

Federal Trade Commission Chief FOIA Officer Report

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Federal Trade Commission Fiscal Year 2024 Chief FOIA Officer Report

Introduction	1
Section I: FOIA Leadership and Applying the Presumption of Openness	1
Section II: Ensuring Fair and Effective FOIA Administration	3
Section III: Proactive Disclosures	6
Section IV: Steps Taken to Greater Utilize Technology	8
Section V: Steps Taken to Remove Barriers to Access, Improve Timeliness in Responding to request, and Reduce Backlogs	10

Federal Trade Commission Fiscal Year 2024 Chief FOIA Officer Report

Bikram Bandy, Acting Deputy General Counsel for Legal Counsel and Chief FOIA Officer

Introduction

The Federal Trade Commission (FTC) is an independent agency established in 1914. The FTC's dual mission is to promote competition and protect consumers in broad sectors of the economy. The FTC's work is performed by the Bureaus of Consumer Protection, Competition, and Economics, along with eight regional offices and several program offices.

The FTC administers its FOIA program through its Office of General Counsel ("OGC"), which processes all record requests made to the agency. The FTC's FOIA Unit employs four attorneys, five government information specialists, and one paralegal, with occasional support of contractors and other staff. As Chief FOIA Officer, I am proud to report that in Fiscal Year 2024, the agency processed 1,919 requests – an increase of more than 100 from the prior fiscal year – as well as 29 administrative appeals. The FOIA Unit continues to see year-over-year increases in the numbers of FOIA requests, as shown below:

	FY2022	FY2023	FY2024
Requests Received	1,619	1,820	1,938
Requests Processed	1,579	1,812	1,919

The FOIA Unit successfully managed this heavy workload while providing training to senior leaders, implementing new processes to accommodate a rising caseload, and continuing the transition from mandatory telework to a hybrid environment.

Below we respond to the questions set forth in DOJ's <u>Guidelines for 2025 Chief FOIA Officer</u> <u>Reports</u>.

SECTION I: FOIA LEADERSHIP AND APPLYING THE PRESUMPTION OF OPENNESS

The guiding principle underlying DOJ's FOIA Guidelines is the presumption of openness.

Please answer the following questions in order to describe the steps your agency has taken to ensure that the presumption of openness is being applied to all decisions involving the FOIA. You may also include any additional information that illustrates how your agency is working to apply the presumption of openness.

A. Leadership Support for FOIA

1. The FOIA requires each agency to designate a Chief FOIA Officer who is a senior official at least at the Assistant Secretary or equivalent level. See 5 U.S.C. § 552(j)(1) (2018). Is your

agency's Chief FOIA Officer at or above this level?

Answer:

Yes. According to the Government Accountability Office (GAO), the FTC Chief FOIA Officer should be at the assistant secretary level or equivalent. (GAO-18-365, June 25, 2018.) The assistant secretary level is comparable to senior executive positions at levels III, IV, and V. The FTC designated an Acting Deputy General Counsel, a senior executive position, as the agency's Chief FOIA Officer.

2. Please provide the name and title of your agency's Chief FOIA Officer.

Answer:

Bikram Bandy, Acting Deputy General Counsel for Legal Counsel.

3. What steps has your agency taken to incorporate FOIA into its core mission? For example, has your agency incorporated FOIA milestones into its strategic plan?

Answer:

The FOIA request process intersects with every FTC bureau and office and is an integral part of the fabric of the agency's workplace environment. The FTC's OGC and its FOIA Unit continue to engage in ongoing conversations with agency staff on FOIA matters and to inform non-FOIA professionals of their obligations under the FOIA. FOIA Unit staff routinely advise FTC bureaus and offices of their responsibilities under FOIA and closely work with custodians in those bureaus and offices whose records are the subject of FOIA requests, appeals, or litigation. Additionally, FOIA Unit staff have facilitated training and information on FOIA to FTC staff; this training involves both informal training and formal training events, including a presentation to one of the FTC's bureaus that is the subject of many FOIA requests.

