

Trial - Public Record

Illumina, Inc. and Grail, Inc.

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1 MS. MUSSER: And, Mr. Stark, I'll go ahead and
2 proceed for now. Does that work for you?

3 MR. STARK: That's fine by me, as long as it's
4 okay with His Honor.

5 JUDGE CHAPPELL: Right. So you need to verify
6 whether in camera treatment was requested, number one,
7 and number two, if you're going to want in camera
8 treatment provisionally as of today. This we will need
9 to know soon.

10 MR. STARK: Yes, Your Honor, I'm asking someone
11 to check on that. I'm afraid I don't have that
12 information at hand.

13 JUDGE CHAPPELL: Right, okay.
14 Go ahead for new, Ms. Musser.

15 BY MS. MUSSER:

16 Q. And you testified that payer uptake depends in
17 part on clinical utility and economic utility. Is that
18 correct?

19 A. Yes.

20 Q. And Illumina's risk-sharing agreement with
21 Harvard Pilgrim generated data on the clinical and
22 economic utility of NIPT. Is that correct?

23 A. In -- yes, in all pregnancies, but there was
24 data on high-risk pregnancies.

25 Q. Illumina's risk-sharing agreement with Harvard

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1 Pilgrim did not generate data on the clinical and
2 economic utility of the Galleri test. Is that right?

3 A. Sorry. Are we talking about the NIPT
4 risk-sharing agreement?

5 Q. The -- the NIPT risk-sharing agreement between
6 Illumina and Harvard Pilgrim did not generate economic
7 and clinical utility of GRAIL's Galleri test. Is that
8 correct?

9 A. Yes, that's correct.

10 Q. And I believe you also testified on Tuesday that
11 a factor that can influence patient -- payer uptake is a
12 company's relationship with payers. Is that correct?

13 A. Yes, that's correct.

14 Q. And you testified yesterday that Mr. Gautem
15 Kollu was not part of the access group at Illumina. Is
16 that correct?

17 A. Yes, that's correct.

18 Q. Now, Mr. Kollu was head of the market
19 development team at Illumina from 2017 to 2019, right?

20 A. That's correct. That's different group, yeah.

21 Q. And so as head of the market development team,
22 Gautem Kollu was part of the cross-functional team that
23 negotiated the Harvard Pilgrim risk-sharing agreement.
24 Isn't that right?

25 A. No, that's not exactly right. So Mr. Kollu

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1 attended one or two meetings with Harvard Pilgrim as
2 part of the cross-functional team, but he was not
3 involved in negotiations.

4 Q. But he was involved in the development of the
5 risk-sharing agreement with Harvard Pilgrim, correct?

6 A. Not exactly. He was not involved in the
7 development. This was market access work, but as a
8 cross-functional team member and expertise in NIPT, he
9 was, you know, called upon when needed.

10 Q. Okay.

11 Ms. Allen, could you pull up the trial
12 transcript from Tuesday. If you could zoom in on lines
13 22 through 1.

14 You were asked by Mr. Stark:

15 "QUESTION: Was Mr. Kollu involved in the
16 development of Illumina's first risk-sharing agreement
17 with Harvard Pilgrim?"

18 Do you see that?

19 A. Yes.

20 Q. And on Tuesday, you said:

21 "ANSWER: I would say yes, but as a
22 cross-functional team member."

23 Do you see that?

24 A. Yes.

25 Q. And -- you can take that down, Ms. Allen.

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1 And Gautem Kollu is now chief commercial officer
2 at GRAIL. Is that right?

3 A. Yes.

4 Q. And Rick Nida was another Illumina employee that
5 was also involved in the Harvard Pilgrim risk-sharing
6 agreement. Is that right?

7 A. Yes, "involved" is -- well, I'm sorry, yes.
8 "Involved" is a broad term.

9 Q. But he was involved in some capacity, correct?

10 A. Yes.

11 Q. And Mr. Nida has since left Illumina. Is that
12 right?

13 A. Yes.

14 Q. And Mr. Nida is now principal and senior vice
15 president at GenoSan Genomic and Diagnostic
16 Commercialization Consulting. Is that correct?

17 A. Yes. That's how far I know from you, yeah.

18 Q. And GenoSan is a commercial consulting company
19 focused on market access and reimbursements and
20 specializing in genomic and diagnostic testing markets.
21 Is that correct?

22 A. I don't know.

23 Q. And NIPT is not a good comparison for Galleri in
24 terms of payer uptake, correct?

25 A. Correct, as payer uptake, which means that the

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1 test uptake by payers, but the risk-sharing agreement
2 and the principles of developing clinical and economic
3 utility are basically the same.

4 Q. But NIPT is not a good comparison for Galleri in
5 terms of patient uptake, correct?

6 A. In terms of uptake, but not in terms of what we
7 can learn from NIPT that could inform the Galleri test.

8 Q. Again, Mr. Qadan, my question was focused on
9 uptake. So one more time.

10 NIPT is not a good comparison for Galleri in
11 terms of patient uptake. Is that right?

12 A. That relates to payer uptake, yes, but it does
13 not relate to the principles of clinical and economic
14 utility that are the same across applications.

15 MS. MUSSER: Your Honor, I would move to strike
16 after "that relates to payer uptake, yes."

17 JUDGE CHAPPELL: I'm going to allow that answer.
18 He just gives some more information. I think that's
19 fine. Overruled.

20 BY MS. MUSSER:

21 Q. And the type of clinical and economic utility
22 you need for Galleri is going to require a different
23 strategy than NIPT to generate that data. Is that
24 correct?

25 A. Yes. Being in cancer, it requires a different

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1 type of study, but, again, the principles of clinical
2 and economic utility, especially the economic utility,
3 when payers look at economic utility, there is a
4 threshold that goes across applications, even across
5 healthcare innovations, including pharmaceuticals, when
6 it comes to budget impact.

7 Q. So putting aside those general principles --
8 well, strike that.

9 Those general principles will require a
10 different type of study in cancer than NIPT. Is that
11 fair?

12 A. Again, not exactly, because the expertise that
13 we built working on NIPT and other applications within
14 Illumina helps us a lot think about Galleri.

15 Q. But the type of study that you will need will
16 differ between the two types of products. Is that
17 correct?

18 A. Yes, but we're working on comprehensive genomic
19 profiling where the survival and the progression-free
20 survival in cancer has the same elements of the Galleri
21 test benefits when it comes to clinical utility.

22 Q. But the evidence needed for Galleri will be
23 different than the evidence needed for NIPT. Is that
24 correct?

25 A. The type of evidence is going to be different.

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1 Q. And the type of evidence needed for Galleri will
2 be different than, say, comprehensive genomic profiling.
3 Is that right?

4 A. Not exactly true, because the clinical utility
5 relates to how the GRAIL test or the Galleri test will
6 impact overall survival in cancer patients and
7 progression-free survival in cancer patients, and these
8 are the two same concepts that are used in comprehensive
9 genomic profiling. It's just the timing of applying the
10 test.

11 Q. So the timing of applying the test will be
12 different between comprehensive genetic profiling and
13 the Galleri test. Is that correct?

14 A. Yes, that's correct. One is screening test.
15 One is diagnostic test.

16 Q. And the clinical and economic utility evidence
17 needed for Galleri will be different than the type of
18 evidence needed for polygenic risk score. Is that
19 correct?

20 A. Not exactly correct, because polygenic risk
21 score could also apply in cancer. The polygenic risk
22 score that we include in our agreement might not be
23 related to cancer.

24 Q. And the clinical and economic utility evidence
25 needed for Galleri will be different than the evidence

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1 needed for infectious diseases. Is that correct?

2 A. Yes, that's correct, but, again, the same
3 principles apply.

4 Q. And the product profile for, say, NIPT is
5 different than the product profile for Galleri. Is that
6 right?

7 A. Yes. They are different in terms of profile,
8 but, again, the clinical and economic utility principles
9 might be the same.

10 Q. And at the time of your deposition, you had not
11 studied in detail at Illumina how the Galleri uptake
12 would be based on the Galleri product profile. Is that
13 correct?

14 A. Sorry, I did not understand the question.

15 Q. Sure. We were talking about payer uptake. Is
16 that right?

17 A. Yes.

18 Q. And I think we had also talked about how payer
19 uptake for, say, early cancer screening is going to look
20 different than it does for NIPT. Is that right?

21 A. Yes.

22 Q. In part because the product profile for NIPT is
23 different than the product profile for a cancer
24 screening test. Is that right?

25 A. Yes, and that's the expertise we developed. We

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1 are able to distinguish and be able to say what type of
2 uptake can be taken with NIPT versus Galleri-like test.
3 It's all related to the budget impact.

4 Q. And at the time of your deposition, you had not
5 studied in detail how payer uptake for early cancer is
6 going to look different than it does for NIPT, correct?

7 A. As I said, part of the due diligence process, we
8 have looked at the uptake of the Galleri test, so
9 it's -- it's not completely correct. That was part of
10 the due diligence process.

11 MS. MUSSER: Can you please pull up the
12 deposition at page 109, lines 4 through 10.

13 BY MS. MUSSER:

14 Q. And you were asked at your deposition:

15 "QUESTION: Do you agree with Trish that payer
16 uptake for early cancer screening is going to look
17 different than it does for NIPT?"

18 And you said:

19 "ANSWER: What I can say is possibly. But we
20 did not study that in detail at Illumina, how would that
21 uptake be based on a product profile."

22 Do you see that?

23 A. I see that.

24 Q. And Trish is one of the payer partners in the
25 market access group. Is that correct?

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1 A. Yes.

2 MS. MUSSER: You can put that down, Ms. Allen.

3 BY MS. MUSSER:

4 Q. And you stated at your deposition that there
5 needs to be an infrastructure for risk-sharing
6 agreements that enabled the analysis of historical data
7 that would, in turn, enable the analysis of ongoing
8 data. Is that correct?

9 A. Sorry. Can you repeat the question?

10 Q. Of course.

11 You stated at your deposition that there needs
12 to be an infrastructure for risk-sharing agreements that
13 enables the analysis of historical data that would, in
14 turn, enable the analysis of ongoing data. Is that
15 right?

16 A. Yes, that's correct.

17 Q. And you also stated at your deposition that it
18 would be important to publish that data once the
19 agreement is completed. Is that correct?

20 A. Yes, that's correct.

21 Q. And you've also testified on Tuesday and again
22 today about the importance of clinical utility data to
23 payer acceptance. Is that right?

24 A. Yes, that's right.

25 Q. And I believe Mr. Stark asked you several

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1 questions about GRAIL clinical studies on Tuesday. Do
2 you recall?

3 A. Yes, yes.

4 Q. Now, GRAIL has designed and launched its
5 clinical study program as an independent company. Is
6 that correct?

7 A. Yes. That's as far as I know, yeah.

8 Q. And GRAIL conducted a CCGA study as an
9 independent company. Is that correct?

10 A. Yes, but that's not clinical utility.

11 Q. And that study was a clinical study with over
12 15,000 participants. Is that right?

13 A. I -- sorry, I do not know the exact number of
14 patients, but that's not clinical utility.

15 Q. Okay. And in that study, GRAIL partnered with
16 several organizations. Is that right?

17 A. I don't know the details. Sorry.

18 Q. But do you know whether they partnered with the
19 Mayo Clinic?

20 A. I saw something in the public domain about this,
21 yes.

22 Q. They also partnered with the Cleveland Clinic.

23 A. Yes. That's, again, for test performance, not
24 clinical utility.

25 Q. And the Dana-Farber Cancer Institute. Is that

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1 right?

2 A. I don't know.

3 Q. GRAIL also designed and enrolled participants in
4 its Pathfinder study. Is that right?

5 A. Yes, as far as I can say, Pathfinder is a GRAIL
6 study.

7 Q. And GRAIL designed and then enrolled these
8 participants as an independent company. Is that right?

9 A. Yes. Again, it's not clinical utility data.

10 Q. But that clinical study involved roughly 6600
11 participants. Is that right?

12 A. Sorry. I'm not aware of the exact number of
13 patients.

14 Q. And GRAIL partnered with the Mayo Institute, the
15 Cleveland Clinic, and the Dana-Farber Cancer Institute
16 as part of that study, correct?

17 A. I don't know who they partnered with. Sorry.

18 Q. And GRAIL designed and enrolled participants in
19 their STRIVE study as well. Is that correct?

20 A. These are all GRAIL studies, yes.

21 Q. And it enrolled and designed its STRIVE study as
22 an independent company, correct?

23 A. As far as I know.

24 Q. And that study involved roughly 100,000
25 participants. Is that right?

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1 A. I don't know the number of participants in that
2 study.

3 Q. And GRAIL partnered with the Mayo Clinic, the
4 Cleveland Clinic, and the Henry Ford Health System in
5 that study. Is that right?

6 A. Yes, that's right. All of these are healthcare
7 systems. These are not payers. Sorry.

8 Q. And GRAIL designed and is currently enrolling
9 participants in a SUMMIT study, correct?

10 A. As far as it is available in the public domain.

11 Q. And its design and enrollment of these
12 participants is being done as an independent company.
13 Is that right?

14 A. Yes. Again, ma'am, that -- that has nothing to
15 do with payers.

16 Q. And this study involves 25,000 participants. Is
17 that right?

18 A. I don't know the number of patients.

19 Q. And GRAIL has designed and is currently
20 enrolling patients in a real-world evidence study in the
21 United Kingdom. Is that correct?

22 A. Yes, I heard about that study.

23 Q. And that study is being conducted in partnership
24 with the United Kingdom's National Health Service,
25 correct?

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1 A. As far as I know, yes.

2 Q. And NHS said it wanted to and planned to recruit
3 over 140,000 participants in this study, correct?

4 A. I don't know the number of patients. Sorry.

5 Q. And GRAIL accomplished that as an independent
6 company without the assistance of Illumina. Is that
7 correct?

8 A. Yes. Again, my area of expertise is around
9 market access. I don't know how is that related, but
10 yeah.

11 Q. And GRAIL's clinical study program has enrolled
12 over 134,000 participants already. Is that right?

13 A. I don't know.

14 Q. And its clinical study program is the largest of
15 its kind in the field of genomics. Is that right?

16 A. I really don't know the frame of reference here.
17 Sorry.

18 Q. And you testified earlier that GRAIL did not
19 have resources in place to generate clinical utility
20 data. Do I have that right?

21 A. I -- I cannot recall, but I definitely mean is
22 they did not have yet clinical utility data. That's for
23 sure.

24 Q. So you don't know whether they have resources in
25 place to generate clinical utility data?

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1 A. I really don't know their exact resources, but
2 what I can say is that there is no clinical utility data
3 today for Galleri's test.

4 Q. And that's -- statement is based on publicly
5 available information, correct?

6 A. Yes.

7 Q. And you don't know the exact resources that they
8 have internally, correct?

9 A. Yeah, I don't know the exact resources. Yes.

10 Q. And is your view that one -- one thing that
11 GRAIL needs to generate clinical utility data is
12 capital?

13 A. Sorry. The question again?

14 Q. Um-hum. In your view, what GRAIL -- one thing
15 GRAIL needs to generate clinical data is capital. Is
16 that correct?

17 A. For --

18 MR. STARK: Objection, Your Honor. It's beyond
19 the scope.

20 JUDGE CHAPPELL: Response or rephrase.

21 MS. MUSSER: If I -- I will establish scope with
22 the witness, Your Honor.

23 JUDGE CHAPPELL: Go ahead.

24 BY MS. MUSSER:

25 Q. Mr. Qadan, you testified on Tuesday extensively

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1 about GRAIL's capabilities regarding clinical data. Do
2 you recall that?

3 A. Again, my testimony said basically that there is
4 no clinical utility data for Galleri test today.

5 Q. Okay, that you're aware of based on publicly
6 available information. Is that correct?

7 A. Yes.

8 Q. Okay. And so are you offering any opinion as to
9 whether or not they have the capability of generating
10 their own clinical utility data?

11 A. No. My responsibility here is to say -- is to
12 see how we can help GRAIL accelerate. That's all.

13 Q. Okay. And so you don't know what their internal
14 capabilities are regarding their own clinical utility
15 data. Is that correct?

16 A. No, I really don't know.

17 Q. And so you don't know what they would need
18 internally in order to develop their own clinical
19 utility data.

20 A. No. What I know is what we need, as Illumina,
21 to develop clinical utility data and should be -- that's
22 exactly the same.

23 Q. Okay. And one of the things you need at
24 Illumina to develop clinical utility data is capital in
25 order to invest in clinical utility studies. Is that

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1 right?

2 A. Not only that, but also the cross-functional
3 team expertise, like medical affairs, clinical affairs,
4 regulatory affairs.

5 Q. But one of those things that Illumina needs to
6 develop clinical utility data is capital, correct?

7 A. Yes, but, again, the cross-functional team
8 expertise is also important, not only the capital, but
9 also the cross-functional team expertise.

10 Q. Okay. But, again, one of those things that you
11 need is capital, correct?

12 A. Yes. One of the things is capital. Yes.

13 Q. Okay. And I believe at the time of your
14 deposition, you estimated that GRAIL would need a half a
15 billion to a billion dollars to develop clinical utility
16 data. Do you recall that?

17 A. Yes. That's for regulatory and market access.

18 Q. Okay. And at the time of your deposition, you
19 also stated that your group did not have budget
20 available for clinical utility studies because Galleri
21 is not within your focus application. Do you recall
22 that?

23 A. Yes, for the Galleri test, we do not have that
24 budget. Yep. However, again, that budget does not come
25 from me only or from my function only. It comes from

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1 multiple functions.

2 Q. And companies can recruit -- well, strike that.

3 I think you just mentioned that in addition to
4 capital, one of the other requirements was expertise.
5 Is that fair?

6 A. Yes.

7 Q. To develop -- okay.

8 And companies can recruit clinical research
9 organizations to run the operational aspects of a
10 clinical utility study. Is that right?

11 A. Yes.

12 Q. Companies can also hire consultants to
13 understand how commercial payers would look at a
14 particular test. Is that right?

15 A. Yes. They can help them understand the strategy
16 but not the execution. Yes.

17 Q. And, for example, Illumina hired Dr. Lee
18 Newcomer as part of its due diligence, correct?

19 A. Yes.

20 Q. And Dr. Lee Newcomer was hired to understand how
21 commercial payers would look at the Galleri test. Is
22 that right?

23 A. Yes, but his feedback was in line with what we
24 thought.

25 Q. And Dr. Lee Newcomer is a former United

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1 employee, correct?

2 A. Yes, correct.

3 Q. And other companies aside from Illumina have
4 conducted clinical utility studies. Is that right?

5 A. Yes, that's right.

6 Q. For example, Genomic Health undertook multiple
7 clinical use studies over the last decade. Is that
8 fair?

9 A. Yes.

10 Q. And you testified earlier about economic utility
11 evidence generated as a result of the risk-sharing
12 agreement by Harvard Pilgrim. Do you recall that?

13 A. Yes.

14 Q. I just have a few followup questions.

15 Harvard Pilgrim provided the data generated as
16 part of that study directly to University of Colorado
17 researchers, correct?

18 A. Yes, that's correct.

19 Q. And the University of Colorado research team led
20 the study design. Is that right?

21 A. No, not exactly right, because the University of
22 Colorado do not have any expertise in noninvasive
23 prenatal testing, and so our team led that part
24 definitely.

25 Q. And, Ms. Allen, if you could pull what's been

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1 marked as PXD 0013, this is a demonstrative that is
2 solely being -- is not being introduced into evidence.
3 If you could start with the first screen, please, the
4 first page, sorry. If you could zoom in on the title,
5 please.

6 MR. STARK: I would just like to interpose an
7 objection that this was, I think, not shared with us
8 prior to the -- you know, prior to the testimony as per
9 agreement among counsel.

10 MS. MUSSER: Mr. Stark, this is solely being
11 used for impeachment regarding his last statement. If
12 you would like, I can go directly to the portion of this
13 that goes to that statement.

