindsey Tonsager

Date: 6/2/23

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