B. Presumption of Openness

4. The Attorney General's 2022 FOIA Guidelines provide that "agencies should confirm in response letters to FOIA requesters that they have considered the foreseeable harm standard when reviewing records and applying FOIA exemptions." Does your agency provide such confirmation in its response letters?

Answer:

Yes.

5. In some circumstances, agencies may respond to a requester that it can neither confirm nor deny the existence of requested records if acknowledging the existence of records would harm an interested protected by a FOIA exemption. This is commonly referred to as a *Glomar* response. If your agency tracks Glomar responses, please provide:

• the number of times your agency issued a full or partial *Glomar* response (separate

full and partial if possible);

• the number of times a *Glomar* response was issued by exemption (e.g., Exemption 7(C) - 20 times, Exemption 1 - 5 times).

Answer:

- We issued 164 Glomar responses in FY 2024.
- In each of these 164 responses, we cited Exemption 7(A).

SECTION II: Ensuring Fair and Effective FOIA Administration

A. FOIA Training

1. The FOIA directs agency Chief FOIA Officers to ensure that FOIA training is offered to agency personnel. See 5 U.S.C. § 552(a)(j)(2)(F). Please describe the efforts your agency has undertaken to ensure proper FOIA training is made available and used by agency personnel.

Answer:

The FOIA Unit tracks training opportunities offered by OIP and others and discusses and prioritizes regular attendance at these trainings. Various FOIA trainings offered by OIP are placed on a shared team calendar for awareness. In addition, the FOIA Unit has sought out additional training opportunities, such as specialized training sessions provided by OIP.

2. Did your FOIA professionals or the personnel at your agency who have FOIA responsibilities attend any substantive FOIA training or conference during the reporting period such as that provided by the Department of Justice?

Answer:

Yes, FOIA Unit staff attended and conducted FOIA training during this reporting period.

3. If yes, please provide a brief description of the type of training attended or conducted and the topics covered.

Answer:

FOIA Unit staff attended several trainings over the course of the fiscal year including the following events:

- DOJ Virtual Exemption 4 and Exemption 5 Training 6/12/24;
- DOJ Virtual Exemption 1 and Exemption 7 Training 6/4/24;
- DOJ Virtual Privacy Considerations Training 7/9/24;
- DOJ Virtual Procedural Requirements and Fees 5/7/24;
- DOJ Virtual Litigation Training 5/21/24;
- DOJ Virtual Administrative Appeals, FOIA Compliance, and Customer Service –

5/23/24;

- American Society of Access Professionals (ASAP) Annual Conference 6/3/2024 6/5/2024; and
- DOJ FOIA Continuing FOIA Education Training 7/11/24.

FOIA Unit staff also conducted trainings for agency staff over the course of the fiscal year. See also response to question II.A.6., below.

4. Please provide an estimate of the percentage of your FOIA professionals and staff with FOIA responsibilities who attended substantive FOIA training during this reporting period.

Answer:

100%.

5. OIP has directed agencies to "take steps to ensure that all of their FOIA professionals attend substantive FOIA training at least once throughout the year." If your response to the previous question is that less than 80% of your FOIA professionals attended training, please explain your agency's plan to ensure that all FOIA professionals receive or attend substantive FOIA training during the next reporting year.

Answer:

N/A.

6. Describe any efforts your agency has undertaken to inform non-FOIA professionals of their obligations under the FOIA. In particular, please describe how often and in what formats your agency provides FOIA training or briefings to non-FOIA staff; and if senior leaders at your agency received a briefing on your agency's FOIA resources, obligations, and expectations during the FOIA process?