14 MR. STARK: If you want to go to the deposition,
15 that's fine by me.

16 MS. MUSSER: We'll go to the page of this
17 document.

18 BY MS. MUSSER:

19 Q. If you could go to the declaration page, please.
20 And this declaration, it says, "Funding," and if you go
21 to the third sentence, it says, "University of Colorado
22 authors led the study design." Do you see that?

23 A. Yes, but they do not have any NIPT expertise.
24 So that expertise needed to come from Illumina.

25 Q. Okay. But in their declaration and its

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1 public -- published paper about the NIPT study, what the
2 University of Colorado authors say is that they led the
3 study design, correct?

4 A. Yes. What goes into the study design is a lot
5 of input that is needed from subject matter experts like
6 Illumina. So they could build the study protocol, but
7 all of that needs to come from Illumina, because they do
8 not have subject matter expertise in NIPT.

9 Q. Okay. But in their declaration, in their public
10 article, they wrote, "University of Colorado authors led
11 the study design," correct?

12 A. Yes, and they do not have expertise in NIPT.
13 That expertise came from Illumina.

14 JUDGE CHAPPELL: All right. We've heard this
15 five times. Move on.

16 BY MS. MUSSER:

17 Q. They also said that the University of Colorado
18 authors led data analysis, correct?

19 A. Yes, with input from Illumina. Remember, the
20 academic institution is needed for the neutrality of the
21 study for publication purposes as well.

22 Q. And the University of Colorado authors disclosed
23 that they also led interpretation of data, correct?

24 A. With input from Illumina, yes.

25 Q. And I think the writing of the report was --

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1 they led the writing of the report with feedback
2 provided by BS and JFB, correct?

3 A. Yeah. What's -- sorry. What's meant by "BS"?

4 Q. Brock Schroeder, who is the head of the
5 health -- is part of the market access team at Illumina,
6 correct?

7 A. Yes. He's the head of AQR, and he provided all
8 the input related to NIPT needed for this study.

9 Q. And if you could put this document aside,
10 Ms. Allen.

11 A. He is the subject matter expert.

12 Q. And you mentioned that this was the first
13 risk-based program in NGS genomics, correct?

14 A. Yes.

15 Q. And it's fair to say that Harvard Pilgrim
16 learned from this risk-based contract, right?

17 A. Yes.

18 Q. And Harvard Pilgrim gained experience in
19 risk-sharing agreements, correct?

20 A. Yes.

21 Q. And you earlier testified on Tuesday about
22 clinical utility data that you received from your
23 contract with Providence. Do you recall that testimony?

24 A. Yes.

25 Q. You also testified about GRAIL's agreements with

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1 self-insured employees yesterday, correct?

2 A. Yes. On Tuesday, yes.

3 Q. On Tuesday.

4 And you don't know if GRAIL's agreements with
5 self-insured employees could generate clinical utility
6 data, correct?

7 A. Yes, correct.

8 Q. You also testified about GRAIL's agreements with
9 health systems like Providence, correct?

10 A. Yes, correct.

11 Q. And you don't know if GRAIL's agreements with
12 health systems like Providence can generate clinical
13 utility data, correct?

14 A. Yes. Again, my expertise is around payers and
15 how would that impact payers. That's the point.

16 Q. And you also testified about GRAIL's agreements
17 with concierge medicine providers, correct?

18 A. Yes, correct.

19 Q. And you don't know if those agreements with
20 concierge -- medical -- medicine providers can generate
21 clinical utility data, correct?

22 A. Yes, correct.

23 Ms. Musser, may I say something? I mean, all of
24 these are good. My focus is on the uptake of the test
25 by payers, and all of those can count in whatever, tens

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1 of thousands, hundreds of thousands. When we talk about
2 partnership with United, these -- United covers, for
3 example, you know, or any other insurer covers millions
4 of lives. So that's the difference we need to be aware
5 of.

6 MS. MUSSER: Your Honor, if I could move to
7 strike, this has absolutely no relation to my question,
8 and if Mr. Qadan would like to offer additional context,
9 he is free to do so on redirect.

10 JUDGE CHAPPELL: I'm going to allow that. He
11 seems to be providing some info that might help guide
12 you in some of these questions and whether they're
13 relevant to what he knows or not. That's overruled.

14 BY MS. MUSSER:

15 Q. Okay. So to be clear, you testified that your
16 focus is on relationships with payers, correct?

17 A. Yes, correct.

18 Q. Okay. So it is not your area of expertise to
19 talk about clinical utility data generated outside the
20 context with payers. Is that correct?

21 A. My focus on -- that's partially correct. My
22 focus on clinical utility is how it helps payers make
23 decisions.

24 Q. Okay. So not -- your focus on clinical utility
25 data does not apply to other contexts, other than

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1 focused on payers. Is that correct?

2 A. That's the main focus of my work, correct.

3 Q. And you testified about the market access
4 group's use of consultants. Is that correct?

5 A. Yes, correct.

6 Q. Okay.

7 A. For specific things.

8 Q. Um-hum. And the market access group uses a
9 consulting firm called Ipsos. Is that correct?

10 A. Yes, to develop the dashboard for coverage and
11 reimbursement globally.

12 Q. Okay. And Ipsos is a market research and
13 consultancy. Is that right?

14 A. Yes.

15 Q. And Illumina's market access group had also used
16 Bruce Quinn Associates for consulting. Is that correct?

17 A. Yes.

18 Q. And Illumina has also used Deloitte as a
19 consultant. Is that correct?

20 A. Yes, for building strategy for RUGD, for rare,
21 undiagnosed genetic diseases.

22 Q. And Illumina has consulted with Deloitte related
23 to innovative contracting mechanisms. Is that correct?

24 A. Yes, correct, but they had nothing to do with
25 Harvard Pilgrim.

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1 Q. Just innovative contracting mechanisms, correct?

2 A. Yes, correct.

3 Q. And Illumina also uses consulting firms for
4 market access outside the United States. Is that
5 correct?

6 A. Outside, sorry, what?

7 Q. The United States.

8 A. Oh, yes, for specific --

9 Q. For example --

10 A. Yeah.

11 Q. -- for example, Illumina consulted Vista Health
12 regarding market access in Japan and Korea, correct?

13 A. Yes, correct.

14 Q. And Illumina consulted Khan Consulting regarding
15 market access in Europe. Is that right?

16 A. Yes, that's right. In Germany, yes.

17 Q. And at the time of your deposition, you
18 testified that Illumina had not discussed acceleration
19 specifically outside the U.S., correct?

20 A. Sorry. The question is related to Galleri?

21 Q. You testified with -- with -- you testified on
22 Tuesday in response to questions by Mr. Stark regarding
23 how Illumina could impact GRAIL's payer acceptance
24 internationally. Do you recall that line of testimony?

25 A. Yes. We discussed some of our plans outside the

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1 U.S., yes.

2 Q. And at the time of your deposition, you had
3 testified that Illumina had not discussed acceleration
4 specifically outside the United States. Is that
5 correct?

6 A. We -- yes, we did not discuss with payers
7 outside the U.S. yet, but we have a plan for
8 acceleration outside the U.S. as well, two different --

9 Q. But you had not discussed acceleration with
10 payers outside the United States. Do I have that right?

11 A. Yes. We cannot discuss at this stage.

12 Q. And traditionally you view the United States and
13 outside the United States as two separate geographies.
14 Is that right?

15 A. That's partially right, because some clinical
16 data and budget impact data that we develop will have
17 applicability across the board, and we use that all the
18 time in applications to payers outside the U.S.

19 Q. But you don't know how far accelerating
20 Galleri's U.S. market access outside the United States
21 will impact business in the United States. Is that
22 correct?

23 A. Sorry. Maybe I do not get the question. Like,
24 is it about U.S. impacting outside the U.S. or outside
25 the U.S. impacting the U.S.? I'm not clear. Sorry.

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1 Q. No, that's okay. Let me rephrase.

2 You cannot estimate how acceleration outside the
3 impact -- outside the United States would impact market
4 access in the United States, correct?

5 A. Not exactly right, because some of the data that
6 could be generated outside the U.S. might impact the
7 U.S. around clinical utility.

8 Q. But you don't know how to estimate any impact
9 that that would have, correct?

10 A. No. We can preliminarily estimate how would
11 that impact -- especially around -- payers outside the
12 U.S., but now how would that impact the U.S., that was
13 not something that we looked at specifically.

14 Q. So you didn't look at the impact from -- of
15 acceleration outside the U.S. on market access inside
16 the U.S. Is that fair?

17 A. Yes, but I do not know the -- you know, what is
18 that. We look at the U.S. and we look outside the U.S.
19 and we look at China at the same time.

20 Q. Um-hum. But you did not estimate a number of
21 how the impact outside the United States would impact
22 market access in the United States. Is that correct?

23 A. Yes, that's correct.

24 Q. And you testified on Tuesday about Illumina's
25 work on state Medicaid plans in California, Texas, and

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1 New York. Do you recall that testimony?

2 A. Sorry. That's related to NIPT?

3 Q. I think general work with state Medicaid plans
4 in California, Texas, and New York.

5 A. So, yes, that is related to NIPT specifically.
6 Yes.

7 Q. Okay. And you recall that testimony on Tuesday?

8 A. Yes.

9 Q. And are you aware that GRAIL announced two days
10 ago that New York State has just approved the GRAIL
11 Galleri test for coverage?

12 A. This is a different -- yes, I saw that, but this
13 is a different thing than reimbursement. This is about
14 the test approval, the lab approval by the State of New
15 York. It has nothing to do with reimbursement by the
16 State of New York.

17 Q. But it does have to do with coverage by the
18 State of New York. Is that correct?

19 A. No. It has nothing to do with the coverage of
20 the State of New York. That's a different decision.

21 Q. Okay. But the GRAIL Galleri test is now
22 available to New York residents for prescription,
23 correct?

24 A. Yes, as anybody could order the test, but it
25 doesn't mean it is reimbursed.

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1 Q. And you testified on Tuesday about the
2 importance of data in bringing a test to market, and
3 today. Is that fair, Mr. Qadan?

4 A. Yes.

5 Q. I'd like to pull up a document which has been
6 marked as PX 2731. This is already in evidence under
7 JX 2.

8 If you look at the subject line of the email, it
9 says, "AACR data on Thrive and GRAIL." Do you see that?

10 A. Yes.

11 Q. And AACR means the American Association for
12 Cancer Research?

13 A. Yes.

14 Q. Do you need me to zoom in a little bit more on
15 the top section, Mr. Qadan, or can you see it?

16 A. I can see it. No, I can see, yeah.

17 Q. And you sent this email to Joydeep Goswami. Is
18 that correct?

19 A. Yes.

20 Q. And Mr. Goswami is the head of business
21 development. Is that correct?

22 A. Yes.

23 Q. And if you could go to the body of this email,
24 Ms. Allen.

25 And you go on to write, "I'm sure you've seen

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1 the newly released data that AACR focus on GRAIL and
2 Thrive."

3 Do you see that?

4 A. Yes.

5 Q. And this is talking about publicly available
6 data of both the GRAIL test and the Thrive test. Is
7 that correct?

8 A. Yes.

9 Q. And you go on to write in the middle that, "The
10 data published in Science about the Thrive test shows a
11 very carefully designed study in many ways."

12 Do you see that?

13 A. Yes.

14 Q. And you list five bullet points underneath that?

15 A. Yes.

16 MR. STARK: I'm going to object, Your Honor, as
17 outside the scope. We did not cover on direct anything
18 about Thrive or other potential competitors.

19 JUDGE CHAPPELL: Response?

20 MS. MUSSER: Your Honor, if I may -- Your Honor,
21 Mr. Stark covered extensively the importance of data and
22 the requirements of data in order to getting a test to
23 market and coverage, as Mr. Qadan has said is his area
24 of specialty. This clearly talks about the Thrive test
25 and elements of its study.

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1 JUDGE CHAPPELL: With a beyond-the-scope
2 objection, you need to lay a foundation with the witness
3 that this is within the scope of what he talked about on
4 direct.

5 BY MS. MUSSER:

6 Q. Mr. Qadan, you spoke about the importance of
7 data and getting a test covered by market, correct?

8 A. Yes.

9 Q. And part of that data includes clinical validity
10 data. Is that right?

11 A. I talked about clinical utility data.

12 Q. And clinical validity data is also required,
13 correct?

14 A. Clinical validity data is required for FDA to
15 approve a test.

16 Q. Okay. And I believe you just testified today
17 that FDA approval is a prerequisite to Medicaid
18 coverage. Is that right?

19 A. Yes, but it's different than clinical utility.
20 Yes.

21 Q. But still a prerequisite to payer coverage,
22 correct?

23 A. By CMS, yes.

24 Q. And one of these -- oh, go ahead, Mr. Stark.

25 MR. STARK: Your Honor, I don't know if there's

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1 more attempt to connect this upcoming, Your Honor, but
2 at this stage, I would renew the beyond-the-scope
3 objection. Mr. Qadan was not asked and did not testify
4 about clinical validity data or anything at any level of
5 detail about what's required for FDA approval.

6 MS. MUSSER: Your Honor --

7 JUDGE CHAPPELL: Response?

8 MS. MUSSER: My apologies, Your Honor.

9 Your Honor, I believe I have adequately laid a
10 foundation. As he just stated, he testified about the
11 FDA approval as a prerequisite to coverage, which he has
12 just explained is his area of expertise. This is a --
13 clearly feeds into the FDA coverage decision, and, Your
14 Honor, with your indulgence, I only have a couple
15 questions on this document. I won't belabor the point.

16 MR. STARK: If I may, Your Honor?

17 JUDGE CHAPPELL: Go on.

18 MR. STARK: Mr. Qadan has clearly testified that
19 regulatory approval is not his area, and the mere fact
20 that FDA approval is a requirement or prerequisite to
21 getting reimbursement coverage I would respectfully
22 submit does not open the door to clinical validity data
23 and other elements that go into getting FDA approval.

24 JUDGE CHAPPELL: Do we know if the witness has
25 any information or knowledge about clinical validity

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1 data?

2 MS. MUSSER: Your Honor, if I may, he did write
3 this email discussing that data. This is from him.

4 JUDGE CHAPPELL: I'll allow you two questions on
5 this email, and then we're moving on. You said you had
6 a couple questions. You get a couple questions.

7 MS. MUSSER: Thank you, Your Honor. I will
8 limit myself to two questions.

9 BY MS. MUSSER:

10 Q. After the five bullet points describing the data
11 set, you write in the next paragraph, "These guys know
12 exactly what they're doing. Knowing their CEO and some
13 of the key players who came from Foundation Medicine,
14 they understand exactly what will make this product
15 successful."

16 You conclude, "In my humble opinion, the above
17 could accelerate the Thrive test approval process and
18 uptake as it is very defined."

19 Do you see that?

20 A. Yes.

21 Q. And their CEO is Kevin Conroy, correct?

22 A. Sorry, the CEO?

23 Q. Is Kevin Conroy, correct?

24 A. No. The CEO of Thrive is not Kevin Conway.

25 Dave Daly at the time.

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1 Q. Okay. You can take that down.

2 And I just had a few questions following up on
3 your background. I believe you spoke with Mr. Qadan --
4 or Mr. Stark at length at your career in pharmaceuticals
5 at Bristol Myers Squibb. Is that right?

6 A. Yes.

7 Q. And I believe you testified that you spent the
8 majority of your career at Bristol Myers Squibb. Is
9 that correct?

10 A. Yes.

11 Q. And you also explained on Tuesday that genomics
12 is different than, for example, pharmaceutical in how
13 you build the clinical and economic value chain. Is
14 that right?

15 A. Yes.

16 Q. And genomics is different than pharmaceuticals
17 and how you define clinical utility and what types of
18 data you need to deal with. Is that correct?

19 A. Yes.

20 Q. And you've been at Illumina since late 2016?

21 A. Yes. The reason why I joined Illumina is that I
22 know payers, and there were no pathways in the majority
23 of the cases, so my job was learning from my
24 pharmaceutical experience how we can create pathways for
25 coverage of genomics.

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1 Q. And you don't have a master's degree in
2 healthcare economics, correct?

3 A. No, but I have more than 25 years of expertise
4 in the field.

5 Q. You don't have a master's in industrial
6 organization, correct?

7 A. No, but I have more than 25 years' expertise in
8 the field I'm dealing with.

9 Q. And you don't have an MBA, correct?

10 A. No, but I have expertise in the field I'm
11 dealing with, 25 years -- more than 25 years.

12 Q. And you don't have a Ph.D. in genomics, correct?

13 A. No, I do not, but I have more than 25 years'
14 expertise in the field I'm dealing with, with a
15 successful track record.

16 Q. And you don't have a Ph.D. in economics,
17 correct?

18 A. No, the same answer. I have 25 years, all what
19 you need, after getting your Ph.D. or whatever, is to
20 get the right expertise in the field, and I got that.

21 Q. And you don't -- and you haven't worked at the
22 FDA, correct?

23 A. No, I did not.

24 Q. Or at CMS, correct?

25 A. No, I did not.

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1 Q. Or at the USPSTF, correct?

2 A. No, I do not -- I did not, and I do not know how
3 many people who work for the industry work for those
4 organizations.

5 Q. And you've never worked at a commercial insurer.
6 Is that right?

7 A. No, but most of the work I do needs to deal with
8 commercial insurers.

9 Q. And you've never worked at a firm focusing on
10 employee recruiting, correct?

11 A. Sorry, on what?

12 Q. Employee recruiting.

13 A. Like a firm to do employee recruiting?

14 Q. Yes, sir.

15 A. No, I did not.

16 Q. And prior to Illumina's proposed acquisition of
17 GRAIL, Illumina did not sell a multicaner early
18 detection test, correct?

19 A. Yes, correct, but Illumina had applications in
20 different areas that could be relevant.

21 Q. And your focus prior to the acquisition was on
22 the three clinical applications that Illumina was
23 working on. Is that correct?

24 A. Sorry. My focus in the acquisition?

25 Q. Prior to the acquisition.

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1 A. Prior to the --

2 Q. On the clinical applications that Illumina was
3 working on, correct?

4 A. Yes, on some pipeline applications we were
5 thinking of, yes.

6 Q. And multicancer early detection was not one of
7 those applications. Is that fair?

8 A. Yes, but as I said, comprehensive genomic
9 profiling, when it comes to clinical utility and
10 economic utility, has similar endpoints of overall
11 survival and progression-free survival, for example.

12 Q. And you're not a subject matter expert at
13 Illumina regarding what labs need to do to get a test
14 done, correct?

15 A. Correct.

16 Q. And you're not involved in commercialization
17 decisions, such as whether or not to sell a product,
18 correct?

19 A. Correct.

20 Q. And I believe you just testified that regulatory
21 matters, such as FDA submissions and approval, are not
22 your functional area, correct?

23 A. Correct.

24 Q. And assessing strategies relating to healthcare
25 providers is not your area of responsibility, correct?

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1 A. Correct.

2 Q. And government affairs is a group responsible
3 for advancing legislation that would allow Medicare to
4 use screening tests, correct?

5 A. Yes, correct. All of these are cross-functional
6 things.

7 Q. But the government affairs group handles a
8 separate function from the market access group, correct?

9 A. Correct, yes.

10 Q. And you would defer to the government affairs
11 group relating to how Illumina might accelerate
12 legislation, correct?

13 A. Yes, correct. But all of that needs to be a
14 comprehensive, integrated plan.

15 Q. But you are not the best person at Illumina to
16 speak to the details of Illumina's experience in
17 accelerating legislation relating to screening tests,
18 correct?

19 A. Correct.

20 Q. And there are 13 people on Illumina's market
21 access group, correct?

22 A. Sorry?

23 Q. There are 13 people currently in Illumina's
24 market access group?

25 A. Yes, and we have seven positions open, yeah.

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1 Q. And within the market access group, five people
2 work on health economics and outcomes, research and
3 strategy. Is that right?

