

Sheinberg, Samuel I.

From: HSRHelp
Sent: Tuesday, November 14, 2023 9:51 AM
To: Berg, Karen E.; Musick, Vesselina; Shaffer, Kristin; Sheinberg, Samuel I.; Six, Anne; Whitehead, Nora; Fetterman, Michelle; Burton, June
Subject: FW: Filing Person Question

From: Walsh, Kathryn E. <kwalsh@ftc.gov>
Sent: Tuesday, November 14, 2023 9:51:19 AM (UTC-05:00) Eastern Time (US & Canada)
To: [REDACTED]
Cc: HSRHelp <HSRHelp@ftc.gov>
Subject: FW: Filing Person Question

CONTROLLED

We typically see both spouses listed as the UPE, but only one of them has to sign.

From: [REDACTED]
Sent: Monday, November 13, 2023 5:05:51 PM (UTC-05:00) Eastern Time (US & Canada)
To: HSRHelp <HSRHelp@ftc.gov>
Cc: [REDACTED]
Subject: Filing Person Question

Spouse 1 (S1) and Spouse 2 (S2) are married. S1 owns more than 50% of the voting securities in an entity; S2 does not own any. There are no minor children. Buyer will be acquiring all of those voting securities. We know that S1 must file as a UPE, but does S2 also have to be included on the notification form as part of the UPE (where S2 does not own any of the acquired voting securities)?

Best regards,

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]