Answer:

The members of the FOIA Unit conduct virtual trainings for incoming office liaisons across the agency on an individual or group basis as needed. These virtual training courses provided liaisons with the knowledge and support they needed to conduct proper searches for files and understand the overall FOIA process. The FOIA Unit has also provided recordings of the training sessions along with accompanying PowerPoint slides and in-house flowcharts of the internal FOIA process to non-FOIA staff in continued support of their learning and understanding of the agency's FOIA process. In Fiscal Year 2024, the FOIA Unit provided trainings on November 14, 2023 and June 5, 2024.

In addition, FOIA Unit staff participate in a semiannual onboarding event for new employees that provides an introduction to FOIA.

B. Outreach

7. As part of the standard request process, do your FOIA professionals proactively contact requesters concerning complex or voluminous requests in an effort to clarify or narrow the scope of the request so requesters can receive responses more quickly? Please describe any such outreach or dialogue and, if applicable, any specific examples.

Answer:

The FOIA Unit routinely communicates with requesters as necessary to clarify and narrow the scope of requests to continue processing. As a civil law enforcement agency, much of the FTC's information is nonpublic by statute, regulation, and policy. Accordingly, these communications with requesters will often involve explaining the limits of what the agency can provide in response to a FOIA request and determining whether the requester still wants to proceed with the request. In addition, FOIA Unit staff communicates with requesters for other reasons, such as to correct a non-perfected request, to respond to a request that does not seek records, to discuss fee agreements, or to narrow the scope of requests that are complex or voluminous. In addition to direct communications through email or telephone calls, the FOIA Unit engaged with the requesters through the FTC's FOIA Hotline (at 202-326-2430) and the agency's FOIA email inbox (FOIA@FTC.GOV). In addition, the FTC also provides contact information for its Chief FOIA Officer and FOIA Public Liaison online (at https://www.ftc.gov/about-ftc/foia/freedom-information-act-contacts), and the Public Liaison works to resolve questions.

8. Outside of the standard request process or routine FOIA Liaison or FOIA Requester Service Center interactions, did your FOIA professionals engage in any outreach or dialogue, with the requester community or open government groups regarding your administration of the FOIA? For example, did you proactively contact frequent requesters, host FOIA-related conference calls with open government groups, or provide FOIA training to members of the public? Please describe any such outreach or dialogue and, if applicable, any specific examples of how this dialogue has led to improvements in your agency's FOIA administration.

Answer:

Yes, the FTC's FOIA Unit staff has proactively reached out to contact certain frequent requesters to address bulk or voluminous requests. In addition, the FTC's FOIA Unit primarily engaged in outreach through its FOIA Public Liaison, who responded to questions from the public about the agency and the FOIA process.

9. The FOIA Improvement Act of 2016 requires additional notification to requesters about the services provided by the agency's FOIA Public Liaison. Please provide an estimate of the number of times requesters sought assistance from your agency's FOIA Public Liaison during Fiscal Year 2024 (please provide a total number or an estimate of the number).

Answer:

200 (estimate).

C. Other Initiatives

10. Has your agency evaluated the allocation of agency personnel resources needed to respond to current and anticipated FOIA demands? If so, please describe what changes your agency has or will implement.

Answer:

The FTC has evaluated the allocation of agency personnel resources to respond to growing FOIA demands but, due to budgetary issues, the agency is not in a position to allocate additional personnel to the FOIA Unit at this time.

11. How does your agency use data or processing metrics to ensure efficient management of your FOIA workload? For example, case management reports, staff processing statistics, etc. In addition, please specifically highlight any data analysis methods or technologies used.

Answer:

The FOIA Unit continues to use the FOIAXpress reporting tool, which compiles all request information, including the status, request description, and remaining days to process. The information is used to manage incoming FOIA request to ensure a balanced workload for all FOIA Unit staff. The information is also shared during biweekly meetings to highlight trends, recognize productivity, and discuss any overdue or challenging requests.