4 A. Yes.

5 Q. And on Tuesday, I believe you described this
6 group as the power engine of the market access team,
7 correct?

8 A. Yes.

9 Q. And this is a team that develops the clinical
10 utility data, for example, real-world data, evidence
11 needed by payers to cover certain applications. Is that
12 right?

13 A. Yes, and the economic utility data, the budget
14 impact models.

15 Q. And there are five members of this team,
16 correct?

17 A. Yes.

18 Q. And that team is led by Brock Schroeder? Did I
19 get that right?

20 A. Yes, that's correct.

21 Q. And Siyang Peng is a member of the market access
22 team as well, correct?

23 A. Yes.

24 Q. And Ms. Peng came from Evidera, correct?

25 A. Yes.

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1 Q. And Evidera is a contract research organization.

2 Is that right?

3 A. I -- I don't know if they have a contract
4 research part of it, but Siyang came from their modeling
5 part of the organization.

6 Q. And Ms. Daisy Du is also a member of the health
7 economics and outcomes research and strategy group. Is
8 that right?

9 A. Yes. She is part of the strategy team. She is
10 not part of the HUR team, yeah.

11 Q. And she came from Illumina -- from Medtronic.
12 Is that right?

13 A. Yes.

14 Q. And Medtronic is a healthcare technology
15 company?

16 A. Yes.

17 Q. And Bela Bapat is another member of the health
18 economics and outcomes research and strategy group. Is
19 that right?

20 A. Yes. She's an expert on databases.

21 Q. And Ms. Bapat came from Illumina from Cardinal
22 Health, correct?

23 A. Yes.

24 Q. And there are three payer partners in Illumina's
25 market access group. Is that right?

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1 A. No, there are -- there are more, in fact.

2 Q. How many are -- payer partners are there on
3 Illumina's market access group?

4 A. So we have currently two in the -- in the U.S.,
5 we have three in Europe, and another three open
6 positions, and we have one person for APJ and another
7 position that is currently open for Japan and Korea.
8 And then we have -- sorry, we have two other people in
9 China.

10 Q. So in the U.S., there are two payer partners.
11 Is that correct?

12 A. Yes, but the strategy and HUR team supports
13 mostly the U.S. in the work they do.

14 Q. And the payer partners are responsible for
15 Illumina's payer partnerships. Is that correct?

16 A. Yes. Partially, yes, but it's more around
17 understanding the needs of payers. That's their
18 responsibility and communicating back the evidence
19 needed.

20 Q. Okay. And payer partnerships are the mechanism
21 that Illumina uses to engage with payers about
22 Illumina's diagnostic tests. Is that right?

23 A. Yes.

24 Q. And there are currently two payer partners
25 responsible for the United States, I believe you just

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1 said. Is that right?

2 A. Yes.

3 Q. Do those two payer partners also cover Canada?

4 A. Yes.

5 Q. And Latin America?

6 A. Yes. We are expanding. We are going to be
7 expanding in Latin America, yes.

8 MS. MUSSER: I believe that completes my
9 questions, Mr. Qadan, pending any recross.

10 JUDGE CHAPPELL: Are you moving on from the
11 Harvard Pilgrim question?

12 MS. MUSSER: Actually, I have two followup
13 questions in camera, but I can -- whatever is most
14 convenient for Your Honor.

15 MR. STARK: And, Your Honor --

16 JUDGE CHAPPELL: Well, I'm waiting to hear from
17 Mr. Stark. What's your conclusion on this, the status
18 on this?

19 MR. STARK: Yes, I think the numbers that
20 Ms. Musser is referring to are in camera, Your Honor.

21 JUDGE CHAPPELL: And why do we need to know the
22 numbers?

23 I didn't hear anything.

24 MS. MUSSER: My apologies, Your Honor. I can
25 just move on. I can let it go.

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1 JUDGE CHAPPELL: I mean, if you want me to boot
2 out the public for a couple questions, but I need to
3 know that it's actually relevant and it matters.

4 MS. MUSSER: No, Your Honor. It's fine, we
5 don't need to do that.

6 JUDGE CHAPPELL: Okay. Anything further,
7 Mr. Stark?

8 MR. STARK: Yes, Your Honor, just a few
9 questions.

10 FURTHER REDIRECT EXAMINATION

11 BY MR. STARK:

12 Q. I would like to ask that we put up PX 2731.

13 Mr. Qadan, do you recall Ms. Musser asked you a
14 few questions about this document?

15 A. Yes.

16 Q. And was it -- this email sent on April 30th,
17 2020?

18 A. Yes.

19 Q. Was that before you were involved in any
20 consideration of Illumina acquiring GRAIL?

21 A. So that was during the early stages of the work
22 around GRAIL.

23 Q. And Ms. Musser read to you, I think, the last --
24 second-to-last sentence --

25 A. Yes.

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1 Q. -- beginning with, "In my humble opinion..."

2 A. Yeah.

3 Q. "The above could accelerate" --

4 A. She read the above sentence, "These guys know
5 exactly, knowing their CEO" -- that was the sentence she
6 read to me.

7 JUDGE CHAPPELL: You need to wait for the
8 question.

9 THE WITNESS: Sorry. Sorry.

10 MR. STARK: Thank you, Your Honor, and thank
11 you, Mr. Qadan, for the clarifications. I'm sorry.

12 BY MR. STARK:

13 Q. So Ms. Musser read this paragraph beginning
14 with, "These guys know exactly what they're doing." Is
15 that -- do you recall that?

16 A. Yes.

17 Q. And after sending this email, did you learn
18 anything further about Thrive that affected your opinion
19 of what they were doing?

20 A. Yes, so a major thing happened after that, which
21 is the acquisition of Thrive by Exact Sciences, and what
22 I know is that they went back to the drawing board.
23 That's how far I know.

24 Q. A few other followup questions.

25 Ms. Musser asked you some questions about the

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1 number of people currently working in market access. Do
2 you recall that?

3 A. Yes.

4 Q. And so do you currently have 13 people working
5 in market access?

6 A. Yes.

7 Q. And you referred to hiring or some open
8 positions. Do you recall that?

9 A. Yes.

10 Q. Are those open positions for additional hires on
11 top of the 13?

12 A. Yes.

13 Q. Just one clarification for the record. You
14 mentioned something called APJ in your testimony. What
15 is APJ?

16 A. Asia-Pacific and Japan.

17 Q. Ms. Musser also asked you a number of questions
18 about GRAIL's partnerships with various entities in
19 connection with clinical trials. Do you recall that?

20 A. Yes.

21 Q. And are the kinds of partnerships involved in
22 clinical trials the same as the kinds of partnerships
23 you testified about earlier in your testimony, designed
24 to develop clinical utility evidence?

25 A. So not exactly the same, because the objective

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1 will be different if you are doing a clinical validity
2 versus a clinical utility, but you might be using still
3 some of those academic partners.

4 Q. Ms. Musser also asked you about some GRAIL
5 activities with various healthcare providers. Do you
6 recall that?

7 A. Yes.

8 Q. And I believe you were also asked some questions
9 about whether those partnerships could generate clinical
10 utility data. Do you recall that?

11 A. Yes.

12 Q. And -- but to be clear on the record, are those
13 healthcare providers, are those payers?

14 A. No. Healthcare providers refer mainly to
15 physicians or healthcare systems that provide direct
16 health to patients.

17 Q. And to the extent that there's any clinical
18 utility data generated in the context of those kinds of
19 partnerships with healthcare providers, does that have
20 any bearing in your experience on getting payers to
21 agree to cover a test?

22 A. So not exactly. Part of -- but sometimes the
23 data needed by payers around clinical utility could be
24 different than the data needed by physicians to
25 prescribe a certain test. These could be two different

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1 things, and in some instances, they could be similar.

2 It depends on the situation.

3 Q. But in your experience, would the kinds of data
4 generated from partnerships with healthcare providers be
5 sufficient to lead to coverage determinations in favor
6 of covering tests by payers?

7 A. Not necessarily, and the reason why is that you
8 need to have a good understanding around what payers
9 need. So the data might not reflect the needs of
10 payers.

11 Q. Ms. Musser also asked you a few questions about
12 the comparability of payer uptake with regard to NIPT
13 versus payer uptake for Galleri. Do you recall that?

14 A. Yes.

15 Q. And I believe you testified that there are other
16 ways in which NIPT could be a good comparator for
17 Galleri. Do you recall that?

18 A. Yes.

19 Q. Would you like to explain that a little bit?

20 A. Yes. So there are different ways by which our
21 expertise with NIPT could inform Galleri. One of those
22 things is that when you look, for example, at the payer
23 uptake of any test, you need to look at different
24 analogs, and one of the analogs would be or one of the
25 straightforward analogs would be screening tests, be it,

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1 you know, something like NIPT, be it something like even
2 Cologuard with Exact Sciences and so on. So it is a
3 collective probably understanding of how we want to look
4 at payer uptake and what was or what were the drivers
5 for that payer uptake. So that's the first thing, which
6 is looking at analogs within the marketplace.

7 The second thing, again, is that we -- we think
8 about developing the clinical utility data and the
9 economic utility data. As I said, the budget impact
10 drives a lot of decisions by payers in the U.S. and
11 outside the U.S., and that has a threshold that is
12 similar across different applications, like when we talk
13 about per member per month as a budget impact, this goes
14 on any innovation, be it a diagnostic, be it a
15 pharmaceutical, be it anything else. So understanding
16 those differences and how they impacted uptake is going
17 to probably make you more aware of some of the hurdles
18 and issues associated with introducing a new test into
19 the marketplace.

20 Last but not least, which I think we covered, is
21 that our expertise that we built, for example, on
22 building a risk-sharing agreement and the type of data,
23 the historical data, and how to deal with that data,
24 enables us in the future to look also at -- under any
25 kind of partnership, how we want to look at that type of

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1 screening data, and some of the work that we have done
2 in comprehensive genomic profiling, as I said, around
3 budget impact will inform also some of the work for
4 Galleri, considering that overall survival and
5 progression-free survival in cancer patients is going to
6 be the driver also for cancer screening as well.

7 MR. STARK: No further questions at this point,
8 Your Honor.

9 JUDGE CHAPPELL: Anything further?

10 MS. MUSSER: No, Your Honor.

11 JUDGE CHAPPELL: All right. We're going to --
12 we have been going a while. We will just go ahead and
13 take a short break, and then when we come back, we will
14 start -- we will begin with the next witness. So we
15 will reconvene at 11:35. We're in recess.

16 Before we go, Mr. Qadan, you're excused. You
17 may stand down. Thank you.

18 THE WITNESS: Thank you, Your Honor. Thank you.

19 JUDGE CHAPPELL: Until 11:35, we're in recess.

20 (A brief recess was taken.)

21 JUDGE CHAPPELL: Okay, we're back on the record.
22 Call your next witness.

23 MR. WEISS: Good morning, Your Honor. This is
24 Jesse Weiss for Respondents, and Respondents call
25 Dr. Phil Febbo as a witness for Respondents' case.

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1 Whereupon--

2 PHILLIP G. FEBBO, M.D.

3 a witness, called for examination, having been first
4 duly sworn, was examined and testified as follows:

5 DIRECT EXAMINATION

6 BY MR. WEISS:

7 Q. Good morning, Dr. Febbo. Could you please state
8 your full name for the record?

9 A. Phillip George Febbo.

10 Q. Where are you employed?

11 A. I am employed at Illumina.

12 Q. What is your position at Illumina?

13 A. I am chief medical officer of Illumina.

14 Q. And for how long have you held that position,
15 approximately?

16 A. Approximately 3 1/2 years.

17 Q. And at a high level, what are your general
18 responsibilities as chief medical officer?

19 A. As chief medical officer, I oversee Illumina's
20 clinical and medical strategy as we see our sequencing
21 become more and more adopted and in clinical use, and I
22 manage the teams that report in to the chief medical
23 officer.

24 Q. And to whom do you report?

25 A. I report to Francis deSouza.

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1 Q. And can you please summarize your educational
2 background?

3 A. I did my undergraduate studies at Dartmouth
4 College where I received a bachelor's of arts in
5 biology. I then matriculated to medical school at
6 University of California, San Francisco, where I
7 received my medical doctorate.

8 After medical school, I trained in internal
9 medicine and oncology within the Harvard Medical System,
10 starting at Brigham and Women's Hospital, where I
11 performed an internal medicine internship and residency,
12 and following that, I did a medical oncology fellowship
13 at the Dana-Farber Cancer Institute.

14 Q. And turning to your professional background,
15 could you please list for us the positions you have held
16 before joining Illumina, starting with after you
17 completed your fellowship at Dana-Farber?

18 A. Sure. After completing my fellowship at the
19 Dana-Farber, I stayed on on faculty there for
20 approximately five years where I saw patients in clinic,
21 in the hospital, as well as worked in the laboratory of
22 Todd Golub at Dana-Farber as well as the Whitehead
23 Institute for Genomic Research. That was part of MIT.

24 After five years, I moved to Duke University
25 Medical Center, where I also saw patients, medical

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1 oncology patients in the genitourinary oncology clinic,
2 which takes care of patients with bladder, kidney,
3 testicular, and prostate cancer. And I also ran a
4 laboratory, looking at the genomics of cancer and
5 bringing the genomics of cancer into care.

6 After six years at Duke University, I moved to
7 University of California, San Francisco, my medical
8 school alma mater. I went back and was eventually
9 promoted to professor of medicine in urology, again
10 seeing patients in clinic with -- the genitourinary
11 oncology clinic, seeing patients in the hospital who are
12 hospitalized due to scheduled chemotherapy that required
13 inpatient administration, or due to complications of
14 therapy or their disease. And I also ran a lab, NIH-
15 and DoD-funded, that worked on the genomics of cancer.

16 After University of California, San Francisco,
17 three years, I moved into industry, and I accepted the
18 position of chief medical officer at Genomic Health in
19 Redwood City. And then 3 1/2 years ago, I moved from
20 Genomic Health and became the chief medical officer at
21 Illumina.

22 Q. And what type of products does -- did Genomic
23 Health sell?

24 A. Genomic Health sold proprietary tests to help
25 guide therapy in cancer, specifically focused on breast

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1 cancer, prostate cancer, and colon cancer.

2 Q. And when you were a practicing oncologist, what
3 was the focus of your practice?

4 A. The focus of my practice was genitourinary
5 oncology, so bladder, kidney, testicular, and prostate,
6 and I saw patients in clinic with those diseases,
7 managed them, managed the patients over time.

8 I also, as a physician-scientist in my lab, the
9 primary focus of my laboratory was prostate cancer,
10 although I did research across a range of tumors.

11 Q. Prior to joining Illumina, did you have
12 experience with clinical trials?

13 A. I did.

14 Q. What experience did you have?

15 A. My experience was, in academia, I was the
16 principal investigator on multiple clinical trials
17 primarily focused on bringing genomics into care, so
18 using -- oftentimes involving biopsying an individual's
19 tumor, running genomics on that sample, coming up with a
20 score that suggested a certain treatment, and then
21 seeing the impact of the patient receiving that
22 treatment.

23 I also was the principal investigator or
24 co-principal investigator on multiple trials that were
25 cooperative group trials looking across different -- as

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1 part of the NCI Cooperative Group Trial System, looking
2 at randomized controlled trials for different management
3 of cancer -- of cancer. And when I was in industry,
4 I've also been involved in clinical trials for the
5 validation of diagnostic tests.

6 Q. And prior to joining Illumina, did you have any
7 experience with the FDA?

8 A. Yes. So as a -- when I was in -- as an
9 investigator in academia, some of my trials did
10 require -- one of my trials, in particular, required the
11 submission of an investigational device exception, or an
12 IDE.

13 Mostly my interaction with the FDA has been
14 since I've come into industry, where I've participated
15 both directly in the submission and review of tests that
16 are under review by the agency.

17 I've also participated in several educational
18 sessions that we've had at Illumina, and in some of my
19 activities, both in academia and as part of industry,
20 I've participated in organizations and educational
21 activities together with members of the FDA.

22 So whether it's an educational effort for young
23 investigators or a broad educational effort, I've
24 engaged and partnered with FDA members to provide
25 education.

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1 Q. And prior to joining Illumina, did you have any
2 experience with insurance payers?

3 A. Yes. As a provider, as a physician, my
4 interactions with payers were very -- primarily about
5 advocating for my patient to receive a specific
6 diagnostic test or therapy that was not part of policy
7 but I felt was required for their medical care. That
8 was really kind of individual, with individual medical
9 directors going through different processes.

10 Since I've been in industry, at Genomic Health,
11 for our proprietary tests, I had significant involvement
12 with specific payers, both public payers, primarily the
13 individuals in the MolDx program of the Palmetto GBA,
14 which is a contractor of Medicare and really establishes
15 local coverage decisions for Medicare patients for
16 diagnostic tests.

17 I also interacted with multiple commercial
18 payers, from small to large, some of the smaller Blues
19 plans, like the Blues plan in Louisiana, and then the
20 largest private commercial payer in the United States
21 with United Health Group, where I presented multiple
22 times to their leadership the evidence supporting
23 genomic health trials.

24 Since I've been at Illumina, our team and our
25 market access team certainly engages with payers with

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1 different activities, and I participate in some of those
2 payer summits and some of those engagements, such as
3 speaking to the BlueCross BlueShield Association at
4 their national meeting and others.

5 So I have had direct involvement with payers,
6 articulating the clinical utility of a test, advocating
7 for a proprietary test to get covered, and I've also
8 engaged with payers broadly on educational and
9 supportive activities.

10 Q. And have you authored any peer-reviewed
11 publications?

12 A. Yes. I've authored over 100 peer-reviewed
13 publications.

14 Q. And has there been a particular focus of your
15 publications?

16 A. Yes. There's been a consistent focus on my
17 papers bringing molecular insight into the understanding
18 of cancer and into the clinical care of cancer patients.
19 So I always embraced my role as a physician-scientist,
20 bench to bedside, really trying to bring genomics into
21 the care because of my fundamental belief that care will
22 improve the more we understand the genetics and genomics
23 of a patient's disease. And so that theme covers the
24 majority of my papers. I had a specific focus of
25 prostate cancer in my lab, but I also collaborated

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1 across multiple cancers.

2 Q. And prior to joining Illumina, did you have any
3 experience with NGS products?

4 A. Yes. I have interacted with NGS products across
5 my career. So when I was a physician-scientist, I had,
6 you know, the next-generation sequencing products in my
7 laboratory. We were doing sequencing- based analysis of
8 tumors using Illumina products.

9 I also incorporated Illumina-based technologies
10 and next-generation sequencing into some of the clinical
11 trials with which I was involved, either directly to
12 understand and guide therapy or as a correlative
13 science.

14 I've also taken on leadership roles in the
15 cooperative groups, and I was the principal investigator
16 for Translational Science, for the alliance, which is
17 one of the major NCI-funded cooperative groups, and in
18 that role I oversaw the incorporation of science into
19 the major randomized controlled trials that the alliance
20 was leading, including Illumina and next-generation
21 sequencing.

22 Finally, you know, when I was at Genomic Health,
23 while most of the tests at Genomic Health were
24 PCR-based, we were developing an NGS-based test for
25 commercialization and had chosen Illumina as the

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1 platform of choice. So I have been familiar. I've used
2 next-generation sequencing in my research and my
3 clinical trials and also in the development of a
4 commercial test.

5 Q. And have you used platforms other than
6 Illumina's?

7 A. I've reviewed platforms, and I have, indeed,
8 used platforms other than Illumina, including PacBio
9 next-generation sequencing, and I have done a small
10 amount of work with Thermo's Ion Torrent next-
11 generation sequencing technology.

12 Q. And based on your personal experience, do you
13 know what role, if any, genomics has played in cancer
14 care and what role it can play?

15 A. Well, I have seen it have a direct impact on
16 patient care, and, you know, while I was a practicing
17 physician, I saw molecular in situ tumors come into
18 therapy, whether it was HER2 or -- in breast cancer on
19 EGFR in lung cancer, and I saw the pace accelerating,
20 where next-generation sequencing of an individual's
21 tumor helped guided therapy and helped -- guided to
22 therapy that had some dramatic responses.

