

## Sheinberg, Samuel I.

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**From:** HSRHelp  
**Sent:** Monday, September 11, 2023 4:05 PM  
**To:** Walsh, Kathryn E.; Berg, Karen E.; Musick, Vesselina; Sheinberg, Samuel I.; Six, Anne; Whitehead, Nora; Fetterman, Michelle; Burton, June  
**Subject:** FW: Approach when filing as acquiring and as acquired person

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**From:** Shaffer, Kristin <kshaffer@ftc.gov>  
**Sent:** Monday, September 11, 2023 4:04:28 PM (UTC-05:00) Eastern Time (US & Canada)  
**To:** [REDACTED]  
**Cc:** HSRHelp <HSRHelp@ftc.gov>  
**Subject:** RE: Approach when filing as acquiring and as acquired person

**CONTROLLED**

Confirmed.  
Best regards,  
Kristin  
**Kristin Shaffer**  
Attorney  
Premerger Notification Office  
Federal Trade Commission  
202-326-2388 | [kshaffer@ftc.gov](mailto:kshaffer@ftc.gov)

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**From:** [REDACTED]  
**Sent:** Monday, September 11, 2023 3:49:32 PM (UTC-05:00) Eastern Time (US & Canada)  
**To:** HSRHelp <HSRHelp@ftc.gov>  
**Subject:** Approach when filing as acquiring and as acquired person

Dear All:

I understand your preference is that parties submit separate filings when filing as an acquiring and as an acquired person.

In the filing as acquiring person ( in connection with the receipt of stock as consideration for the sale of a controlled entity), how would you like us to list the sales/ entities of the entity to be sold? In the past I have included their information—as they will be owned at the time of the filing—but added asterisks and a footnote to note that this information relates to the entities being sold and will not be part of the acquiring person post transaction.

Is that an acceptable approach?

Thank you.

Best regards,

[REDACTED]