12. The federal <u>FOIA Advisory Committee</u>, comprised of agency representatives and members of the public, was created to foster dialogue between agencies and the requester community, solicit public comments, and develop recommendations for improving FOIA administration. Since 2020, the FOIA Advisory Committee has issued a number of <u>recommendations</u>. Please answer the below questions:

- Is your agency familiar with the FOIA Advisory Committee and its recommendations?
- Has your agency implemented any of its recommendations or found them to be helpful? If so, which ones?

Answer:

Yes, we have implemented recommendation 2020-12 by continuing to post frequently requested records to our Agency website.

SECTION III: PROACTIVE DISCLOSURES

The Attorney General's 2022 FOIA Guidelines emphasize that "proactive disclosure of information

is . . . fundamental to the faithful application of the FOIA." The Guidelines direct agencies to post "records online quickly and systematically in advance of any public request" and reiterate that agencies should post records "in the most useful, searchable, and open formats possible."

1. Please describe what steps your agency takes to identify, track, and post (a)(2) proactive disclosures.

Answer:

FOIA Unit staff are skilled in identifying instances of related requests, and routinely meet with management to discuss trends in requests to determine those records that might qualify for proactive disclosure under the FOIA. The number of frequently requested records or subjects is currently 57, and they can be found on the FTC's website at https://www.ftc.gov/legal-library/frequently-requested-foia-records.

- 2. Does your agency post logs of its FOIA requests?
 - If so, what information is contained in the logs?
 - Are they posted in CSV format? If not, what format are they posted in?

Answer:

Yes, the FTC posts logs describing pending requests and closed requests within 5 months at <u>https://www.ftc.gov/foia/make-foia-request</u>.

- The pending FOIA request log contains the following information: Received date; Request ID; Requester name; Requester category; Request description; and Organization. The closed request log contains the same fields and adds a field to identify the disposition and/or exemption(s) claimed.
- The logs are posted in .xls format.

3. Provide examples of any material that your agency has proactively disclosed during the past reporting year, including records that have been requested and released three or more times in accordance with 5 U.S.C. § 552(a)(2)(D).

Answer:

The FOIA Unit regularly works with the agency's web team to post frequently requested FOIA record(s) on the agency's FOIA website. *See* <u>https://www.ftc.gov/legal-library/frequently-requested-foia-records</u>. We recently updated the following topics:

- Calendars for all five FTC Commissioners and certain senior staff whose calendars qualify for proactive disclosure, including:
 - Bureau of Competition Director Henry Liu (<u>LINK</u>);
 - Chief of Staff Sarah Miller (LINK);
 - Chair Lina M. Khan (<u>LINK</u>);
 - Commissioner Alvaro Bedoya (<u>LINK</u>);

- Commissioner Andrew N. Ferguson (<u>LINK</u>);
- Commissioner Melissa Holyoak (<u>LINK</u>);
- Commissioner Rebecca Slaughter (<u>LINK</u>); and
- FTC Visitor Logs.

4. Please provide a link (or component links, if applicable) where your agency routinely post its frequently requested records.

Answer:

See https://www.ftc.gov/legal-library/frequently-requested-foia-records.

5. Beyond posting new material, is your agency taking steps to make the posted information more useful to the public, especially to the community of individuals who regularly access your agency's website? If yes, please provide examples of such improvements, such as steps taken to post information in open and machine-readable formats. If not taking steps to make posted information more useful, please explain why.

Answer:

Yes, the FTC's FOIA Unit continues to provide information in various file formats to make the information more accessible and useful to the public. Using an Application Programming Interface (API), the agency identifies datasets that can be made available to users in a machine-readable format. This allows data users and developers to query, gather, and manipulate the FTC's data. Providing data in this format facilitates broad dissemination of its content to the public, and it corresponds with the FTC's Open Government Plan and Strategic Plan.

6. Does your proactive disclosure process or system involve any collaboration with agency staff outside the FOIA office, such as IT or data personnel? If so, describe this interaction.