23 And we have seen the life expectancy of
24 individuals diagnosed with advanced lung cancer, you
25 know, expand remarkably, and we're seeing that kind of

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1 benefit across more and more tumors. So I have seen
2 that in my career.

3 And I also see now that not only in treatment
4 decision, but there's incredible opportunity in
5 monitoring disease and optimizing the timing of therapy
6 through tests like minimal residual disease tests that
7 can be next-generation sequencing based, and I'm very
8 excited about the opportunity to diagnose patients
9 earlier with next-generation sequencing-based tests that
10 are screening tests, because I know -- I unfortunately
11 took -- I took care of patients who unfortunately were
12 mostly diagnosed late, and I know how important it is to
13 diagnose early and the lives that can save.

14 Q. So do you presently hold any positions at any
15 nonprofit foundations?

16 A. I do.

17 Q. What positions do you hold?

18 A. Well, I hold two board positions -- three board
19 positions and I'm on the executive committee of a fourth
20 organization. So as far as the board committees, I'm
21 currently serving as the president of the Illumina
22 Foundation, where we provide access to our sequencers
23 through philanthropy by providing sequencers, the
24 consumables or the materials you need to run the
25 sequencers, and sometimes financial resources.

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1 I'm also on the board of the American College of
2 Medical Genetics and Genomics, the ACMG Foundation. On
3 that board we're charged with supporting the mission of
4 the ACMG, which is really to raise awareness and provide
5 guidance on how genetics and genomics can be
6 incorporated into care.

7 I'm also on the board for the Reagan-Udall
8 Foundation for the FDA. The Reagan-Udall Foundation for
9 the FDA -- or what they refer to as "the Foundation" for
10 the FDA -- was congressionally directed and is charged
11 with helping the agency evolve its regulatory approach
12 and its regulatory policies, and I was invited to join
13 due to my experience and expertise in diagnostics.

14 And, finally, on the executive -- I serve on the
15 executive committee of the BloodPAC, which started when
16 Joe Biden was Vice President in the Obama
17 Administration, and it's charged with accelerating
18 access to high-quality liquid biopsies for cancer
19 patients to improve outcomes.

20 And I've served on the executive committee,
21 which is the committee that runs the BloodPAC, for the
22 past 3 1/2 years, and oversee the activities, which
23 include the -- you know, includes academics, industry,
24 and FDA members, and we're really charged to help all
25 stakeholders understand what is a high-quality test,

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1 what do the studies need to show high-quality test
2 performance, and then provide education to help all
3 stakeholders, you know, facilitate access to those
4 tests.

5 Q. And I'd like to turn to your position at
6 Illumina. How did you come to work at Illumina?

7 A. I was approached by a retained recruitment firm
8 that -- and to consider the chief medical officer role.

9 Q. Why did you decide to join Illumina?

10 A. Well, from the very beginning when I was
11 approached, I know that I -- well, I knew Illumina well,
12 and I knew that because of all the ways that I had used
13 their technology -- and I did have a personal bias that
14 Illumina's technology in next-generation sequencing was
15 going to be foundational to health and healthcare and
16 would transform healthcare and improve outcomes -- but,
17 you know, it all comes down to people.

18 So the hiring manager was Garret Hampton, who I
19 had worked with when I was in Todd Golub's lab back at
20 the Dana-Farber, and I had a great deal of admiration
21 for him, and through conversations with him and
22 subsequent conversations with Francis deSouza and other
23 leadership at Illumina, I realized that my background as
24 a physician-scientist and as, you know, chief medical
25 officer at Genomic Health for five years, working on

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1 proprietary tests, working on next-generation
2 sequencing-based tests, positioned me really well to
3 help Illumina continue to become more of a clinical
4 company, to move from a company that had really, you
5 know, supported research and discovery and been very
6 successful in that to a company that was going to
7 continue to support research and discovery but was
8 moving into more and more clinical use, and because of
9 my belief of the role of the technology, I accepted
10 their offer to become the chief medical officer.

11 Q. And to your knowledge, what is Illumina's
12 mission?

13 A. Illumina's mission is to unlock the power of the
14 genome to improve human health.

15 Q. What does that mean to you?

16 A. It's very personal to me, and I often say, like,
17 my -- if you look back on my career, I was almost, like,
18 intelligently designed for my position as chief medical
19 officer, and that's because from the beginning, as I
20 went through medical school, as I became an internist, a
21 medical oncologist, I really wanted to, you know, have
22 an impact on improving outcomes and improving care, and
23 that's why I was a physician-scientist.

24 I spent, you know, some of my days in the clinic
25 but a lot of days in the laboratory because I found the

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1 care in the clinic was just not adequate for most of the
2 patients that I took care of. And so for me to be able
3 to be chief medical officer at Illumina and help guide
4 where our technology can best serve patients is
5 incredibly exciting, and my career mission is very well
6 aligned with Illumina's corporate mission.

7 Q. I'd like to ask you about the organization you
8 oversee. Approximately how many people work within the
9 chief medical officer organization across all its
10 functions?

11 A. Approximately 160 individuals.

12 Q. And can you provide an overview of the general
13 responsibilities of the functions that report to you?

14 A. Sure, I can. So I have eight functions that
15 report to me. There's medical genomics research, which
16 is looking five to ten years in the future and working
17 with academic key opinion leaders across the globe to
18 show what's possible with our technology.

19 We also have biostatistics, clinical affairs,
20 and regulatory affairs that work with product
21 development to develop our clinical tests. The clinical
22 affairs executes on the clinical studies required to
23 support the filings for those tests.

24 Regulatory affairs oversees and provides
25 guidance on the nature of those studies and the

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1 expectations of regulators, and biostatistics makes sure
2 that there is scientific rigor and the studies
3 demonstrate the performance of the tests.

4 After we have tests and during the process, we
5 have market access, which has the key stakeholders of
6 payers and engaged with the payer community. We have
7 government affairs globally that works with governments
8 in the United States and across the globe to advocate
9 for the use of our technology and minimize any barriers.

10 Finally, we have medical affairs and scientific
11 affairs. Medical affairs has key medical subject matter
12 experts, and they're charged with helping throughout the
13 development of test products, providing medical input
14 during test development, but they're also charged with
15 education of healthcare providers, engagement with
16 healthcare provider societies, and also generating
17 evidence about the clinical validation and utility of
18 our tests.

19 Finally, scientific affairs rolls that all up.
20 They support, through understanding the literature,
21 through helping develop poster presentations, abstracts
22 of the studies that we're performing, and writing the
23 publications. They also help complete the dossier
24 submission to payers. They help the submissions to the
25 regulatory authorities. They're really the scientific

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1 writers and literature expertise on our group, and those
2 are the groups in my organization.

3 Q. And who leads the regulatory affairs function?

4 A. Karen Gutenkunst.

5 Q. For how long has Ms. Gutenkunst held that
6 position?

7 A. She has held that position for approximately
8 2 1/2 years.

9 Q. And did Ms. Gutenkunst have other roles at
10 Illumina concerning regulatory matters prior to her
11 current one?

12 A. Yes, she did.

13 Q. And what role was that?

14 A. So Karen -- when I joined, Karen was in charge
15 of clinical product development. We were organized
16 differently at Illumina where we had a whole division
17 called Clinical Genomics, and Karen ran the clinical
18 product development, including the tests -- the clinical
19 tests we were developing.

20 So she oversaw the laboratory as well as the
21 regulatory activities and the biostatistical activities
22 required for that role, and she held that position for
23 approximately four years before focusing specifically on
24 regulatory affairs.

25 Q. And do these groups reporting to you contribute

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1 together to Illumina's regulatory and market access
2 initiatives?

3 A. Yes, we work all together. It's a -- it takes a
4 village, because even though we have accountable
5 functions, like regulatory, like market access -- we
6 have, you know, those accountable functions -- their
7 expertise is engagement with the payers and engagement
8 with the regulators, but they draw from the medical
9 subject expertise, and they draw outside my
10 organization, too, the laboratory expertise that's so
11 important to have access to expertise deep into the
12 technology, as well as the subject matter expert, be it
13 oncology or reproductive health or genetic disease, to
14 work together to find success.

15 Q. And do members of the chief medical organization
16 teams have experience and expertise with genomics?

17 A. Yes, they do.

18 Q. And is it important to have such expertise for
19 the regulatory and market access initiatives that
20 Illumina undertakes?

21 A. It's critical.

22 Q. And why is that?

23 A. Well, because we're -- our technology is still
24 relatively new to all of the stakeholders, to payers, to
25 regulators, to governments, and while there's early

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1 recognition of the promise and, you know, people are
2 starting to see the benefits of genomics, there's really
3 a lack of understanding and certainly a lack of deep
4 knowledge.

5 So it's really important that as we bring the
6 story forward, we have expertise on the technology. We
7 are the experts that can educate, that can engage, and
8 help them understand. And the reason that is is that
9 we're asking them and the payers to write a coverage
10 policy on a technology, and for them to be comfortable
11 with the policy, they have to be comfortable that the
12 technology is analytically, clinically valid and has
13 clinical utility.

14 The regulators have to be convinced that they
15 understand the technology enough to know it's safe and
16 effective and can be the back -- the foundation for safe
17 and effective tests. And so by having that expertise in
18 genomics, you're in a much better position to help the
19 regulators understand and help regulators evolve their
20 approach to approval or payers evolve their approach to
21 positive policy decisions covering those tests.

22 Q. Do you know how a new employee comes to -- at
23 Illumina comes to develop such expertise after joining
24 Illumina?

25 A. Yes, I do.

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1 Q. And what do you know about that?

2 A. So we've been hiring -- I -- in the past 3 1/2
3 years, I've grown the medical teams from approximately
4 25 individuals to over 160 individuals. So we've hired
5 a lot of individuals into our medical team, and we
6 always look for obviously the functional expertise,
7 whether it's regulatory affairs, market access, medical
8 affairs, and ideally we find individuals with a, you
9 know, background in genomics, but so often we just can't
10 find individuals with a background in genomics.

11 So when we hire someone, we plan on a period of
12 really six months to a year where they bring their
13 functional expertise, we find projects where they can
14 apply that functional expertise pretty immediately, but
15 they also are given time, through specific projects, to
16 get an understanding of our technology, and that
17 grows -- that does take time, 6 to 12 months for them to
18 completely understand.

19 I know, even though I had so much experience
20 with next-generation sequencing, it still took me time
21 to understand the nuances, the different sequencers,
22 their capabilities, different types of library prep. I
23 mean, it's complicated.

24 So they have that period, and at Illumina, they
25 have access to all the experts, and it's an incredible

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1 time. So it's really through finding someone with top
2 functional expertise, bringing them into Illumina,
3 putting them on projects where they can grow and expand
4 their knowledge of our technology, that develops that
5 training that's so important.

6 Q. Sir, do you know what a laboratory-developed
7 test or LDT is?

8 A. I do.

9 Q. And what is an LDT?

10 A. A laboratory-developed test is when a clinical
11 laboratory uses components to put together a specific
12 test that they validate in their laboratory, and
13 laboratory-developed tests, in order to be offered to
14 patients to inform decisions, have to be performed in
15 tests that have CLIA certification.

16 Q. Does CLIA stand for Clinical Laboratory
17 Improvement Amendments?

18 A. It does.

19 Q. And do you have knowledge about and experience
20 with the CLIA framework for LDTs?

21 A. I do.

22 Q. What experience do you have?

23 A. Well, in academics, I worked with multiple labs
24 that were establishing their own CLIA certification in
25 order to perform genomic tests. That was very early.

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1 At Genomic Health, five years as chief medical officer,
2 our tests were all performed in a CLIA lab. They were
3 all laboratory-developed tests and performed under the
4 CLIA guidelines and CLIA framework.

5 And then at Illumina, our NIPT testing is
6 performed under the CLIA guidelines and CLIA framework.
7 So I've been involved in the development, the
8 establishment of CLIA certification, and overseeing
9 laboratory-developed tests performed in the CLIA
10 setting.

11 Q. And do you have any experience with the College
12 of American Pathologists or CAP framework for LDTs?

13 A. I do.

14 Q. And is CAP certification separate from CLIA
15 certification?

16 A. It is.

17 Q. And do you know if a diagnostic test can be used
18 to provide results to physicians and patients without
19 FDA approval under the CLIA and CAP frameworks?

20 A. Yes, it can.

21 Q. Is Galleri an example of such a test?

22 A. It is.

23 Q. Have you taken the Galleri test?

24 A. I have. I took it as soon as I could.

25 Q. And do you know if you were screened for at

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1 least 50 cancer types?

2 A. Yeah, so, you know, fortunately, my report came
3 back no cancer -- no signal -- cancer signal not
4 detected, so I was very pleased with that, and as you
5 read through the report, it talks to the clinical
6 evidence supporting that. And in order to offer a
7 clinical test, CLIA labs have to have clinical
8 validation of that test.

9 In the case of Galleri, the CCGA study looked at
10 over -- demonstrated that the Galleri test could detect
11 cancer in over 50 types of cancer, and so, yeah, it's
12 a -- it's great to read through the report and feel
13 confident that the test has been able to detect cancer
14 in over 50 types of cancer.

15 Q. And do you know whether it's important for an
16 LDT under the CLIA framework to have such clinical
17 evidence backing its performance?

18 A. It's very important.

19 Q. Why is that?

20 A. Well, first of all, laboratory-developed test
21 manufacturers and laboratories are dependent on CLIA
22 certification to continue to offer their tests, and
23 after initial CLIA certification of the laboratory, they
24 undergo routine audits. During those audits, the data
25 supporting their tests and the clinical data supporting

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1 their tests and the claims that they put on their
2 reports are reviewed.

3 If they don't have sufficient data supporting
4 their tests, they put their CLIA license at risk, and
5 that would -- you know, if they were not -- if their
6 CLIA certification was revoked, they could no longer
7 offer those tests to patients.

8 Q. And based on your experience, do you know of any
9 other concerns with running an LDT under CLIA without
10 sufficient clinical evidence to support its performance?

11 A. Well, yeah. Yes, I do.

12 Q. What do you know about that?

13 A. Well, as a physician, my biggest concern is for
14 the patients, because if you do not have the clinical
15 validation to know the performance of that test, both
16 healthcare providers could get test results and, you
17 know, work with patients to change management in a way
18 that's not valid and not supported and could hurt the
19 patient.

20 Q. And do you know if a diagnostic test can obtain
21 reimbursement from payers under the CLIA framework
22 without FDA approval?

23 A. Yes, I do.

24 Q. And do you know of any examples of such tests?

25 A. Yes. So laboratory-developed tests are

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1 routinely covered. In academics, most of the special
2 stains in pathology are laboratory-developed tests and
3 are covered. Commercially, at Genomic Health, all our
4 tests were laboratory-developed tests, and our oncotype
5 breast cancer test was reimbursed by almost every
6 private payer and Medicare, as were -- and I
7 specifically played a role in helping to increase the
8 payer coverage of our prostate cancer test, both by
9 Medicare and commercial payers, and that was all under
10 the LDT framework.

11 Finally, in the United States, NIPT is used by
12 approximately 40 percent of women who are at ten weeks
13 of pregnancy, and there is no FDA-approved NIPT. That
14 is all performed under a laboratory-developed test, and
15 it's routinely covered almost -- almost all lives in the
16 United States are now covered for NIPT.

17 Q. Do you know what a premarket approval or PMA is?

18 A. I do.

19 Q. And do you know what a single-site PMA is?

20 A. I do.

21 Q. And what is that?

22 A. A single-site PMA is a regulatory filing to the
23 FDA for premarket approval which is required for
24 high-risk medical tests, Class III, based on the
25 framework of the FDA, and that -- a single-site PMA is a

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1 little different than IVD PMA in that the laboratory
2 applies for the PMA based on the performance of the test
3 only within its laboratory.

4 So it gets very specific as far as the equipment
5 used and the processes of that laboratory, and if
6 successful, they get approval to -- they get that PMA
7 for tests run in that laboratory.

8 Q. And you mentioned IVD PMA. Is that -- is that
9 also referred to as a distributable or kitted PMA?

10 A. Yes, it can be referred to that.

11 Q. Dr. Febbo, do you have experience with switching
12 an LDT from one platform to another?

13 A. I do.

14 Q. And in your experience, approximately how long
15 does such a process take?

16 A. Six to twelve months.

17 Q. And is the process very different if the test
18 already has a PMA?

19 A. Well, the process isn't much different. You
20 have to convince yourself that the performance of the
21 test on one platform is consistent with the performance
22 of the test on the other platform, and the studies that
23 you perform that I've helped design and oversee aren't
24 that dissimilar. The difference with a PMA is that you
25 then have to submit that data to the agency for their

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1 approval.

2 Q. And do you know approximately how long that
3 could take?

4 A. That could take approximately three to six
5 months in addition to the work.

6 Q. Switching gears, I'd like to ask you some
7 questions about Illumina's evaluation of the GRAIL
8 merger.

9 A. Um-hum.

10 Q. Now, were you involved in Illumina's evaluation
11 of GRAIL prior to signing the merger agreement to
12 acquire GRAIL?

13 A. I was.

14 Q. And what was your role?

15 A. I was cosponsor of the work where we evaluated
16 GRAIL and evaluated the possible acquisition of GRAIL.

17 Q. What does it mean to be a cosponsor?

18 A. It means that you oversee all the activities and
19 provide guidance to the teams as far as how to, you
20 know, approach the acquisition, how to look at the
21 overall business case, and then you bring that to the
22 executive leadership team, you bring that to the board,
23 and you make a recommendation.

24 Q. And do you know why you were appointed to be the
25 executive cosponsor for this evaluation?

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1 A. I do.

2 Q. And why is that?

3 A. Well, Francis deSouza asked me to do it, and he
4 specifically said because I'm chief medical officer and
5 GRAIL produces a clinical test, and also the fact that
6 I'm a medical oncologist and GRAIL is producing a
7 screening test for cancer, it made most sense for me to
8 be one of the cosponsors.

9 Q. And did you recommend that Illumina enter into a
10 merger agreement to acquire GRAIL?

11 A. I did.

12 Q. And at a high level, what factors drove your
13 decision to make that recommendation?

14 A. Well, at a high level, my -- as I became more
15 familiar with the -- GRAIL's work and the Galleri test,
16 I became very excited for patients to have access to
17 that test, as expressed by my own enthusiastic ordering
18 of the test as soon as I was able to.

19 But I do see that earlier detection has the
20 opportunity to save a lot of lives, and when I started
21 looking at the work we were doing, it became very clear
22 to me that Illumina reacquiring GRAIL, bringing GRAIL
23 back into Illumina could accelerate the speed with which
24 patients would have access to that test through multiple
25 activities.

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1 So I became very excited that we could play a
2 major role in accelerating access, improving -- helping
3 that earlier detection of cancer, and improving outcomes
4 for patients and for healthcare systems. It also became
5 clear as we looked at that that we could do that in a
6 way and invest -- that we could leverage the resources
7 of Illumina to continue to invest in the research and
8 development and yet still it could make sense for
9 shareholders. As an officer of the company, I also saw
10 that it made good business sense to reunite GRAIL with
11 Illumina.

12 Q. And I'd like to ask you a little bit more about
13 the analysis prior to signing the merger agreement, but
14 I want to remind you we're on the public record, so
15 please don't provide any nonpublic details about that
16 analysis.

17 At a high level, do you know whether, in the
18 evaluation of the merger, the Illumina team created
19 projections as to the future profit pools for NGS
20 systems and clinical testing services?

21 A. Yes, we did.

22 Q. And what, if anything, does that analysis
23 indicate about the future of Illumina's NGS business?

24 A. Well, it demonstrates that over time, as we've
25 done in research and development, but as we continue to

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1 decrease the cost of sequencing and improve the
2 performance, the profit pool for the sequencing part of
3 the test itself decreases as far as the part of the
4 whole profit of the test.

5 Q. And to your knowledge, why has Illumina
6 projected that the cost of sequencing will continue to
7 go down in the future?

8 A. Just we've done that throughout our history. We
9 have dropped the cost of sequencing through our
10 investment in R&D, through our kind of dogged focus on
11 making sequencing more affordable, because in research
12 what we saw is a term we called elasticity, where the
13 less expensive the sequencing was, the more sequencing
14 was performed, so that it made sense to continue to drop
15 the cost.

16 I see that dynamic very similar, and that's
17 what's reflected in the modeling we did, is as we
18 continue to drop down the cost, we will continue to see
19 more utilization and more opportunities for sequencing
20 to be incorporated into clinical testing.

21 Q. And what do you know about the competitive
22 landscape for sequencing now and in the future?

23 A. Well, it's becoming more and more competitive as
24 far as different platforms that are using -- that
25 have -- can perform next-generation sequencing. So

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1 there already exist multiple platforms that can be used,
2 manufacturers in the United States, manufacturers
3 outside the United States, in China, and that is only
4 going to grow. We see incredible investment. This is a
5 great opportunity -- great business opportunity, and
6 it's fueling a lot of investment, and we see significant
7 competition.

8 Q. And, Dr. Febbo, to your knowledge, would the
9 merger give Illumina an incentive to impede innovation
10 in cancer screening test development?

11 A. It will not.

12 Q. Why do you say that?

13 A. Well, because even within the one small
14 segment -- well, it's a small segment of medicine. It
15 is a big opportunity of screening for cancer detection.
16 We know it's going to be a highly competitive landscape,
17 and we would like to be with many test providers, many
18 laboratories using next-generation sequencing and other
19 -- the option to use other technologies to detect that
20 signal, and we want to continue to be the platform of
21 choice for those companies.

22 So we have a great incentive to be the platform
23 of choice and make sure that any company that is
24 interested in developing a screening test chooses
25 Illumina as a platform. Beyond that, as I mentioned,

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1 screening for cancer is one part of cancer testing.
2 Cancer is one part of medicine. One of the reasons I'm
3 at Illumina is that our sequencing will have the impact
4 to transform care across multiple diseases.

5 We've already talked to how it's changed cancer
6 care, but it's changing reproductive health within NIPT;
7 it's changing the -- the care of children born with
8 suspected genetic disease; and I see it growing to
9 include cardiovascular disease, metabolic disease, such
10 as diabetes, neurologic disease, inflammatory disease,
11 like rheumatoid arthritis. There are multiple uses.

12 And if we were to behave in a way that precluded
13 competition or in a way that disincentivised groups to
14 use our sequencing and screening, that would
15 disincentivise other companies, laboratories from early
16 research and development through the development of
17 clinical tests from using our platform and, thus, it is
18 in our best interest to make sure that we continue to
19 create an environment where laboratories are excited to
20 use our platform to develop screening tests for cancer,
21 as well as all the other applications we see happening.

22 Q. Do you know what impact it would have on
23 Illumina's reputation if Illumina attempted to foreclose
24 any cancer screening test developer?

25 A. Yes, I do.

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1 Q. What do you know about that?

2 A. It would have a dramatic impact on our
3 reputation and really disincentivise an R&D lab or
4 clinical labs from using our platforms, which would have
5 a major impact on our business.

6 Q. I'd like to ask you about the topic of
7 efficiencies. Does Illumina have plans for a unified
8 Illumina and GRAIL to generate efficiencies?

9 A. Yes, we do.

10 Q. And will the teams that report to you have a
11 role in generating those efficiencies?

12 A. Yes, they will.

13 Q. And can you please list the efficiencies as to
14 which the teams reporting to you will be primarily
15 involved in generating?

16 A. Yeah, the efficiencies that my teams will
17 directly report -- play -- work on include regulatory
18 efficiencies, market access efficiencies, and R&D
19 efficiencies.

20 Q. And are those all the efficiencies that Illumina
21 will achieve from a unified Illumina and GRAIL?

22 A. No, they are not.

23 Q. Do you know if the reunion of Illumina and GRAIL
24 will result in any supply chain efficiencies?

25 A. Yes, I do.

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1 Q. And will it?

2 A. Yes, it will.

3 Q. And are you familiar with the specific details
4 of that efficiency?

5 A. No, I am not.

6 Q. And do you know if the reunion of Illumina and
7 GRAIL will result in cost savings from the elimination
8 of double-marginalization for the GRAIL royalty?

9 A. Yes, it will.

10 Q. And are you familiar with the specific details
11 of those efficiencies?

12 A. No, I am not.

13 Q. Do you know if the reunion of Illumina and GRAIL
14 will result in efficiencies relating to GRAIL's
15 laboratory operations?

16 A. Yes, it will.

17 Q. Okay. And are you familiar with the specific
18 details of that efficiency?

19 A. I am more familiar with laboratory operations
20 given my experience at Genomic Health and my experience
21 at Illumina, and so I understand those better than some
22 of the details in the financial or supply chain
23 efficiencies.

24 Q. And what do you know about the laboratory
25 operational efficiencies that will result from the

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1 merger?

2 A. Well, what I've seen over my career and my time
3 in industry is that lab operations are -- is an
4 opportunity for continued improvement and a continued
5 path to find the most efficient way to process samples,
6 including the incorporation of increasing automation
7 along the full work flow for a test. That increasing
8 automation results in improved analytic performance,
9 decreased operational burden as far as hiring laboratory
10 staff, certified laboratory staff, which can be
11 limiting, and really is critical to the successful
12 evolution of a clinical test.

13 And I know in order to achieve those
14 efficiencies, you really have to have a deep knowledge
15 of the technology and deep experience, and at Illumina,
16 we have incredible experience with laboratory operations
17 since acquiring Verinata in 2013 and scaling up those
18 processes. And so we have experience scaling a test and
19 clinical testing on our sequencers, and we have more
20 experience in that than any other organization. So I do
21 know and I have confidence that our teams will bring
22 incredible insight and help improve our laboratory
23 operations.

24 Q. Dr. Febbo, will the transaction result in
25 efficiencies relating to Galleri's FDA approval?

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1 A. Yes, it will.

2 Q. And do you know whether FDA approval is
3 important to the wide scale adoption of Galleri?

4 A. Yes, it is.

5 Q. And why is that?

6 A. Well, FDA approval in a screening test in our
7 assessment is critical to national reimbursement through
8 Medicare, and many of the patients who could most
9 benefit from Galleri are Medicare patients. And so for
10 that test, that's our plan.

11 And my experience at Genomic Health, my
12 experience at Illumina is that whereas you get early
13 adoption and you get some utilization of tests before
14 widespread reimbursement, you really don't get access to
15 patients unless you have reimbursement.

16 You know, I'm fortunate. I'm employed at a
17 company that is offering Galleri to individuals 50 years
18 or older, and I fall into that category. People who
19 don't have that would have to pay out of pocket \$900 to
20 a \$1,000, and that's just too much money for most
21 patients, and so that reimbursement's super important.

22 And so, yes, accelerating FDA will accelerate
23 reimbursement in the public sector, Medicare, and that
24 will accelerate access to care.

25 Q. And do you know for what indications GRAIL is

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1 seeking FDA approval for Galleri?

2 A. I do.

3 Q. What do you know?

4 A. GRAIL is seeking approval for Galleri as a
5 screening test for over 50 cancers to detect cancer, and
6 if a signal is detected, GRAIL is -- once again is
7 applying for the indication that Galleri has a tissue of
8 origin that can guide the workup and the diagnostic
9 workup of patients and provides a tissue of origin score
10 for a patient.

11 Q. And will a unified Illumina and GRAIL continue
12 to pursue those indications?

13 A. Yes, we will.

14 Q. Why is that?

15 A. Well, because for these tests to have the most
16 impact on care and improve outcomes, that multicancer
17 detection -- early detection is so critical. It's also
18 important, as you look at the science, that 50-plus gene
19 detection adds to the performance, the specificity of
20 the performance, and decreases your false-positive rate.
21 So we will absolutely pursue that because of its
22 importance to individuals, to healthcare, and in order
23 to have the biggest impact on cancer outcomes.

24 Q. And do you know if GRAIL is pursuing a
25 single-site PMA or a distributable PMA for Galleri?

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1 A. I do.

2 Q. What do you know?

3 A. I know that they have plans to submit
4 application for a single-site PMA, and I -- their
5 timeline that they've articulated is that they will
6 complete that application in 2024.

7 Q. Will a unified Illumina and GRAIL continue to
8 focus on a single-site PMA for Galleri?

9 A. Yes, we will.

10 Q. Why is that?

11 A. Well, a single-site PMA has several benefits.
12 One benefit is that it does require additional review
13 and additional data that is sent to the agency, and FDA
14 approval, either a single-site PMA or a distributed PMA,
15 is seen as another assessment of the quality of the
16 evidence supporting that, and the FDA has very strong
17 credibility with which to attest to the safety and
18 efficacy of testing. So there's benefit to getting
19 that.

20 The other benefit of a single-site PMA that is
21 realized is in reimbursement where as, for example, we
22 see single-site PMAs for cancer testing, but in
23 treatment selection, some of our customers have
24 single-site PMAs, and there's now a national coverage
25 decision that's linked with FDA approval and

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1 specifically the -- having a single-site PMA and a
2 companion diagnostic claim on that PMA that then compels
3 reimbursement by Medicare.

4 And so there can be very good reasons with
5 respect to reimbursement to seek the single-site PMA as
6 well.

7 Q. Does Illumina have its own experience with the
8 FDA?

9 A. Yes, we do.

10 Q. What FDA clearances and approvals has Illumina
11 obtained, if any?

12 A. So we've obtained clearances for both tests and
13 our sequencers. So for -- with respect to tests, we've
14 been successful with the 510(k) for cystic fibrosis test
15 to identify the variants that can cause cystic fibrosis.
16 We also have a PMA in cancer treatment selection for an
17 extended RAS panel. That's called the Praxis test. We
18 did that -- we performed that -- those studies in
19 collaboration with Amgen in order to -- and the label
20 identifies patients with RAS mutations who do not
21 benefit from one of Amgen's drugs, and so those are
22 tests fully approved or cleared test experience.

23 We also have experience bringing our
24 next-generation sequencers through FDA clearance. The
25 MySeq Dx was the first next-generation sequencer brought

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1 to the FDA and required a great deal of engagement to
2 get to approval. Subsequently, we have approval for the
3 NextSeq Dx, which is our midrange sequencer. And so
4 those are our approved tests or cleared and approved
5 tests and products with the FDA.

6 Q. Does Illumina have any pending FDA approvals?

7 A. Yes, we do. On the testing front, we have an
8 NIPT PMA that is in modular submission and undergoing
9 active engagement and submission with the FDA. In
10 oncology, we have TSO-500, our comprehensive genomic
11 profiling test that is under active modular submission
12 for a PMA.

13 And on the sequencers, we are in the process of
14 getting our highest factory level sequencer, the
15 NovaSeq, cleared as NovaSeq Dx.

16 Q. Does Illumina have experience educating the FDA
17 about NGS technology?

18 A. Yes, we do.

19 Q. What can you tell us about that?

20 A. Well, you know, prior to my time and based on
21 discussions with Karen Gutekunst and others at Illumina,
22 there was a lot of engagement to get the FDA comfortable
23 with our sequencing technology from the very beginning,
24 from the submission of cell-free DNA to -- sorry, my
25 phone rang -- to the submission of the -- to getting

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1 approval for the MySeq Dx.

2 Throughout those submissions, and then since
3 I've been at Illumina and overseeing regulatory affairs,
4 I've seen our engagement with the FDA through the active
5 submissions I just mentioned, and those processes
6 include periods where you provide a presubmission, where
7 you provide the FDA with your plans before formally
8 submitting your application.

9 During those presubmissions, the FDA frequently,
10 in my experience, has asked for separate educational
11 sessions, perhaps an hour on a specific topic that is
12 relevant to that presubmission, where they feel they
13 need more information, where you're not talking about a
14 specific test, but you're talking about a specific part
15 of the process and educating them.

16 And finally, we've had a formal interaction with
17 the FDA where we were accepted into an educational
18 program, and we had 15 FDA employees fly out to San
19 Diego prior to the pandemic in 2019 and spent two days
20 onsite learning about the different components of
21 next-generation sequencing as it's used in whole genome
22 sequencing and other uses, including how samples are
23 prepared before they are put on the sequencing, how the
24 sequencer -- the sequencing works, from -- down to the
25 very basics, and then focused on the bioinformatics, how

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1 you go from the sequence data to understanding --
2 identifying variants and then identifying variants that
3 are clinically important. And so through those
4 interactions, we've directly interacted with the FDA.

5 We also are participating in groups like the
6 BloodPAC which the FDA participates in and have had
7 multiple interactions. I've had multiple personal
8 interactions with the FDA through the BloodPAC,
9 presenting at their headquarters about the analytic
10 performance of blood-based assays that are
11 next-generation, sequencing-based, and through less
12 formal meetings and discussions. And so we have a lot
13 of interactions and engagements with the FDA.

14 Q. Has Illumina received any feedback from the FDA
15 about these initiatives?

16 A. Yes. I've seen letters and communications that
17 are very complimentary, and I've also had personal
18 discussions from leaders at the FDA who have
19 complimented the engagement and the support that
20 Illumina's provided in their education.

21 Q. Do you know if the FDA has issued any public
22 statements relating to the challenges posed by NGS-based
23 diagnostics?

24 A. Yes, I do.

25 Q. What do you know about that?

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1 A. Well, the FDA has submitted -- has published
2 several written articles asking for help, effectively.
3 They -- and in those publications, one specifically in
4 2019, they identify the potential of next-generation
5 sequencing to improve healthcare by identifying -- being
6 able to assay thousands, if not millions of different
7 bases across the genome and provide information that's
8 relevant not just to one disease but to multiple
9 diseases.

10 That being said, they also say that's a big
11 challenge for the agency, because the agency is
12 generally used to reviewing a test that measure one or a
13 small number of analytes or variables to determine the
14 state of a patient to help in a single indication. And
15 so that -- you know, those publications attest that the
16 agency does recognize both the opportunity and the
17 challenges that they face in understanding how to review
18 and how to understand if tests are safe and effective.

19 Q. And based on the feedback that you know of, has
20 Illumina had any success in helping the FDA understand
21 those challenges?

22 A. Yes, both through my personal interactions and
23 discussions with the FDA and FDA leaders, I have
24 compliments that we have helped them understand
25 next-generation sequencing, and I've seen -- you know, I

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1 have seen evolution and improvements in their approach
2 to next-generation sequencing.

3 Q. And based on the feedback, is it fair to say
4 there's more education to come?

5 A. We're still very early in the use of
6 next-generation sequencing in clinical tests, and so,
7 you know, just based on our activity, and we're one
8 company bringing next-generation sequencing tests to the
9 agency. There are many others -- there's still a lot of
10 education and a lot of evolution before we -- the agency
11 is at a place where it can fully understand the
12 technology.

13 Q. And do you know if GRAIL has FDA experience
14 comparable to Illumina's?

15 A. It does not have FDA experience comparable to
16 Illumina's.

17 Q. And have you taken Illumina's regulatory
18 experience into account in determining that the merger
19 will accelerate Galleri's PMA?

20 A. I have.

21 Q. How so?

22 A. Well, through those engagements that I have just
23 outlined, you know, we've had, you know, formal
24 discussions, informal discussions, educational sessions,
25 and also what's important is not only is -- do we

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1 understand the FDA better, but our internal team, our
2 regulatory team, and all the teams that support the
3 regulatory team in these submissions, because when we're
4 engaged in a submission, we have our scientists from the
5 laboratory participate, we have our bioinformaticians
6 participate, we have our biostatisticians participate,
7 the full ecosystem, quality -- our quality system folks,
8 our manufacturing and supply chain folks, and so we have
9 all of our folks, and each of those individuals and each
10 of those teams gain experience with each of those
11 interactions.

12 So over the past decade, as we've taken through
13 the first test, the second test, the sequencers, and now
14 our active applications, we've established a cadence, an
15 understanding. We've helped the FDA understand, and we
16 feel we know where we need to continue to help them move
17 and understand our technology in a way that's scalable
18 and will help realize the potential of precision
19 medicine.

20 But we also have internal teams that have gained
21 understanding of the requirements that are evolving from
22 the FDA. So that combination is very powerful, and I'm
23 really excited to have that experience be applied to the
24 success of the Galleri test.

25 Q. And does Illumina have a plan for how a combined

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1 Illumina and GRAIL will help accelerate FDA approval at
2 Galleri?

3 A. Yes, we do.

4 Q. And have you been able to hammer out all the
5 specific granular details of that plan?

6 A. No, we have not.

7 Q. Why is that?

8 A. Well, until the companies can fully integrate
9 and merge -- you know, our plans are to keep GRAIL a
10 separate division, but as a single company, without our
11 current agreement to hold them separate, as the
12 different regulatory processes evolve, we can't get into
13 the details, the depth of details that we can once we no
14 longer have to do that, because even though they'll be
15 functioning as a separate division once we do -- are
16 able to look into the details, that's when we'll -- the
17 teams will really be able to work together and find
18 those specific areas where we can help them accelerate.

19 Q. And do you nonetheless have confidence in
20 Illumina's ability to accelerate Galleri's PMA?

21 A. I do.

22 Q. Why is that?

23 A. Well, I've seen our regulatory team. I've seen
24 our broad teams come together to address multiple
25 challenges, regulatory challenges as well as others. I

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1 know the incredible depth -- how the incredible depth of
2 expertise we have at Illumina is brought to bear and how
3 we can motivate and really engage and execute on
4 strategies to address challenges and to accelerate those
5 timelines.

6 So I have full confidence that when we do come
7 together and hammer out those details, there are going
8 to be incredible opportunities to find those
9 efficiencies and realize those efficiencies.

10 Q. And do you know what a quality management system
11 is?

12 A. I do.

13 Q. What relation, if any, does a quality management
14 system have to seeking and maintaining FDA and foreign
15 regulatory approvals?

16 A. Well, a quality management system is
17 foundational to the work you do to develop, validate,
18 and provide and manufacture a test. So the quality
19 system is really charged with making sure that there are
20 processes and documentations so that you can trust the
21 data upon which a test is developed and trust that, as
22 you manufacture the products, there's consistency in
23 that manufacturing so that the performance of each test
24 produced, each kit produced is similar to the
25 performance of the test when it was going through

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1 clinical validation.

2 Q. And does Illumina have a quality management
3 system?

4 A. We do.

5 Q. And is that system compliant with the
6 requirements of the FDA and foreign regulators?

7 A. It is.

8 Q. And do you know how long it's taken Illumina to
9 develop such a quality management system?

10 A. Well, it's taken over seven years, and certainly
11 it's evolved during my 3 1/2 years at Illumina. The
12 quality management system is under the -- in the
13 organization of Bob Ragusa, our chief operating --
14 operations officer, and in order for us to get our
15 approvals for Praxis, the RAS test, for the cystic
16 fibrosis test, and for MySeq and NextSeq, we needed that
17 quality system.

18 And Illumina, approximately seven years ago,
19 went through a clinical transformation project where it
20 incorporated the processes, the documentations that are
21 required, and incorporated a quality management system.

22 A key feature of quality management systems,
23 though, is once you begin is constant evolution and
24 evaluation and improvement, and over my 3 1/2 years,
25 I've seen Illumina and our laboratories and our

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1 manufacturing go through multiple audits by the agency,
2 the FDA, as well as international agency, because we
3 have quality systems to support our FDA applications, as
4 well as to support international regulatory
5 requirements, such as with the IVDR, and that's under
6 the ISO certification.

7 So we have had repeated audits on our quality
8 system. Each time we've done very well, but each time
9 the auditors have found ways to do even better. And so
10 over the seven years we've really started and evolved it
11 to where it is now.