Answer:

Yes, the FOIA Unit interacts with the FTC's WebTeam to ensure FOIA postings are accurate and published in the correct location of the website. The FOIA staff will publish on the internal system and then the WebTeam reviews the content before approving that post for the external facing website. Additionally, the FOIA Unit works directly with FTC IT personnel to perform certain substantial search requests.

SECTION IV: STEPS TAKEN TO GREATER UTILIZE TECHNOLOGY

1. Has your agency reviewed its FOIA-related technological capabilities to identify resources needed to respond to current and anticipated FOIA demands?

Answer:

Yes.

2. Please briefly describe any new types of technology your agency began using during the reporting period to support your FOIA program.

Answer:

The FOIA Unit has begun to explore AI/machine learning tools available in the FOIAXpress platform to process certain requests. In addition, we previously acquired additional licenses from FOIAXpress to access that platform's Electronic Document Review (EDR) tool and continue to deploy that tool and others to identify the ones that best fit our needs.

3. Does your agency currently use any technology to automate record processing? For example, does your agency use machine learning, predictive coding, technology assisted review or similar tools to conduct searches or make redactions? If so, please describe and, if possible, estimate how much time and financial resources are saved since implementing the technology.

Answer:

Yes, as discussed above, the FTC FOIA Unit has begun to use an AI/machine learning tool available on the FOIAXpress platform to process certain types of requests. This use is currently in the training stage. We also use FOIAXpress's EDR tool to process large quantities of electronic records.

4. OIP issued <u>guidance</u> in 2017 encouraging agencies to regularly review their FOIA websites to ensure that they contain essential resources, and are informative and user-friendly. Has your agency reviewed its FOIA website(s) during the reporting period to ensure it addresses the elements noted in the guidance?

Answer:

Yes.

5. Did all four of your agency's <u>quarterly reports</u> for Fiscal Year 2024 appear on FOIA.gov?

Answer:

Yes.

6. If your agency did not successfully post all quarterly reports on FOIA.gov, please explain why and provide your agency's plan for ensuring that such reporting is successful in Fiscal Year 2025

Answer:

N/A.

7. The FOIA Improvement Act of 2016 requires all agencies to post the raw statistical data used to compile their Annual FOIA Reports. Please provide the link to this posting for your agency's Fiscal Year 2023 Annual FOIA Report and, if available, for your agency's Fiscal Year 2024 Annual FOIA Report.

Answer:

The raw statistical data for FY 2023 and FY 2024 is included in the FTC's 2023 and 2024 FOIA Annual Reports, which is posted here: <u>https://www.ftc.gov/policy/reports/foia-annual-reports</u>.

8. In February 2019, DOJ and OMB issued joint <u>guidance</u> establishing interoperability standards to receive requests from the National FOIA Portal on FOIA.gov. Are all components of your agency in compliance with the guidance?

Answer:

Yes.

<u>SECTION V: STEPS TAKEN TO REMOVE BARRIERS TO ACCESS, IMPROVE</u> <u>TIMELINESS IN RESPONDING TO REQUESTS, AND REDUCE BACKLOGS</u>

A. Remove Barriers to Access

1. Has your agency established alternative means of access to first party requested records outside of the typical FOIA or Privacy Act Process?

Answer:

Yes.

2. If yes, please provide examples. If no, please indicate why not. Please also indicate if you do not know.

Answer:

The FTC's Rules of Practice provide for additional disclosure outside of FOIA. *See generally* 16 C.F.R. § 4.11. For instance, the FTC's General Counsel may respond to written requests and authorize the disclosure of information "where the General Counsel (or General Counsel's designee) determines that such disclosure would facilitate the conduct of official agency business and would not otherwise be prohibited by applicable law, order, or regulation." 16 C.F.R. § 4.11(h).