12 Q. And will Illumina's quality management system be
13 used to benefit GRAIL's FDA efforts for Galleri when the
14 companies can reunify?

15 A. Yes, it will.

16 Q. Okay. Can you please elaborate on that?

17 A. Well, there's a lot of work that goes into both
18 the initial establishment and there's a lot of knowledge
19 and institutional knowledge and experience that goes
20 into the evolution of those quality management systems.

21 So we've had a quality management system longer
22 than GRAIL's been a company, and so those -- that
23 learning, that evolution, and those -- those procedures
24 and documentations that are foundational to the quality
25 systems, as well as some of the software infrastructure,

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1 can be incorporated in the leverage to GRAIL's benefit.

2 Q. Sir, do you know whether payer coverage is
3 important to the wide-scale adoption of an MCED such as
4 Galleri?

5 A. Yeah, it's critical.

6 Q. And does Illumina have experience with obtaining
7 payer coverage for diagnostic tests?

8 A. Yes, we do.

9 Q. And do you know if GRAIL has market access --
10 payer coverage experience comparable to Illumina's?

11 A. It does not.

12 Q. And have you taken Illumina's market access
13 capabilities into account in determining the benefits of
14 the GRAIL merger?

15 A. Yes, we have.

16 Q. And will Illumina accelerate payer coverage for
17 Galleri?

18 A. Yes, it will.

19 Q. Does Illumina have a plan for how it will
20 achieve such acceleration?

21 A. Yes, we do.

22 Q. And is Ammar Qadan responsible for the details
23 and general implementation of that plan?

24 A. Yes. Ammar Qadan is our VP of market access.
25 He has been at Illumina for approximately five years,

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1 and he is in charge of -- he's -- I have been -- through
2 our discussions and through his involvement with the
3 evaluation of the merger and after our announcement of
4 the merger, he's been in charge of developing the plan
5 to realize efficiencies and speed up time to
6 reimbursement.

7 Q. And if Illumina has to invest \$500 million or
8 even a billion to generate the evidence needed to secure
9 broad payer coverage for Galleri, do you know if
10 Illumina will do so?

11 A. Yes, we will.

12 Q. And if Illumina had to spend even more than that
13 on trials for evidence generation to secure broad payer
14 coverage, does Illumina have the budgetary resources to
15 do so?

16 A. Well, I see that as one -- yes, and I see that
17 as one of the major benefits to this acquisition,
18 because we do have meaningful business across research
19 and development and clinical testing. We are
20 profitable, and we can invest those profits in the most
21 important ways for patients and business.

22 What I will say also is that as executive
23 sponsor of the acquisition, of the model to acquire
24 GRAIL, I made sure that in our model we had sufficient
25 investment that could cover 500 million, a billion

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1 dollars, over five to ten years, of investment
2 to generate evidence required to support reimbursement.
3 I know that's critical.

4 My experience in laboratory-developed tests at
5 Genomic Health, at Illumina has demonstrated how
6 important that evidence is, and in screening tests, the
7 evidence requires incredible investment. You know,
8 these are -- these are big dollars to go towards
9 evidence generation, and we have that in the model.

10 So not only do we have it in the model, but we
11 also have the capability to do more should it be
12 necessary given our business.

13 Q. I would like to ask you about international
14 efficiencies. Will the transaction accelerate access to
15 Galleri outside the U.S.?

16 A. Absolutely.

17 Q. And why do you say that?

18 A. Well, Illumina's a global company. We have
19 business in over 120 countries worldwide. We have
20 regulated products in over 30 countries worldwide. We
21 have meaningful reimbursement in over 30 countries, and
22 that is only achieved through incredible experience and
23 work, and we will bring that to bear to help GRAIL
24 provide access to Galleri tests outside the United
25 States.

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1 Q. And you mentioned regulated products in other
2 countries. Does Illumina have regulated NGS systems in
3 multiple regions?

4 A. Yes, we do. We have -- in over 30 countries, we
5 have regulated tests and sequencers.

6 Q. And you said regulated tests. Does Illumina
7 have regulated diagnostic tests?

8 A. Yes, we do.

9 Q. Does Illumina have relationships with
10 international laboratories?

11 A. Yes, we do.

12 Q. Does Illumina have relationships with
13 international health systems?

14 A. Yes, we do.

15 Q. And have you taken Illumina's international
16 experiences and presence into account in determining the
17 international acceleration benefits of the GRAIL merger?

18 A. Yes, we have.

19 Q. And do you know whether accelerating GRAIL's
20 international -- sorry, Galleri's international adoption
21 will have any impact on patients in the U.S.?

22 A. Yes, it will.

23 Q. How so?

24 A. Well, it will have a positive -- very positive
25 impact, and I've seen this through, again, my

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1 experience. As you get international adoption, there
2 are multiple benefits to patients in the United States
3 or in any geography, because internationally you can
4 work with entities that may be, you know, single-payer
5 countries to establish evidence.

6 You could work in countries that have an ethnic
7 distribution that's different than the majority of those
8 individuals in the United States. You know, the United
9 States is a melting pot. We have, you know, all
10 ethnicities represented, but when you perform studies,
11 it's oftentimes hard to have all those ethnicities
12 sufficiently represented to truly understand the
13 performance of your test.

14 So as you work with different geographies and
15 generate evidence in those evidence, it gives you
16 confidence in understanding the performance of your test
17 in those ethnicities that can have immediate impact on
18 the benefit of the patients.

19 Also, that kind of expanded data gives you
20 real-time evidence and real-world evidence on the
21 performance of your test, and I've seen firsthand that
22 you can use that information to not only understand the
23 performance of the test in specific ethnicities but also
24 improve the performance of the test for everybody who
25 gets it.

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1 So it's the evidence that's generated that can
2 be used to -- you know, for regulators, for -- can be
3 used for payers. It's the performance in different
4 populations that could be used to understand its
5 performance in those populations within the United
6 States, as well as the performance of the test overall,
7 and those are some of the most important benefits I see
8 for the international expansion of the use of a test
9 like Galleri.

10 Q. What do you know, if anything, about GRAIL's
11 international presence as compared to Illumina's?

12 A. Well, GRAIL has publicly announced a very
13 meaningful engagement with NHS in the United Kingdom.

14 Q. And doesn't that show that they can readily
15 expand internationally without Illumina's assistance?

16 A. Well, the United Kingdom is one country, and it
17 is a really important engagement, but the United Kingdom
18 has -- you know, is particularly forward- looking when
19 it comes to genomics, and, you know, I actually am very
20 proud of Illumina's role in helping them get there.

21 Illumina worked with them through Genomics
22 England to get to the first 100,000 Population Genomics
23 study done, and Illumina is also supporting their
24 integration of genomics into the NHS through providing
25 whole genomes for children with -- suspected of genetic

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1 disease and whole genomes for some types of cancer.

2 So we have really set the stage for the UK to be
3 aggressive with next-generation sequencing, and I
4 believe strongly that their familiarity with our
5 technology probably played very -- was weighted heavily
6 in the success that GRAIL found.

7 But, again, even though that's important, it's
8 one country, and what we also know through our
9 experience with that success with the UK is that that
10 doesn't automatically lead to success in the other
11 countries.

12 We thought, after Genomics England, that many
13 countries would have pop-gen and we'd see an incredible
14 explosion of countries embracing that model. Well it's
15 taken years. We are seeing successes now after doing
16 hard work, and we have seen or we now know the path of
17 success. We're seeing success in countries like Taiwan,
18 Hong Kong, Singapore, Australia, where they are starting
19 to truly integrate population genomics.

20 But it didn't happen automatically, and we've
21 had to have a lot of learning within Illumina, within --
22 across multiple functions on how to get to the success
23 beyond the UK.

24 Q. I'd like to ask you about R&D efficiencies.
25 Will the reunion of Illumina and GRAIL result in R&D

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1 efficiencies?

2 A. Yes, it will.

3 Q. And what categories of R&D efficiencies will
4 result from the transaction?

5 A. Well, I think there are two major categories.
6 One category is how do we improve the Galleri test and
7 find R&D efficiencies with the Galleri test? And the
8 other is how do we perhaps -- you know, what are the
9 efficiencies to lead to the next tests that occur, that
10 can be developed?

11 Q. And will the teams that report to you be
12 involved in generating R&D efficiencies relating to new
13 developments for Galleri?

14 A. Yes, they will.

15 Q. Can you explain that?

16 A. Well, what I've seen and I'm excited about
17 occurring as the companies come together is that as you
18 expand your testing, as you scale testing and you test
19 hundreds, thousands, tens of thousands of patients, you
20 end up getting data that really helps you understand the
21 test to a degree that's even deeper than initially.

22 It also gives you data where you can bring in
23 your biostatisticians and biostatistics reports to me,
24 you can bring in your -- you know, your -- your medical
25 experts, and together to work with your product

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1 development folks that is in core R&D under Alex
2 Aravanis and look at those signals and look at how to
3 improve the test itself, improve the performance,
4 improve the efficiency.

5 You know, you start off with a certain amount of
6 sequencing that's usually, you know, more than you need.
7 In the case of Galleri, they have millions of
8 methylation marks. That's the place you start. Over
9 time, as you get more data, you can use your internal
10 team's expertise in your technology to refine that, make
11 more efficient, and improve the performance over time.
12 So that's how I see the teams coming together to really
13 improve the Galleri test itself.

14 Q. And will the teams that report to you be
15 involved in generating R&D efficiencies relating to new
16 applications?

17 A. Yes, they will.

18 Q. And can you explain that?

19 A. Well, that same dynamic. As you see the number
20 of tests go up, what happens is you always get edge
21 cases or outliers, and I've seen this in genomic health,
22 and, you know, this is an example of what we were doing
23 at Illumina in NIPT, right?

24 There were outliers that, after we scaled up an
25 NIPT, that ended up having a genome that was markedly

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1 disrupted, incompatible with life, and it turned out
2 that those few women who had that finding had cancer,
3 and that was the beginning of GRAIL, that observation.

4 That observation was made because Illumina had a
5 group -- a medical group that were looking at those
6 outliers and prepared to look for, what are the reasons?
7 Is it spurious? You work with your people who are
8 highly technically experts so that they know whether
9 it's a true signal or maybe a spurious signal, and you
10 can only get to that with a deep understanding and a
11 large amount of data.

12 When you determine that it's not spurious and
13 that it's not a technical artifact, that there's
14 something biological going on, then it's really
15 important to have a diverse group of medical content
16 experts to review and engage, and whereas GRAIL's
17 been -- GRAIL has a great team, laser-focused on
18 screening in cancer, but Illumina, we focus on genetic
19 disease, reproductive health, oncology, increasingly
20 infectious disease in the setting of the pandemic,
21 cardiovascular disease.

22 And so we have a bench -- growing bench of
23 experts who can look at these outliers, look at these
24 signals, and help determine what's happening and have
25 the relationship so that we can move from observations,

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1 such as a few samples that have a signal, that we have a
2 hypothesis, to proof of concept studies with any number
3 of the, you know, hundreds of investigators we perform
4 studies with across the globe, finding the right match
5 to move from that initial observation to a proof of
6 concept, and any further studies as you realize and test
7 your hypotheses and eventually come up with the next
8 test.

9 Q. And when you say "the next test," what types of
10 applications are you talking about potentially?

11 A. Well, I see this kind of platform as having
12 significant impact certainly in cancer testing. We'll
13 see screening, which is what we're talking about. We'll
14 also see these kind of signals helpful in cancer
15 monitoring, but outside of cancer, we know that these
16 signals could pick up on metabolic disease.

17 So in the United States, obesity is a major
18 challenge. There's fatty acid -- fatty changes in the
19 liver, or NASH, causing NASH, an increasing healthcare
20 concern, and I am confident -- I don't know which
21 application will go first, whether it's cardiovascular
22 disease, metabolic disease, inflammatory disease -- but
23 I'm quite confident that as we look at these outliers,
24 we'll see opportunities to build tests that serve as
25 many, if not -- as many patients as the screening test

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1 can serve.

2 Q. Dr. Febbo, do you know if Illumina has
3 determined the impact of these efficiencies you've
4 testified about on the -- sorry, let me restart that.

5 Do you know if Illumina has determined the
6 impact of these efficiencies you testified about on the
7 timing of Galleri's widespread adoption?

8 A. We have.

9 Q. And what did you determine?

10 A. We determined that, in aggregate, these
11 efficiencies will accelerate the adoption and
12 availability of the Galleri test by approximately at
13 least one year.

14 Q. And can you elaborate a little more on that
15 determination?

16 A. Well, you know, we've already talked about the
17 specific efficiencies that my group has a major role in
18 playing in regulatory affairs, market access, R&D
19 efficiencies, and we have a plan, and we're really
20 excited about engaging with GRAIL and executing with
21 GRAIL. And we also have efficiencies that we've talked
22 about outside of my organization.

23 In aggregate, we feel that that will improve our
24 regulatory path, it will improve the payers' speed at
25 which they provide reimbursement, it will improve the

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1 efficiencies in performing the test, and those will
2 shift the availability of Galleri meaningfully forward
3 by a year.

4 Q. And is that acceleration effect reflected in the
5 base case of Illumina's model for the GRAIL merger --

6 A. It is not.

7 Q. -- if you can say on the public record?

8 A. It is not.

9 Q. And why not?

10 A. Well, as we looked at GRAIL and developed a
11 model to get to an acquisition price of GRAIL, we looked
12 at GRAIL as a stand-alone opportunity, the value of
13 GRAIL as a company in and of itself. So we did not take
14 into account all the value that we could bring to GRAIL
15 because that's not the acquisition price.

16 The acquisition price is given GRAIL, given its
17 operations, given its teams' experience that they had
18 already developed, of what was our best assessment of
19 the current value, and so that model did not include the
20 efficiencies we've discussed.

21 Q. And did the at least one year acceleration
22 effect you testified about -- sorry, let me rephrase
23 that.

24 Did Illumina consider the at least one year
25 acceleration effect you testified about in evaluating

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1 the transaction?

2 A. Well, during the transaction, what we did do is
3 we looked at, you know, any -- a lot of the variables
4 that were important to the model in the valuation of
5 GRAIL, and we did what I would call sensitivity training
6 where we looked at what would happen if, you know,
7 things were accelerated by a year. What would it
8 impact? So we did model acceleration, for example, of
9 regulatory approval by a year and saw the impact that
10 could have on testing and on the value of GRAIL.

11 Q. And do you have confidence in Illumina's ability
12 to accelerate access to Galleri by at least one year?

13 A. I do.

14 Q. And do you know how -- whether that acceleration
15 will result in lives saved?

16 A. Yeah, I have great confidence that will result
17 in lives saved. My personal experience is working with
18 patients as a medical oncologist who are unfortunately
19 diagnosed too late, and I'm really excited about the
20 improvements that have been made for those individuals
21 with advanced disease to prolong their lives, but even
22 though it prolongs, all too often they succumb to
23 cancer.

24 The best way to avoid that is earlier diagnosis,
25 diagnosing cancer when it can be treated definitively --

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1 locally, surgery, radiation -- and our acceleration of
2 GRAIL, our acceleration for patients to have access to
3 GRAIL based on the data that's already been published
4 from the multiple studies they've done gives me great
5 confidence that that acceleration translates into lives
6 saved.

7 Q. Sir, are you familiar at any level with the
8 firewall commitments that Illumina has made in its open
9 offer?

10 A. I am.

11 Q. And to your knowledge, will that firewall impede
12 Illumina from achieving the efficiencies you've
13 testified about?

14 A. It will not.

15 Q. Why not?

16 A. Well, the efficiencies that we've talked about
17 in market access, regulatory, R&D has -- is not
18 dependent at all on having any knowledge about what
19 other customers are doing in screening or what GRAIL's
20 commercial success is. Those are all very much focused
21 on the R&D of -- and data generating through the studies
22 and engaged with -- it's really internally focused, the
23 R&D efficiencies, the laboratory efficiencies.

24 And even the reimbursement is really about how
25 do we most efficiently develop the evidence to support

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1 those regulatory filings? How do we engage with the
2 payers to accelerate those regulatory filings, the
3 review, the material? And then payers very much the
4 same thing. So those -- the firewall, like, so that
5 GRAIL doesn't have any access to the commercial
6 activities of our customers and vice versa, will not
7 impact the efficiencies we've discussed today.

8 Q. Do the teams reporting to you have access to the
9 confidential information of Illumina's oncology
10 customers?

11 A. No, they do not.

12 Q. And is Illumina involved in the single-site PMA
13 applications of its NGS customers?

14 A. We are not.

15 Q. Does the FDA seek information from Illumina in
16 connection with its review of -- the FDA's review of a
17 single-site PMA application of a third-party test
18 running on an Illumina instrument?

19 A. They do not.

20 Q. And is that true even in the case of a test
21 that's developed on a newly launched Illumina
22 instrument?

23 A. That is also true of newly launched instruments.

24 Q. And does your regulatory team have any formal
25 involvement with the PMA process for distributed

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1 third-party tests running on Illumina sequencers?

2 A. They do not.

3 Q. Sir, do you know whether the acceleration
4 benefits of the merger could be achieved by GRAIL hiring
5 FDA consultants?

6 A. They could not achieve acceleration through
7 hiring consultants.

8 Q. And why do you say that?

9 A. Well, I've worked through this process and
10 overseen the process with regulatory authorities
11 multiple times, and what's clear is you need an internal
12 core team that has experience with the authorities based
13 on time at Illumina and prior experience, but they've
14 also had time and experience with the technology that is
15 foundational to the test going through the process.

16 And I know through our use of consultants and
17 our hiring of individuals into regulatory, into market
18 access, across our personnel, is that there's just not a
19 deep, rich bench of experience available for
20 consultants, and the model of a consultant driving that
21 just doesn't work as effectively as having internal
22 employees.

23 JUDGE CHAPPELL: I have a technical issue. We
24 need to just pause in place. It's not a break. We're
25 just going to pause for a few moments. I'll be right

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1 back.

2 (Pause in the proceedings.)

3 JUDGE CHAPPELL: Go ahead. Next question.

4 MR. WEISS: Thank you, Your Honor.

5 BY MR. WEISS:

6 Q. Dr. Febbo, can GRAIL achieve the efficiencies
7 you've described by hiring Illumina's regulatory and
8 payer personnel?

9 A. No, they cannot.

10 Q. Why do you say that?

11 A. Well, you know, our regulatory and -- personnel
12 work together and work across teams, and the experience
13 we have is cross-functional. Yes, our regulatory team
14 is on point, and certainly GRAIL could hire one, two,
15 even three of those, but taking an individual out of the
16 environment, out of the cross-functional and
17 multidisciplinary approach to our filings, to success
18 with the agency that we've achieved over years, of
19 course, we have had, you know, employees come and go,
20 but we have had a critical mass that have worked over
21 the years to generate this institutional insight that is
22 not dependent on any single employee.

23 So that's why I say we just can't hire that out
24 of Illumina, that institutional knowledge, because it's
25 too kind of dispersed within regulatory and it's too

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1 dispersed amongst multiple functions at Illumina.

2 Q. Do you have knowledge about the retention rate
3 of the employees within the chief medical officer
4 organization?

5 A. I do.

6 Q. And do you know how that compares to industry
7 benchmarks?

8 A. Yes, I do.

9 Q. And what do you know about that?

10 A. Well, over the past year, we have had an 8
11 percent turnover in the functions reporting in to the
12 chief medical officer, and I know through recent
13 analysis that we performed -- and the industry standard
14 is about double that, 15 percent.

15 Q. Now, since you joined Illumina, have you been
16 approached to work at other biotech companies?

17 A. I have, almost on a weekly basis.

18 Q. And you don't have to name them on the public
19 record if you're not comfortable doing so. Let me just
20 ask, are any of those companies that approached you
21 developing screening tests?

22 A. They are. Multiple have -- who have approached
23 me to consider leadership roles in their business are --
24 have publicly indicated they are developing screening
25 tests for cancer.

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1 Q. And did you entertain any of those
2 opportunities?