3. Please describe any other steps your agency has taken to remove barriers to accessing government information.

Answer:

The FTC maintains a robust and active online presence through our website at FTC.gov and through various social media and online channels. Through these means, the FTC provides consumers and the general public a wide variety of information about FTC operations, including but not limited to press releases, case filings, Commission meeting agendas, Commission event information, public outreach, consumer education materials, and data sets and visualizations.

B. Timeliness

4. For Fiscal Year 2024, what was the average number of days your agency reported for adjudicating requests for expedited processing? Please see Section VIII.A. of your agency's Fiscal Year 2024 Annual FOIA Report.

Answer:

9.3 days.

5. If your agency's average number of days to adjudicate requests for expedited processing was above ten calendar days, according to Section VIII.A. of your agency's Fiscal Year 2024 Annual FOIA Report, please describe the steps your agency will take to ensure that requests for expedited processing are adjudicated within ten calendar days or less.

Answer:

N/A.

6. Does your agency utilize a separate track for simple requests?

Answer:

Yes, the FTC uses a multi-track processing system, which places simple requests on a different processing track than more complex requests and those granted expedited processing.

7. If your agency uses a separate track for simple requests, according to Annual FOIA Report section VII.A, was the agency overall average number of days to process simple requests twenty working days or fewer in Fiscal Year 2024?

Answer:

Yes.

8. If not, did the simple track average processing time decrease compared to the previous Fiscal Year?

Answer:

N/A.

9. Please provide the percentage of requests processed by your agency in Fiscal Year 2024 that were placed in your simple track. Please use the following calculation based on the data from your Annual FOIA Report: (processed simple requests from Section VII.C.1) divided by (requests processed from Section V.A.) x 100.

Answer:

52.1%.

10. If your agency does not track simple requests separately, was the average number of days to process all non-expedited requests twenty working days or fewer?

Answer:

N/A.

C. Backlogs

C. 1. Backlogged Requests

11. If your agency had a backlog of requests at the close of Fiscal Year 2024, according to Annual FOIA Report Section XII.D.2, did that backlog decrease as compared with the backlog reported at the end of Fiscal Year 2023?

Answer:

No.

12. If not, according to Annual FOIA Report Section XII.D.1, did your agency process more requests during Fiscal Year 2024 than it did during Fiscal Year 2023?

Answer:

Yes. We processed 1,812 requests in Fiscal Year 2023 compared to 1,919 in Fiscal Year 2024.

13. If your agency's request backlog increased during Fiscal Year 2024, please explain why and describe the causes that contributed to your agency not being able to reduce its backlog. When doing so, please also indicate if any of the following were contributing factors:

- An increase in the number of incoming requests;
- A loss of staff;
- An increase in the complexity of the requests received (if possible, please

provide examples or briefly describe the types of complex requests contributing to your backlog increase);

- Litigation; and
- Any other reasons please briefly describe or provide examples when possible.

Answer:

The reasons the backlog increased in Fiscal Year 2024 were:

- An increase in the number of incoming requests. The FTC saw an increase in FOIA requests received, from 1,820 in Fiscal Year 2023 to 1,938 in Fiscal Year 2024;
- An increase in the complexity of the requests received. A high portion of the requests we received were complex and required consultation with multiple offices throughout the agency; and
- Litigation. The FTC is currently maintaining a FOIA litigation caseload of between 4-6 active matters at a time. As discussed below, these litigation matters are resource-intensive and divert staff away from ordinary request processing.

In response, the FTC has taken several steps to streamline responding to FOIA requests and thereby reduced backlogs. In particular, the FTC FOIA Unit:

- Provided training to FOIA liaison personnel in FTC bureaus and offices to better explain FOIA obligations and record-gathering processes to streamline these processes and proactively avoid internal bottlenecks that may clog FOIA staff's request dockets;
- Obtained additional personnel resources through employing flexible assignments. At present, the FTC FOIA Unit comprises four attorneys (including one lead attorney), five Government Information Specialists, and a dedicated paralegal. In addition, the Unit can draw on assistance from a litigation technology specialist and three other paralegals as necessary.
- Sought training and guidance from OIP and the Office of Government Information Services to identify best practices in responding to and communicating with requesters; and
- Obtained and deployed technological tools to assist in processing FOIA requests and responding to FOIA litigation demands.