3 A. I did not.

4 Q. Why not?

5 A. Well, it has to do with my belief that
6 Illumina's -- my understanding and excitement that
7 Illumina technology will be foundational to health and
8 healthcare, and whereas I'm an oncologist, I care deeply
9 about cancer treatment, about screening, about improving
10 outcomes for cancer patients. I feel that emotionally,
11 palpably.

12 I also see incredible opportunity for Illumina's
13 technology across medicine, and at this stage in my
14 career, I want to have as big of an impact as I can. So
15 at Illumina I'm in a fabulous role as chief medical
16 officer to guide that medical strategy, oversee the
17 development of tests, not only for screening and cancer
18 but across screening, treatment decision, and monitoring
19 of cancer and, indeed, across cardiovascular disease,
20 reproductive health, infectious disease, and that's why
21 I'm so excited to stay at Illumina and why I didn't
22 entertain a similar position at a company that's only
23 focused on screening.

24 Q. Can Illumina and GRAIL achieve the efficiencies
25 you testified about by contract without merging?

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1 A. My experience is that that is not going to
2 happen.

3 Q. And what experience do you have in this regard?

4 A. Well, I have had multiple experiences working
5 with -- through partnerships on important activities,
6 both at Genomic Health and at Illumina. In fact, now we
7 have a very good partnership with a software company in
8 our oncology program, and that partnership has gone as
9 well as it can. It's transparent, good communication,
10 agreed-upon milestones, our partner's hitting
11 milestones, but at the end of the day, we're working
12 with a company that has its own path, its own strategy,
13 its own mission.

14 And I've -- you don't see total alignment
15 between two companies, and nor can you get into the
16 depth of understanding of the processes and the special
17 sauce that a lot of these companies, including Illumina,
18 have in order to fully realize efficiencies, fully
19 realize where you have the best opportunity to improve a
20 test, to improve or speed regulatory, improve
21 reimbursement. You just don't see the layer of
22 engagement that's necessary to get to the full
23 realization of those benefits through partnerships.

24 Q. Now, would Illumina need access to GRAIL's
25 proprietary secret sauce to get the R&D efficiencies you

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1 talked about?

2 A. It would.

3 Q. And why do you say that?

4 A. Well, because without understanding in depth the
5 specifics of the sequencing that's performed, the
6 specifics of the bioinformatics that goes from that
7 sequencing and pulls out the methylation patterns
8 that -- and then the machine-learning that's used to
9 identify that cancer detection signal, to identify that
10 tissue of origin of signal, without deeply understanding
11 that, it's almost impossible for our scientists, who
12 know the technology better than any other company, to
13 realize efficiencies.

14 So you have to get to that deep, fundamental
15 understanding and exchange in order to realize the full
16 benefit of coming together and the full efficiencies.

17 Q. Is that also true of the regulatory efficiencies
18 you testified about?

19 A. Yes, it is. You know, you have to have a deep
20 assessment of their full regulatory filings, all the
21 communications with the regulators, and -- and
22 understand the full portfolio of studies and specifics
23 about those studies and how they're going to be
24 integrated into the approach in order to engage with
25 them, identify gaps based on your experience and based

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1 on your experience with the agency, so that you can
2 supplement those gaps, mitigate those risks, and find a
3 path to acceleration.

4 Q. Did GRAIL share its secret sauce with Illumina
5 in due diligence for this transaction?

6 A. It did not.

7 Q. Does Illumina provide market access and
8 regulatory consulting services to its customers?

9 A. We do not.

10 Q. Why is that?

11 A. Well, our regulatory and market access resources
12 are, you know, very precious. They're growing teams.
13 I've worked to build -- worked with Ammar to build his
14 market access team since joining Illumina. I'm working
15 with Karen to build her regulatory affairs team. But
16 those teams need to be laser-focused on the key
17 priorities we have as we increase the number of clinical
18 tests and clinical sequences that we're bringing to
19 market in the United States and across the globe.
20 Offering them as consulting services would be a big
21 distraction, and it's not something that we do.

22 Q. Would Illumina have to redeploy any of those
23 regulatory and market access employees to achieve the
24 acceleration benefits you've testified about?

25 A. We would not.

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1 Q. And do you anticipate that Illumina will
2 redeploy some of those resources if the parties are
3 allowed to reunify in order to achieve acceleration?

4 A. Well, what I anticipate is that when we are
5 allowed to reunify and can meaningfully engage, we will
6 have periods of focused effort where our regulatory team
7 comes together with their regulatory team, and the best
8 of our regulatory team -- Karen, her directs, we have a
9 tiger team -- to get into those details, understand the
10 roadmap beyond what we already understand, and provide
11 guidance as far as where gaps exist and come up with a
12 plan, work with them to develop a plan to mitigate those
13 risks and execute on those plans over time.

14 Now, the leadership will have periodic times
15 when they engage like that, and as we come up with a
16 plan to execute on specific topics, be it a study that's
17 required or a specific element of the test that has to
18 be refined. We will make a decision whether we need to
19 hire additional staff and provide additional resources.

20 Again, you know, that's all compatible with the
21 business model we put together for this acquisition in
22 order to realize those benefits and avoid any -- any
23 impact on other programs outside of our acquisition of
24 GRAIL.

25 Q. I think you described those -- your market

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1 access and regulatory resources as precious, but would
2 making those resources available to GRAIL significantly
3 detract from the other projects that those teams are
4 working on?

5 A. No, they would not.

6 Q. And why do you say that?

7 A. Well, first of all, GRAIL's using our
8 technology. GRAIL's focus is cancer screening, and we
9 have -- we share a focus on cancer treatment selection.
10 And so it's very much in line with a lot of the
11 activities that are already happening in our market
12 access and regulatory.

13 Second, as I discussed, these are going to be
14 periodic times where these folks have concerted effort,
15 where we bring the team together, and that periodic
16 episode doesn't result in a meaningful delay on their
17 ongoing and existing activities.

18 And even when, you know, we identify gaps or
19 identify approaches that do require resourcing on a
20 continued basis, that's where the benefit of being with
21 Illumina, is we have the ability to resource those and
22 build the team so that other programs do not suffer.

23 JUDGE CHAPPELL: Hold on for a second.

24 I need Ms. Musser and Mr. Marriott to let me
25 know when they're available.

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1 MR. WEISS: Just a moment, Your Honor.

2 JUDGE CHAPPELL: If not available now, let me
3 know when Mr. Marriott will be available.

4 MR. WEISS: Yes, Your Honor. I think he is.
5 We're just checking with him.

6 JUDGE CHAPPELL: I saw him on the participant
7 list.

8 (Pause in the proceedings.)

9 JUDGE CHAPPELL: All right, that's long enough.
10 Just let me know when he's available. I just have a
11 couple questions about scheduling. Just so you know, he
12 is supposed to provide me an update on witness
13 scheduling so we can talk about trial dates, and that's
14 what I'm going to ask about. So let him know that, and
15 let me know when he's available, and Ms. Musser at the
16 same time.

17 MR. WEISS: We'll do so, Your Honor.

18 JUDGE CHAPPELL: With that, we will go back to
19 the witness.

20 Thank you, Ms. Musser.

21 BY MR. WEISS:

22 Q. Finally, Dr. Febbo, at least for the public
23 session, what impact do you expect this transaction, if
24 allowed to stand, will have on patients?

25 A. Well, I'm incredibly confident that this -- our

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1 re -- our acquisition of GRAIL, our reunification with
2 GRAIL will accelerate the access of Galleri to patients
3 so that patients -- more people can benefit from
4 Galleri, like I've already benefited, and as a medical
5 oncologist, I have seen the impact that advanced cancer
6 plays.

7 I have spent a career really studying cancer,
8 studying clinical cancer, studying the biology of
9 cancer, and we're in an incredible time where we now
10 have enough understanding of the biology of cancer and
11 we have technologies that are comprehensive enough, like
12 next-generation sequencing, in order to bring those
13 together and provide tests like Galleri to have a
14 dramatic impact on the burden of cancer on health and
15 human suffering.

16 So I was proud -- am proud to be a cosponsor of
17 this acquisition. I strongly recommend it to leadership
18 and to the board, that we acquire GRAIL, and I remain
19 steadfast in my belief that our reacquisition, our
20 acquisition/reunification with GRAIL will speed this to
21 patients and will improve outcomes.

22 MR. WEISS: Thank you, Dr. Febbo.

23 Your Honor, that concludes the public portion of
24 my direct examination, and I see that Mr. Marriott is on
25 if you would like to address lead counsel.

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1 JUDGE CHAPPELL: All right, hang on. I just
2 want to make sure Ms. Musser is available.

3 MS. MUSSER: I am, Your Honor.

4 JUDGE CHAPPELL: Mr. Marriott, do you have a
5 scheduling update for me?

6 MR. MARRIOTT: I think so, Your Honor, which
7 is -- we did email the Court, and so I want to make sure
8 Your Honor received that, but the scheduling update is
9 that this is our last witness for today, live witness
10 for today, and then we have two witnesses tomorrow, and
11 then that will be the conclusion of our live witnesses.

12 We do have one expert witness, Your Honor, who I
13 won't go into the details here on the public record, but
14 who has had a medical issue which requires a special
15 adjustment we're going to propose to Your Honor, but I
16 can do that separately or in in camera or in a sidebar
17 at some point, but it doesn't affect the live trial
18 testimony.

19 After this witness, Your Honor, we are done for
20 the day in terms of the witnesses. We have two
21 witnesses tomorrow, and I don't expect either of those
22 witnesses will take the entirety of the trial day
23 tomorrow, and all of that is with Your Honor's
24 permission.

25 JUDGE CHAPPELL: Well, without getting into the

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1 details, I certainly hope everything turns out well for
2 that witness, and the parties might want to consider if
3 there's no availability, I would assume there is an
4 expert report in evidence for that witness?

5 MR. MARRIOTT: There certainly is that, Your
6 Honor. We have a -- we have a proposal to make in that
7 regard, but I -- I'm not quite finished with my
8 conversations with Ms. Musser on that, so I might want
9 to hold that until a little later in the day or tomorrow
10 morning.

11 JUDGE CHAPPELL: I always hold off until the
12 parties have finished conferring.

13 No, I did see the witness schedule emails, but
14 that doesn't tell me, for example, that tomorrow would
15 be your last witness. I didn't know that. That's not
16 in the emails.

17 MR. MARRIOTT: Yeah. Well, I apologize, Your
18 Honor. Yeah, tomorrow will be the last live witness,
19 and then the remaining depositions -- the remaining
20 trial witnesses will be done by deposition.

21 JUDGE CHAPPELL: All right. And, Ms. Musser,
22 are you planning any rebuttal for this time subject to
23 the strict rebuttal requirements of the Court?

24 MS. MUSSER: Not, Your Honor. We are not
25 anticipating any rebuttal witnesses, although I do

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1 believe we have two -- one, I'm sorry, expert witness
2 that is a trial deposition scheduled for next week.

3 JUDGE CHAPPELL: So if everything goes according
4 to plan, then, Respondents would rest tomorrow, subject
5 to the depositions being completed, transcribed, and our
6 reconvening, and the same for Complaint Counsel, right?
7 You have at least one further deposition to take and
8 transcribe?

9 MS. MUSSER: Yes, Your Honor, and we're still
10 finalizing, meeting, and conferring on that JX 3, but
11 hope to be able to present a status update today or
12 tomorrow morning.

13 JUDGE CHAPPELL: All right. So the plan would
14 be we'll recess at the end of the day tomorrow until I
15 hear that all deposition transcripts are ready to be
16 admitted, and then we'll reconvene at that point, all
17 right?

18 MR. MARRIOTT: Sounds good, Your Honor. Thank
19 you.

20 MS. MUSSER: Yes, Your Honor.

21 JUDGE CHAPPELL: All right. Thank you, both.

22 At this time, I think he had -- Mr. Weiss, you
23 passed the witness?

24 MR. WEISS: Oh, I've concluded the public
25 session of my direct examination.

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1 JUDGE CHAPPELL: Oh, I see. You are requesting
2 in camera?

3 MR. WEISS: Well, I can move to in camera if
4 Your Honor prefers or we can stay on the public record
5 and then go to in camera, whatever Your Honor prefers.

6 JUDGE CHAPPELL: All right. Maybe I
7 misunderstood you. I thought you said you had finished
8 your public portion.

9 MR. WEISS: That's right. I finished the public
10 portion of my direct. So I can move right into in
11 camera if Your Honor prefers.

12 JUDGE CHAPPELL: We'll do that. Let's move into
13 in camera session.

14 The public who are calling in will be moved into
15 a waiting room. You will be brought back into the
16 courtroom after we go back to a public session.

17 I need the lead or questioning counsel for each
18 party to review the list of participants on the Zoom
19 screen and verify there are no participants in the
20 courtroom who should not be there. If there is anyone
21 who is not authorized, you are to instruct that person
22 to use the raise hand function on the Zoom screen. They
23 will then be moved into a waiting room.

24 Let me know after you've reviewed the list. Go
25 ahead.

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1 MR. GONEN: The list looks good to Complaint
2 Counsel, Your Honor.

3 MR. WEISS: Your Honor, I see Ms. Song's hand
4 raised, but once she's moved, the list looks good to me
5 as well, and she has been moved. So the list looks good
6 to me as well, Your Honor.

7 JUDGE CHAPPELL: Okay.

8 Bria, are we ready?

9 BRIA: Yes. The public has been moved as well.

10 (The following proceedings were held in
11 in camera session.)

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2 in camera session.)

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Illumina, Inc. and Grail, Inc.

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25 (End of in camera session.)

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1 (The following proceedings continued in
2 public session.)

3 JUDGE CHAPPELL: And we'll take just a
4 five-minute short break, and when we come back, we will
5 continue your cross.

6 We're in recess -- let me just give you a time,
7 4:12. We return at 4:12.

8 We're in recess.

9 (Recess)

10 JUDGE CHAPPELL: We're back on the record.
11 Continue.

12 MR. GONEN: Thank you, Your Honor.

13 - - - - -

14 CROSS-EXAMINATION (resumed)

15 BY MR. GONEN:

16 Q. Dr. Febbo, for purposes of obtaining FDA
17 approval, the Galleri test will be considered a
18 Class III medical device; is that right?

19 A. That is correct.

20 Q. And in order to get through the FDA, Class III
21 devices must obtain what is called premarket approval;
22 correct?

23 A. That is correct.

24 Q. And the only Class III NGS diagnostic test for
25 which Illumina has gained premarket approval is the

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1 Praxis test; is that right?

2 A. That is correct.

3 Q. And Praxis is a companion diagnostic test for
4 the drug Vectibix; is that correct?

5 A. That's correct.

6 Q. And that test tests for coding mutations in the
7 RAS gene family; is that right?

8 A. That is right.

9 Q. And the Praxis test sequences tumor tissue
10 samples; is that right?

11 A. That is correct.

12 Q. Praxis is not a liquid biopsy test, is it?

13 A. It is not a liquid biopsy test.

14 Q. So it does not assay cell-free DNA from blood;
15 right?

16 A. The Praxis test does not assay cell-free DNA
17 from blood.

18 Q. Praxis is indicated for people with metastatic
19 colon cancer; correct?

20 A. That is correct.

21 Q. It is not a test to screen healthy people for
22 cancer; right?

23 A. That is correct.

24 Q. And you were not personally involved with
25 Illumina's FDA application for the Praxis test; correct?

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1 A. That is correct.

2 Q. Praxis received FDA premarket approval before
3 you joined Illumina; right?

4 A. That is correct.

5 Q. Illumina was not the first company to obtain FDA
6 premarket approval for a Class III NGS diagnostic test,
7 was it?

8 A. Well, I know we were the first to get an FDA
9 approval for our sequencer, but I don't know if there
10 was a Class III approval for an NGS diagnostic prior to
11 Praxis.

12 Q. Do you know when Foundation Medicine's
13 FoundationFocus BRCA companion diagnostic test obtained
14 its PMA approval?

15 A. I do not know the specific date of that.

16 Q. Do you know when Life Technologies obtained its
17 PMA approval for its Oncomine Dx Target Test?

18 A. I do not know the specific date of that.

19 Q. Foundation Medicine has obtained Class III
20 premarket approvals for three different NGS-based
21 diagnostic tests; right?

22 A. As single-site PMAs. That is true.

23 Q. So Foundation Medicine holds more Class III
24 premarket approvals for NGS diagnostic tests than
25 Illumina does; right?

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1 A. That is correct.

2 Q. The clinical study that Illumina relied upon in
3 its Praxis PMA application was the PRIME study; is that
4 right?

5 A. I believe that's correct.

6 Q. And that study involved 1,183 participants; is
7 that right?

8 A. I don't know the details of the study.

9 Q. The PRIME study was sponsored by Amgen, not
10 Illumina; correct?

11 A. That is correct.

12 Q. And that was the only study submitted to the FDA
13 as part of the Praxis PMA application; right?

14 A. That was the only clinical study to support the
15 claim for the companion diagnostic for the Praxis test.

16 Q. So Illumina to date has not sponsored any
17 clinical study that the FDA has relied on to grant PMA
18 approval to a Class III diagnostic test; correct?

19 A. That is correct.

20 Q. Dr. Febbo, approximately how many participants
21 has Illumina enrolled in clinical studies?

22 A. That's hard to estimate.

23 Because we have a large number of different
24 evidence generation and clinical studies ongoing that
25 we've enrolled or we've participated in studies that

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1 have enrolled, but I would say equally, you know, easily
2 over 10,000 in aggregate.

3 Q. Are you aware that GRAIL has enrolled roughly
4 134,000 participants in its clinical studies?

5 A. I am.

6 Q. So GRAIL has enrolled more than ten times as
7 many participants in clinical trials as Illumina; is
8 that right?

9 A. I would say GRAIL has directly enrolled more
10 than ten times the number of patients that Illumina has
11 directly enrolled in clinical studies.

12 Q. And what is the largest clinical study that
13 Illumina has conducted or sponsored in terms of the
14 total number of participants?

15 A. Well, the largest study that we've conducted in
16 a prospective manner is the study supporting the Denali
17 program. I would call it the Denali study. And that
18 was over two to three thousand individuals were
19 prospectively consented to submit a blood sample and
20 were followed with respect to their clinical outcomes.

21 I will also say that we've participated and
22 supported studies that include population genomics
23 studies of many more patients and the use of genomics in
24 patients, such as our collaboration with the U.K. with
25 Genomics England that involved a hundred thousand

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1 patients getting whole genome sequencing where we
2 performed the whole genome sequencing on participants in
3 that study.

4 So for direct participation and sponsorship, I'd
5 say in aggregate we're at about 10,000, but as far as
6 participating in studies that enrolled through
7 collaboration many more, tens of thousands.

8 Q. So then GRAIL as an independent company has
9 directly enrolled -- let me strike that.

10 GRAIL has enrolled just under 100,000
11 participants in its STRIVE study; is that right?

12 A. That's my understanding. Yes.

13 Q. And so GRAIL as an independent company has
14 directly enrolled nearly 100,000 participants in a
15 clinical study and Illumina has directly enrolled two to
16 three thousand in a single clinical study; is that
17 right?

18 A. In the Denali -- that's correct. For the Denali
19 study.

20 Q. You have been at Illumina three and a half years
21 now; is that right?

22 A. That is correct.

23 Q. And since you joined Illumina, the company has
24 not obtained any premarket approval for any NGS
25 diagnostic test; correct?

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1 A. That is correct.

2 Q. And since you joined Illumina as chief medical
3 officer back in 2018, the company has not submitted a
4 final PMA application for any NGS diagnostic test, has
5 it?

6 A. That is correct.

7 Q. And Illumina has never engaged with the FDA for
8 a multicancer early detection test; correct?

9 A. That is correct.

10 Q. GRAIL successfully obtained an investigational
11 device exemption from the FDA for a multicancer early
12 detection test; correct?