14. If you had a request backlog, please report the percentage of requests that make up the backlog out of the total number of requests received by your agency in Fiscal Year 2024. Please use the following calculation based on data from your Annual FOIA Report: (backlogged requests from Section XII.A) divided by (requests received from Section V.A) x

100. This number can be greater than 100%. If your agency has no request backlog, please answer with "N/A."

Answer:

2%.

C. 2. Backlogged Appeals

15. If your agency had a backlog of appeals at the close of Fiscal Year 2024, according to Section XII.E.2 of the Annual FOIA Report, did that backlog decrease as compared with the backlog reported at the end of Fiscal Year 2023?

Answer:

No, the FTC had no backlogged appeals at the close of either fiscal year.

16. If not, according to section XII.E.1 of the Annual FOIA Report, did your agency process more appeals during Fiscal Year 2024 than it did during Fiscal Year 2023?

Answer:

No, the number of appeals received in FY 2024 was less than FY 2023.

17. If your agency's appeal backlog increased during Fiscal Year 2024, please explain why and describe the causes that contributed to your agency not being able to reduce its backlog. When doing so, please also indicate if any of the following were contributing factors:

- An increase in the number of incoming appeals;
- A loss of staff;
- An increase in the complexity of the requests received (if possible, please provide examples or briefly describe the types of complex requests contributing to your backlog increase);
- Litigation; and
- Any other reasons please briefly describe or provide examples when possible.

Answer:

N/A.

18. If you had an appeal backlog please report the percentage of appeals that make up the backlog out of the total number of appeals received by your agency in Fiscal Year 2024. Please use the following calculation based on data from your Annual FOIA Report: (backlogged appeals from Section XII.A) divided by (appeals received from Section VI.A) x 100. This number can be greater than 100%. If your agency did not receive any appeals in Fiscal Year 2024 and/or has no appeal backlog, please answer with "N/A."

Answer:

N/A.

D. Backlog Reduction Plans

19. In the 2024 guidelines for Chief FOIA Officer Reports, any agency with a backlog of over 1000 requests in Fiscal Year 2023 was asked to provide a plan for achieving backlog reduction in the year ahead. Did your agency implement a backlog reduction plan last year? If so, describe your agency's efforts in implementing this plan and note if your agency was able to achieve backlog reduction in Fiscal Year 2024?

Answer:

N/A.

20. If your agency had a backlog of more than 1,000 requests in Fiscal Year 2024, please explain your agency's plan to reduce this backlog during Fiscal Year 2025.

Answer:

N/A.

E. Reducing the Age of Requests, Appeals, and Consultations

E.1. Ten Oldest Requests

21. In Fiscal Year 2024, did your agency close the ten oldest pending perfected requests that were reported in Section VII.E. of your Fiscal Year 2023 Annual FOIA Report?

Answer:

The FTC closed 10 of 10 oldest pending requests from Fiscal Year 2023.

22. If no, please provide the number of these requests your agency was able to close by the end of the fiscal year, as listed in Section VII.E of your Fiscal Year 2023 Annual FOIA Report. If you had less than ten total oldest requests to close, please indicate that.

Answer:

N/A.

23. Beyond work on the ten oldest requests, please describe any steps your agency took to reduce the overall age of your pending requests.

Answer:

N/A.

E.2. Ten Oldest Appeals

24. In Fiscal Year 2024, did your agency close the ten oldest appeals that were reported pending in Section VI.C.5 of your Fiscal Year 2023 Annual FOIA Report?

Answer:

Yes.