13 A. That is correct.

14 MR. GONEN: Your Honor, I have no further
15 questions for Dr. Febbo on cross.

16 JUDGE CHAPPELL: Anything further, Mr. Weiss?

17 MR. WEISS: Yeah. Just one question,
18 Your Honor.

19 - - - - -

20 REDIRECT EXAMINATION

21 BY MR. WEISS:

22 Q. Dr. Febbo, you were asked just now about
23 comparing the number of patients directly enrolled in
24 studies between Illumina and GRAIL. Do you recall that?

25 A. I do.

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1 Q. And you noted that Illumina has participated in
2 studies of a larger scale. Do you recall that as well?

3 A. I do.

4 Q. And what -- what relevance do those distinctions
5 have to your understanding of the efficiencies for this
6 transaction, if any?

7 A. Yeah. Well, you know, I -- what we are talking
8 about with respect to the 130,000 patients that GRAIL
9 has enrolled on the STRIVE, SUMMIT, PATHFINDER studies
10 and -- is kind of prospective enrollment. And when
11 you're -- you know, GRAIL has been focused on a
12 screening test, and those studies require that kind of
13 numbers to get to a valid test, and it's incredible the
14 success they've had in moving those forward.

15 At Illumina, we've focused on different assays
16 that required smaller numbers, so we always had robust
17 clinical enrollment on the studies required for the
18 Praxis submission and for our plan submission for Denali
19 and plan submission for Acadia.

20 In addition to that, as we've expanded the use
21 of next-generation sequencing clinically outside the
22 United States, we've participated in large
23 population-based studies, including Genomics England
24 with a hundred thousand patients successfully receiving
25 whole genome sequencing. We're supporting even bigger

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1 efforts and efforts across the globe.

2 And so I -- you know, some of the questions that
3 I just addressed do emphasize that GRAIL has a very --
4 has more experience directly enrolling patients on
5 trials supporting a screening test, but I would say, in
6 aggregate, our experience working with data from
7 patients who are a part of population genomics studies
8 as well as in combination with those studies that we've
9 sponsored to directly address the development of our
10 tests, we have access to hundreds of thousands, we've
11 included hundreds of thousands of patients.

12 MR. WEISS: Thank you, Dr. Febbo.

13 Your Honor, I don't have any additional
14 questions at this time.

15 JUDGE CHAPPELL: Any further questions of this
16 witness?

17 MR. GONEN: No, Your Honor.

18 JUDGE CHAPPELL: All right. Thank you, sir.
19 You're excused and may stand down.

20 THE WITNESS: Thank you, Your Honor.

21 JUDGE CHAPPELL: And this is our last witness
22 for the day?

23 MR. MARRIOTT: It is, Your Honor.

24 JUDGE CHAPPELL: Do you have anything,
25 Mr. Marriott?

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1 MR. MARRIOTT: I do not.
2 JUDGE CHAPPELL: All right. We'll reconvene
3 tomorrow at 9:45 a.m.
4 We're in recess.
5 (Whereupon, the foregoing hearing was adjourned
6 at 4:25 p.m.)
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CERTIFICATE OF REPORTERS

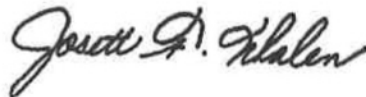
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5 We, Susanne Bergling and Josett Whalen, do
6 hereby certify that the foregoing proceedings were
7 recorded by us via stenotype and reduced to typewriting
8 under our supervision; that we are neither counsel for,
9 related to, nor employed by any of the parties to the
10 action in which these proceedings were transcribed; and
11 further, that we are not a relative or employee of any
12 attorney or counsel employed by the parties hereto, nor
13 financially or otherwise interested in the outcome of
14 the action.

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JOSETT WHALEN, Court Reporter

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UNITED STATES OF AMERICA
FEDERAL TRADE COMMISSION
OFFICE OF ADMINISTRATIVE LAW JUDGES

In the Matter of:)
ILLUMINA, INC.,)
a corporation,)
and) Docket No. 9401
GRAIL, INC.,)
a corporation,)
Respondents.)
-----)

Virtual Proceeding Via Zoom
Friday, September 24, 2021
9:34 a.m.
TRIAL VOLUME 18
PUBLIC CAMERA RECORD

BEFORE THE HONORABLE D. MICHAEL CHAPPELL
Chief Administrative Law Judge

Reported by: Susanne Bergling and Josett F. Whalen,
Court Reporters

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Illumina, Inc. and Grail, Inc.

9/24/2021

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Illumina, Inc. and Grail, Inc.

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1 P R O C E E D I N G S

2 - - - - -

3 JUDGE CHAPPELL: Let's go on the record.

4 Do we have something to cover before the next
5 witness?

6 I can't hear you.

7 MS. MUSSER: My apologies, Your Honor. No,
8 Your Honor, we don't, but I did just want to flag for
9 the Court that we will have a few housekeeping matters
10 to address before the end of the day, but I don't think
11 we need to address it right now unless Your Honor
12 prefers.

13 JUDGE CHAPPELL: I'd prefer now. If you are
14 going to be objecting to exhibits, I want to hear it
15 now.

16 MS. MUSSER: Okay. I don't know if Sharon or
17 Dave is ready to address those documents now that we
18 wanted to discuss, but Complaint Counsel is ready and
19 my colleague Nick Stebinger actually will be handling
20 these objections.

21 JUDGE CHAPPELL: Well, if there is going to be
22 progress, I'll wait, and if you are still conferring,
23 I'll wait, but if not, if you're ready, I'm ready to
24 hear it.

25 MS. GOSWAMI: We have a whole set, but there

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1 may be additional objections that may be resolved
2 before we raise them this afternoon.

3 JUDGE CHAPPELL: All right. Well, that's good
4 news, then. So give me an update at our first morning
5 break, because I want to hear it as soon as you're
6 ready to give me the objections so that I can have time
7 to decide on everything. I'm not going to guarantee
8 I'll rule on everything right away.

9 MS. MUSSER: Okay. Understood, Your Honor.
10 And we'll provide --

11 JUDGE CHAPPELL: Perhaps I might even rule on
12 it when we reconvene. Who knows? Because this is a
13 joint -- this is going to be about documents or
14 exhibits on JX 3, correct?

15 MS. MUSSER: Yes, Your Honor.

16 JUDGE CHAPPELL: All right. So just, you know,
17 yeah, let me know when you're ready to bring it up.

18 MS. MUSSER: Thank you, Your Honor.

19 JUDGE CHAPPELL: Okay. Call your next witness.

20 MS. MUSSER: Yes. And Jordan Andrew will be
21 handling this witness for Complaint Counsel, Your
22 Honor.

23 JUDGE CHAPPELL: All right.

24 MR. ANDREW: Good morning, Your Honor. Michael
25 Zaken for Respondents. At this time Respondents call

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1 Dr. Konstantin Fiedler, an employee of Foundation
2 Medicine, Inc., a subsidiary of the Roche Group.

3 JUDGE CHAPPELL: We have too many attorneys on
4 the screen. Somebody needs to drop. There we go.

5 All right. Go ahead and swear the witness,
6 Susanne.

7 Whereupon--

8 KONSTANTIN FIEDLER
9 a witness, called for examination, having been first
10 duly sworn, was examined and testified as follows:

11 DIRECT EXAMINATION

12 BY MR. ZAKEN:

13 Q. Good morning, Dr. Fiedler.

14 A. Good morning.

15 Q. Please state your full name for the record.

16 A. My name is Konstantin Fiedler.

17 Q. And who is your current employer?

18 A. My current employer is Foundation Medicine.

19 Q. And if I refer to FMI, will you understand me
20 to be referring to Foundation Medicine?

21 A. Yes, I will.

22 Q. And what is FMI?

23 A. Foundation Medicine is a diagnostic testing
24 company that provides to make genomic testing, which
25 means tests on cancer tissue. We have three types of

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1 products that we provide, and we help patients -- or we
2 help oncologists make decisions around therapies.

3 Q. And what is your current position at FMI?

4 A. My current position is chief operating officer.

5 Q. And how long have you been in that position?

6 A. I have been in that position since 2018.

7 Q. And where did you work before you became COO of
8 FMI?

9 A. I worked in Germany for the FMI Germany
10 subsidiary.

11 Q. And what type of work did you do in Germany for
12 the FMI German subsidiary?

13 A. I was the managing director for the German
14 subsidiary.

15 Q. And what positions did you hold prior to
16 joining FMI?

17 A. Prior to joining FMI, I worked for a major gas
18 supplier called Linde. I was in their R&D -- I was
19 heading their R&D department. And before that, I
20 worked for another diagnostic company called Leica
21 Biosystems.

22 THE REPORTER: Doctor, could you speak up a
23 little bit? I'm not hearing you well. And could you
24 repeat the two previous companies you worked for?

25 THE WITNESS: Certainly. The one immediately

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1 before Foundation Medicine is a gas company called
2 Linde, L-I-N-D-E, in Germany, and I was the head of
3 R&D. And before that, I worked for another diagnostic
4 company called Leica, L-E-I-C-A, that provides IHC
5 testing, which is hereditary testing.

6 Q. And did you hold any other positions prior to
7 those positions?

8 A. Yes. Before that I worked for a company called
9 GE Healthcare, and before that I worked for a
10 pharmaceutical company called SmithKline Beecham.

11 Q. And what is your educational background?

12 A. I'm a physicist.

13 Q. And do you have any professional degrees?

14 A. I have a Ph.D. in physics, but I have no other
15 professional degrees.

16 Q. And can you describe your responsibilities as
17 chief operating officer of FMI?

18 A. Of course. My responsibility covers all
19 operation, which means all the departments and
20 functions that are involved in, once a sample arrives
21 at our company, to process that sample until a report
22 is sent out. So that's all the value chain that I am
23 responsible for, as well as our IT department.

24 Q. And who do you directly report to?

25 A. I report to the CEO.

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1 Q. And who is the CEO?

2 A. His name is Brian Alexander.

3 Q. And prior to Brian Alexander, who was the CEO
4 of FMI?

5 A. It was Cynthia Perettie.

6 Q. And did you previously directly report to
7 Ms. Perettie?

8 A. Yes, I did.

9 Q. And what is Ms. Perettie's current role?

10 A. Ms. Perettie is now in charge of Roche for the
11 Molecular Diagnostics Group.

12 Q. And is FMI wholly owned by the Roche Group?

13 A. FMI is 100 percent owned by Roche Group, yes.

14 Q. And can you tell us a little bit about the
15 areas in which the Roche Group operates?

16 A. The Roche Group operates in a very wide area of
17 business. The main area in terms of revenue is the
18 therapeutics, which means medication. The other
19 division is the Simple Diagnostics Division, which
20 covers various modalities of diagnostics.

21 Q. And who is the CEO of the Roche Group?

22 A. The CEO is called Severin Schwan.

23 Q. And is the Roche Group publicly traded?

24 A. It is.

25 Q. And as CEO of the Roche Group, is Dr. Schwan

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1 accountable to the Roche shareholders?

2 A. He is.

3 Q. Can you -- I just want to -- turning back to
4 FMI, can you tell us a little bit about what FMI sells?

5 A. So FMI mainly sells three tests where we test
6 in either tissue or blood for cancer -- for mutations
7 that are related to cancer. So the tests are called
8 foundation -- foundation med -- sorry, it's -- it's --
9 there are three tests, tissue test CDx, liquid CDx
10 test, and the test called Heme.

11 THE REPORTER: Excuse me. What was the last
12 one?

13 THE WITNESS: Foundation Heme.

14 MR. ZAKEN: It might be helpful if you just
15 spell that out the last word.

16 THE WITNESS: Heme is H-E-M-E.

17 BY MR. ZAKEN:

18 Q. Can you explain what each of these tests does?

19 A. So they are so-called comprehensive genomic
20 tests, which means that each test looks at about 300 or
21 so mutations in the tissue or in the blood of the
22 cancer patients to inform oncologists if a mutation
23 could be treated with a certain therapy.

24 Q. And do these tests rely on next-generation
25 sequencing?

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1 A. Yes, they do.

2 Q. Dr. Fiedler, what is cancer screening?

3 A. So cancer screening is a -- is an approach to
4 look at patients' blood, and their patients are not
5 patients, they are not decided yet, so when people
6 would suspect that they have cancer to identify from
7 the blood, to screen the blood and understand if there
8 is cancer DNA in the blood to be found.

9 Q. And are you familiar with the term "multicancer
10 screening test" or "multicancer early detection test"?

11 A. Yes, I am.

12 Q. What is a multicancer screening test?

13 A. A multicancer screening test has -- is a test
14 that looks for DNA in the -- in a person's blood to see
15 if that DNA could come from multiple sources of cancer.

16 Q. And do you know of any blood-based multicancer
17 screening tests that are widely available today?

18 A. There are tests that -- I think there was one
19 test that has just been launched by GRAIL. Otherwise,
20 I am not aware.

21 Q. And based on your experience, do you expect the
22 cancer screening market to evolve over the next 12
23 years?

24 A. Yes, I do.

25 Q. Do you know how the cancer screening market may

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1 look in 12 years?

2 A. I don't know.

3 MR. ANDREW: Objection. Speculation.

4 JUDGE CHAPPELL: The question is "do you know."

5 I'll allow that. He said I don't know. Move on.

6 BY MR. ZAKEN:

7 Q. As COO of FMI, are you familiar with the cost
8 of sequencing?

9 A. Yes, I'm familiar.

10 Q. And how has the cost of sequencing changed
11 since you began working at FMI?

12 A. Since I began working here in 2018, the costs
13 have changed due to upgrades on the instrumentation
14 platform that Illumina provided to us, and it has
15 changed because of patients and higher throughput.

16 Q. And have the costs gone down over time?

17 A. Yes, they have gone down.

18 Q. And based on your experience, do you know
19 whether the cost of sequencing will go down in the
20 future?

21 A. It is my assumption that they will go down.

22 Q. Can you describe the relationship between
23 Illumina and FMI?

24 A. It is a -- for us, Illumina is a critical
25 supplier. It means without Illumina, we couldn't

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1 perform our tests, and we do not have an alternative to
2 Illumina to perform our tests.

3 Q. And so FMI is a customer of Illumina?

4 A. FMI is a customer of Illumina.

5 Q. How long has FMI been a customer of Illumina?

6 A. FMI has been a customer of Illumina since FMI
7 was started because Illumina is such a critical part of
8 our test.

9 Q. And does FMI have a supply agreement with
10 Illumina?

11 A. Yes. FMI has a supply agreement with Illumina.

12 Q. And when did FMI sign -- first sign a supply
13 agreement with Illumina?

14 A. The first supply agreement was signed in 2013.

15 Q. And since 2019, do you know how much FMI has
16 purchased in NGS products from Illumina?

17 A. It's well over a hundred million, probably 140
18 million.

19 Q. And during the time that FMI has been an
20 Illumina customer, have you had any issues or problems
21 with Illumina servicing the Illumina instruments that
22 FMI uses?

23 A. No, we have not.

24 Q. And what is turnaround time?

25 A. So turnaround time is the time from the moment

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1 the tissue of a patient arrives at Foundation Medicine
2 until the time we provide a report to the oncologist of
3 that patient.

4 Q. Is turnaround time important to FMI?

5 A. Turnaround time is important for FMI because it
6 is an important benefit to the patient if they have a
7 short turnaround time.

8 Q. And in your experience with Illumina, have you
9 ever known Illumina to delay providing services or
10 replacement parts to FMI?

11 A. Not in my experience.

12 Q. And in your experience, has Illumina acted in
13 good faith with respect to its obligations under the
14 2013 supply agreement?

15 A. Yes, it has.

16 Q. Are you a satisfied customer?

17 A. Yes, we are.

18 Q. And based on your experience, has Illumina ever
19 monkeyed with supply?

20 A. Not in my experience.

21 Q. And based on your experience, has Illumina ever
22 interrupted its supply to FMI because it claimed FMI
23 had infringed on Illumina's intellectual property?

24 A. No.

25 Q. Has Illumina ever reneged on a commitment it

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1 made to you?

2 A. Not in my experience.

3 Q. And based on your experience working with
4 Illumina, do you trust Illumina to abide by its
5 commitments?

6 A. Based on my experience, yes.

7 Q. Was there a time when FMI was acquired by
8 Roche?

9 A. Yes. FMI was fully acquired by Roche in 2018.

10 Q. And were you at FMI when Roche acquired FMI?

11 A. Yes, I was.

12 Q. Was it beneficial to FMI to be acquired by
13 Roche?

14 A. Yes, it was beneficial.

15 Q. And why was it beneficial?

16 A. It was beneficial because, on one hand, it
17 provided solid financial backing, allowed also
18 Foundation Medicine to think more strategically, more
19 long term, because it didn't have to fulfill quarterly
20 shareholder expectations.

21 Q. And based on your experience with FMI and
22 Roche, do you know of any benefits that Illumina could
23 provide GRAIL as a result of this transaction?

24 MR. ANDREW: Objection. Foundation.

25 JUDGE CHAPPELL: Response or rephrase.

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1 MR. ZAKEN: Yeah, I mean, I asked if he knows
2 if there are any benefits from the transaction based on
3 his experience, so I think that lays a foundation.

4 JUDGE CHAPPELL: Right. I didn't see the
5 question because I -- there was a glitch. You say
6 "based on your experience" and "do you know." I'll
7 allow that. Overruled.

8 MR. ZAKEN: Susanne, could you repeat the
9 question for the witness.

10 (The record was read as follows:)

11 "QUESTION: And based on your experience with
12 FMI and Roche, do you know of any benefits that
13 Illumina could provide GRAIL as a result of this
14 transaction?"

15 THE WITNESS: I don't know what the details of
16 the transaction between Illumina and GRAIL are, but if
17 they are similar to --

18 JUDGE CHAPPELL: Hold it. Hold it. If you
19 don't know the details, that's all we need to know.
20 Move on.

21 BY MR. ZAKEN:

22 Q. Do you know whether Illumina will be able to
23 help GRAIL make its tests more widely available?

24 A. I speculate yes.

25 Q. Okay. I just want to ask you some general

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1 questions about cancer screening. I mean, are there
2 any benefits to catching cancer early?

3 A. Yes, there are benefits, especially if it's
4 caught early before it moves beyond what's called the
5 stage one, where it's restricted to one organ.

6 Q. And what are those benefits?

7 A. The benefits is that the patient can be treated
8 very differently, either that organ can be removed or
9 parts of it can be removed, but it's -- it's a
10 different treatment approach than if the cancer has
11 metastasized.

12 Q. And will catching cancer early save lives?

13 A. Yes.

14 Q. And do you believe that multicancer screening
15 tests can help catch cancer early?

16 A. Yes, I do.

17 Q. And will the acceleration of a multicancer
18 screening test on the market save lives?

19 A. Yes, it will.

20 Q. Okay.

21 Okay, Your Honor, that is all I have in the
22 public session. I have some in camera questions.

23 JUDGE CHAPPELL: Okay. At this time, we need
24 to move into in camera session. The public who are
25 calling in will be moved into a waiting room. You will

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1 be brought back into the courtroom after we go back to
2 a public session.

3 I need the lead or questioning counsel for each
4 party to review the list of participants on the Zoom
5 screen and verify that there are no participants in the
6 courtroom who should not be there. If there is anyone
7 who is not authorized, you are to instruct that person
8 to use the raise hand function in the Zoom screen.
9 They will then be moved into a waiting room.

10 Let me know after you've reviewed the list. Go
11 ahead.

12 MR. ZAKEN: I have reviewed the list and I
13 don't currently see anyone that shouldn't be on.

14 JUDGE CHAPPELL: Okay.

15 MR. ANDREW: Yeah, it looks okay to Complaint
16 Counsel, too.

17 JADA: Your Honor, everyone has been moved.

18 JUDGE CHAPPELL: All right, thank you. We are
19 now in camera.

20 (Whereupon, the proceedings were held in
21 in camera session.)

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1 (The following proceedings were held in
2 in camera session.)

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