25. If no, please provide the number of these appeals your agency was able to close by the end of the fiscal year, as listed in Section VII.C.(5) of your Fiscal Year 2023 Annual FOIA Report. If you had less than ten total oldest appeals to close, please indicate that.

Answer:

N/A.

26. Beyond work on the ten oldest appeals, please describe any steps your agency took to reduce the overall age of your pending appeals.

Answer:

N/A.

E.3. Ten Oldest Consultations

27. In Fiscal Year 2024, did your agency close the ten oldest consultations that were reported pending in Section XII.C. of your Fiscal Year 2023 Annual FOIA Report?

Answer:

Yes. The FTC did not have any pending consultations at the end of the last fiscal year.

28. If no, please provide the number of these consultations your agency was able to close by the end of the fiscal year, as listed in Section XII.C. of your Fiscal Year 2023 Annual FOIA Report. If you had less than ten total oldest consultations to close, please indicate that.

Answer:

N/A.

29. If your agency did not close its ten oldest pending requests, appeals, or consultations, please explain why and provide a plan describing how your agency intends to close those "ten oldest" requests, appeals, and consultations during Fiscal Year 2025.

Answer:

N/A.

F. Additional Information about FOIA Processing

30. Were any requests at your agency the subject of FOIA litigation during the reporting period? If so, please describe the impact on your agency's overall FOIA request processing and backlog. If possible, please indicate:

- The number and nature of requests subject to litigation;
- Common causes leading to litigation; and
- Any other information to illustrate the impact of litigation on your overall FOIA administration.

Answer:

Yes, the FTC had multiple requests serve as the basis for litigation during Fiscal Year 2024. These litigation matters were all filed prior to this period; the FTC did not receive any new lawsuits during Fiscal Year 2024. The requests at issue and the litigation matters ongoing during this period are:

- 1. <u>FOIA-2022-00066</u>: Americans for Prosperity Foundation v. FTC, No. 1:21-cv-03207 (D.D.C. filed Dec. 8, 2021);*
- 2. <u>FOIA-2022-00588, FOIA-2022-00589, FOIA-2023-00323, FOIA-2022-00324</u>: U.S. Chamber of Commerce v. FTC, No. 1:22-cv-02070 (D.D.C. filed July 14, 2022);
- 3. <u>FOIA-2022-00436, FOIA-2022-00958</u>: *Functional Government Initiative v. FTC*, No. 1:22-cv-01614 (D.D.C. filed Aug. 21, 2022);*
- 4. <u>FOIA 2022-01401</u>: *Functional Government Initiative v. FTC*, No. 1:22-cv-3273 (CKK) (D.D.C. filed Oct. 26, 2022);*
- 5. <u>FOIA 2022-01204</u>: *Bloomberg, L.P. v. FTC*, No. 1:22-cv-3309 (D.D.C. filed Oct. 28, 2022);
- 6. <u>FOIA 2022-01402</u>: *Functional Government Initiative v. FTC*, No. 1:23-cv-0403 (D.D.C. filed Feb. 13, 2023);*
- 7. <u>FOIA 2023-00282</u>: *Judicial Watch, Inc. v. FTC*, No. 1:23-cv-0692 (D.D.C. filed Mar. 14, 2023); and
- 8. <u>FOIA-2023-00927</u>: *America First Legal Foundation v. FTC*, No. 1:23-cv-2765 (D.D.C. filed Sept. 21, 2023).*

The five matters indicated with (*) remain active and/or unresolved to date. As a general matter, the FTC refers to the allegations in the complaints for descriptions of the causes that led these plaintiffs to pursue litigation.

The impact of FOIA litigation is to place additional, unexpected, and often heavy burdens on the FOIA Unit and on the agency generally, especially where additional document productions may be required. Given finite resources, efforts made to respond to FOIA litigation take resources from the agency's ongoing work; for the FOIA Unit, these efforts can result in delays processing incoming FOIA requests and increased backlogs.